

2002 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT# M47853

Entity Name: SRF TRADING, INC.

FILED
Apr 29, 2002 8:00 AM
Secretary of State

Current Principal Place of Business:

401 N. TRYON ST
NC1-021-03-09
CHARLOTTE, NC 28255

Current Mailing Address:

401 N. TRYON ST
NC1-021-03-09
CHARLOTTE, NC 28255

New Principal Place of Business:

100 N TRYON ST
NC1-007-06-07
CHARLOTTE, NC 28255

New Mailing Address:

401 N. TRYON ST
NC1-021-02-20
CHARLOTTE, NC 28255

FEI Number: 59-2781565

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so (X).

Election Campaign Financing Trust Fund Contribution ().

OFFICERS AND DIRECTORS:

Title: P () Delete
Name: SHIFFLET, ALLEN D
Address: 401 N TRYON ST
City-St-Zip: CHARLOTTE, NC 28255

Title: SVP () Delete
Name: MROZ, GREG S
Address: 401 N. TRYON ST., NC1-021-03-09
City-St-Zip: CHARLOTTE, NC 28255

Title: S () Delete
Name: LUCAS, MARY ANN
Address: 401 N. TRYON ST., NC1-021-03-09
City-St-Zip: CHARLOTTE, NC 28255

Title: T () Delete
Name: MACK, JOHN E
Address: 401 N. TRYON ST., NC1-021-03-09
City-St-Zip: CHARLOTTE, NC 28255

Title: D () Delete
Name: BRIGGS, RICHARD E
Address: 401 N TRYON ST
City-St-Zip: CHARLOTTE, NC 28255

Title: D () Delete
Name: ZIWOT, FREDERICK P
Address: 401 N TRYON ST
City-St-Zip: CHARLOTTE, NC 28255

ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:

Title: P (X) Change () Addition
Name: SHIFFLET, ALLEN D
Address: 401 N TRYON ST NC1-021-02-20
City-St-Zip: CHARLOTTE, NC 28255

Title: SVP (X) Change () Addition
Name: MROZ, GREG S
Address: 401 N TRYON ST NC1-021-02-20
City-St-Zip: CHARLOTTE, NC 28255

Title: S (X) Change () Addition
Name: TAI, NINA
Address: 401 N TRYON ST NC1-021-02-20
City-St-Zip: CHARLOTTE, NC 28255

Title: T (X) Change () Addition
Name: SCOTT, BARTTON B
Address: 401 N TRYON ST NC1-021-02-20
City-St-Zip: CHARLOTTE, NC 28255

Title: D (X) Change () Addition
Name: CALDWELL, DANIEL W
Address: 401 N TRYON ST NC1-021-02-20
City-St-Zip: CHARLOTTE, NC 28255

Title: D (X) Change () Addition
Name: MCLENDON, CHARLES A
Address: 401 N TRYON ST NC1-021-02-20
City-St-Zip: CHARLOTTE, NC 28255

I hereby certify that the information supplied with this filing does not qualify for the for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: GREG S MROZ

SVP

04/29/2002

Electronic Signature of Signing Officer or Director

Date