

M9700000503

ROXANNE A. RHODES
Variable Products Compliance Representative

June 9, 1997

Florida Department of State
Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
97 AUG 13 AM 11:09

RE: NALAC Financial Plans, LLC
Registration of Broker-Dealer

To Whom It May Concern:

Enclosed is a check in the amount of \$293.75 to cover the following filing fees: application, affidavit, designation of registered agent and certificate of status of the registered broker-dealer.

If you have any questions regarding the submitted information, please give me a call at (800) 542-5427.

Sincerely,

Roxanne Rhodes

Roxanne A. Rhodes

RAR/rar

400002242984--5
07/21/97-01100-001
\$293.75 \$293.75

M97-503

Name Availability	<i>[Signature]</i>
Document Examiner	<i>[Signature]</i>
Updater	<i>[Signature]</i>
Updater Verifier	<i>[Signature]</i>
Acknowledgement	<i>[Signature]</i>
W. P. Verifier	<i>[Signature]</i>

Allianz Life Insurance Company of North America



ROXANNE A. RHODES
Variable Products Compliance Representative

1750 Hennepin Avenue
Minneapolis, MN 55403-2185

Toll Free: 800/328 5600 Ext 6314
Telefax: 612/337 6136

August 6, 1997

Ms. Tammi Cline
Document Specialist
Florida Department of State
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
97 AUG 13 AM 11:09

RE: NALAC Financial Plans, LLC
Registration of Broker-Dealer

Dear Ms. Cline:

Attached is your letter dated July 22, 1997 and the Corporate Detail Record Screen which I am returning per our telephone conversation Wednesday, August 6.

If you have any questions, please give me a call at (800) 542-5427.

Sincerely,

A handwritten signature in cursive script that reads "Roxanne Rhodes".

Roxanne A. Rhodes

RAR/rar

Attachment



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State

July 22, 1997

ROXANNE A. RHODES
1750 HENNEPIN AVENUE
MINNEAPOLIS, MN 55403-2195

SUBJECT: NALAC FINANCIAL PLANS, LLC
Ref. Number: W97000016805

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
97 AUG 13 AM 11:09

We have received your document for NALAC FINANCIAL PLANS, LLC and your check(s) totaling \$293.75. However, the document has not been filed and is being retained in this office for the following:

The name designated in your document is not available. Therefore, the limited liability company must adopt an alternate name for use in the state of Florida. To adopt an alternate name the entity must submit a resolution signed by a managing member or manager adopting the alternate name for use in the state of Florida. The alternate name must end with "L.L.C.," "L.C.," "Limited Liability Company" or "Limited Company."

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report and penalty fees is \$1203.75.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 487-6020.

Tammi Cline
Document Specialist

Letter Number: 197A00037027

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO
TRANSACTION BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACTION BUSINESS IN THE STATE OF
FLORIDA:

1. NALAC Financial Plans, LLC
(Name of foreign limited liability company must end with the words "limited company" or their abbreviation "L.C." if not
so contained in the name at present.)

2. Minnesota
(Jurisdiction under the law of which foreign limited liability
company is organized)

3. N/A
(FEI number, if applicable)

4. November 20, 1996
(Date of Organization)

5. Thirty (30) years from date of filing
(Duration: Year limited liability company will cease to
exist or "perpetual")

6. upon qualification
(Date first transacted business in Florida. (See sections 608.501, 608.502, and 817.155, F.S.))

7. 1750 Hennepin Avenue
Minneapolis, MN 55403
(Street address of principal office)

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
97 AUG 13 AM 11:05

8. List name, title, and business address of each managing member[MGRM] or manager[MGR] who
will manage the foreign limited liability company in Florida: (attach additional page if necessary)

NAME & ADDRESS:

TITLE:

NAME & ADDRESS:

TITLE:

Thomas Clifford
1750 Hennepin Avenue
Minneapolis, MN 55403
President/
Member

Catherine Mielke
1750 Hennepin Avenue
Minneapolis, MN 55403
Compliance
Officer/Member


Michael Yates
1750 Hennepin Avenue
Minneapolis, MN 55403
Treasurer/
Member

Michael Westermeyer
1750 Hennepin Avenue
Minneapolis, MN 55403
Secretary/
Member

**AFFIDAVIT OF MEMBERSHIP AND CONTRIBUTIONS OF FOREIGN
LIMITED LIABILITY COMPANY**

The undersigned member or authorized representative of a member of _____
NALAC Financial Plans, LLC deposes and says:

- 1) the above named limited liability company has at least two members
- 2) the total amount of cash contributed by the member(s) is \$ 10,000
- 3) if any, the agreed value of property other than cash contributed by member(s) is \$ 0
A description of the property is attached and made a part hereto.
- 4) the amount of cash or property anticipated to be contributed by member(s) is \$ 10,000
This total includes amounts from 2 and 3 above.
- 5) the total amount of cash or property anticipated to be contributed by member(s) is \$ 10,000

 6/11/97
Signature of a member or authorized representative of a member.
(In accordance with section 608.408(3), Florida Statutes, the execution of this
affidavit constitutes an affirmation under the penalties of perjury that the facts
stated herein are true.)

Filing Fee: \$250.00 for Application and Affidavit

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
97 AUG 13 AM 11:09

**CERTIFICATE OF DESIGNATION OF
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES, THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING STATEMENT IN DESIGNATING THE REGISTERED OFFICE/REGISTERED AGENT, IN THE STATE OF FLORIDA.

1. The name of the limited liability company is:

NALAC Financial Plans, LLC

2. The name and address of the registered agent and office is:

CT Corporation System
(Name)

1200 S. Pine Island Road

(P.O. Box or Mail Drop Box NOT ACCEPTABLE)

Plantation, FL 33324

(City/State/Zip)

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
97 AUG 13 AM 11:09

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Susan J. Warner
(Signature)

6-23-97
(Date)

Filing Fee: \$ 35 for Designation of Registered Agent