

**LIMITED LIABILITY COMPANY  
UNIFORM BUSINESS REPORT (UBR)**

**FILED**  
**Jul 02, 2002 8:00 am**  
**Secretary of State**

07-02-2002 90818 013 \*\*\*\*50.00

DOCUMENT # **M96000000023**

1. Entity Name

**JC Bradford & CO LLC**

**DO NOT WRITE IN THIS SPACE**

**969731**

2. Principal Place of Business

**1000 Harbor Blvd**

Suite, Apt. #, etc.

**Tax Dept 9th Floor**

City & State

**Weehawken NJ**

Zip

**07086**

Country

3. Mailing Address

**Same**

Suite, Apt. #, etc.

City & State

Zip

Country

4. FEI Number

**62-0136910**

Applied For

Not Applicable

5. Certificate of Status Desired ☐

**\$5.00 Additional  
Fee Required**

7. Name and Address of Current Registered Agent

Name

**Corporation Service CO.**

Street Address (P.O. Box Number is Not Acceptable)

**1201 Hays Street**

City

**Tallahassee**

**FL**

Zip Code

**32301**

**DO NOT WRITE  
IN THIS SPACE**

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

DATE

**FEE IS \$50.00**

**Make Check Payable to Department of State  
DUE BY MAY 1**

9. MANAGING MEMBERS/MANAGERS

TITLE NAME STREET ADDRESS CITY-ST-ZIP	<b>See Attached Schedule</b>	TITLE NAME STREET ADDRESS CITY-ST-ZIP	
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CR2E083B (12/01)

11. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING MANAGING MEMBER, MANAGER, OR AUTHORIZED REPRESENTATIVE

**201-352-0559**

Date

Daytime Phone #



UBS PaineWebber Inc.  
Tax Department, 9th Floor  
1000 Harbor Boulevard  
Weehawken, NJ 07087  
201-352-4418  
201-352-2443 fax  
ubspainewebber.com

**J.C. Bradford & Co. LLC**  
**FEIN - 62-0136910**  
**Officers Report**

**Officers**

Robert H. Silver	President	1000 Harbor Boulevard, Weehawken, NJ 07087
Diane Frimmel	Chief Operations Officer	1000 Harbor Boulevard, Weehawken, NJ 07087
Regina A. Dolan	Managing Director	1000 Harbor Boulevard, Weehawken, NJ 07087
Mark F. Vassallo	Managing Director	1000 Harbor Boulevard, Weehawken, NJ 07087
Lawrence G. DiSalvo	Senior Vice President	1000 Harbor Boulevard, Weehawken, NJ 07087
Brian J. Dugan	Senior Vice President	1000 Harbor Boulevard, Weehawken, NJ 07087
Charles H. Nobs	Senior Vice President	1000 Harbor Boulevard, Weehawken, NJ 07087
Bruce C. Reilly	Senior Vice President	1000 Harbor Boulevard, Weehawken, NJ 07087
Timothy Willis	Senior Vice President	1000 Harbor Boulevard, Weehawken, NJ 07087
J. Richard Sipes	Chief Executive Officer	1000 Harbor Boulevard, Weehawken, NJ 07087
William Ballard	Vice President	1000 Harbor Boulevard, Weehawken, NJ 07087
Teresa Carroll	Vice President	1000 Harbor Boulevard, Weehawken, NJ 07087
Ellen Panzer	Vice President	1000 Harbor Boulevard, Weehawken, NJ 07087
Thomas Salvato	Vice President	1000 Harbor Boulevard, Weehawken, NJ 07087
Michelle Sweeney	Vice President	1000 Harbor Boulevard, Weehawken, NJ 07087
Gregg A. Vogel	Vice President	1000 Harbor Boulevard, Weehawken, NJ 07087
Kenneth D. Levine	Assistant Treasurer	1000 Harbor Boulevard, Weehawken, NJ 07087
Geraldine L. Banyai	Secretary	1000 Harbor Boulevard, Weehawken, NJ 07087
Richard Borovoy	Assistant Secretary	1000 Harbor Boulevard, Weehawken, NJ 07087