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T. LEMIEUX
JUN - 8 2023

COVER LETTER

**TO: Registration Section
Division of Corporations**

SUBJECT: The Retirement Planning Group, LLC

Name of Limited Liability Company

The enclosed "Application by Foreign Limited Liability Company for Authorization to Transact Business in Florida," Certificate of Existence, and check are submitted to register the above referenced foreign limited liability company to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Kathlee Bilbia

Name of Person

Cetera Financial Group

Firm/Company

2301 Rosecrans Ave., Suite 5100

Address

El Segundo, CA 90245

City/State and Zip Code

christopher.lesniak@cetera.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Kathleen Bilbia

310

257-7465

at (_____) _____

Name of Contact Person

Area Code

Daytime Telephone Number

Mailing Address:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Street Address:

Registration Section
Division of Corporations
The Centre of Tallahassee
2415 N. Monroe Street, Suite 810
Tallahassee, FL 32303

Enclosed is a check for the following amount:

Please make check payable to: **FLORIDA DEPARTMENT OF STATE**

☒ \$125.00 Filing Fee

☐ \$130.00 Filing Fee &
Certificate of Status

☐ \$155.00 Filing Fee &
Certified Copy

☐ \$160.00 Filing Fee, Certificate
of Status & Certified Copy

APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS
IN FLORIDA

IN COMPLIANCE WITH SECTION 605.0902, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY
COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1. The Retirement Planning Group, LLC
(Name of Foreign Limited Liability Company; must include "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida. The alternate name must include "Limited Liability Company," "L.L.C.," or "LLC.")

2. Delaware 92-3463660
(Jurisdiction under the law of which foreign limited liability company is organized) (FEI number, if applicable)

4. (Date first transacted business in Florida, if prior to registration)
(See sections 605.0904 & 605.0905, F.S. to determine penalty liability)

5. 4811 W. 136th Street 2301 Rosecrans Ave.
(Street Address of Principal Office) (Mailing Address)

Suite 5100
El Segundo, CA 90245

Leawood, KS 66224

7. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Corporation Service Company

Office Address: 1201 Hays Street

Tallahassee 32301
(City) Florida (Zip code)

Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Renee Patterson

(Registered agent's signature)

8. For initial indexing purposes, list names, title or capacity and addresses of the primary members/managers or persons authorized to manage [up to six (6) total]:

Title or Capacity: **Name and Address:**

☒ Manager Name: Adam Antoniades

☐ Member Address: 655 W. Broadway, 12th Floor

☐ Authorized San Diego, CA 92101

Person

☐ Other ☐ Other

Title or Capacity: **Name and Address:**

☒ Manager Name: Jeff Buchheister

☐ Member Address: 655 W. Broadway, 12th Floor

☐ Authorized San Diego, CA 92101

Person

☐ Other ☐ Other

☒ Manager Name: Tom Gooley

☐ Member Address: 655 W. Broadway, 12th Floor

☐ Authorized San Diego, CA 92101

Person

☐ Other ☐ Other

☐ Manager Name: Lexie Barling

☐ Member Address: 4811 W 136th Street

☒ Authorized Leawood, KS 66224

Person

☐ Other ☐ Other

☐ Manager Name: Matt Striegel

☐ Member Address: 4811 W 136th Street

☒ Authorized Leawood, KS 66224

Person

☐ Other ☐ Other

☐ Manager Name: Luke Crowther

☐ Member Address: 4811 W 136th Street

☒ Authorized Leawood, KS 66224


Person

☐ Other ☐ Other

Important Notice: Use an attachment to report more than six (6). The attachment will be imaged for reporting purposes only. Non-indexed individuals may be added to the index when filing your Florida Department of State Annual Report form.

9. Attached is a certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted)

10. This document is executed in accordance with section 605.0203 (1) (b), Florida Statutes. I am aware that any false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

DocuSigned by:

 43D7F8E407A14F0 .. Signature of an authorized person

Lexie Barling

Typed or printed name of signee

Delaware

The First State

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I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF
DELAWARE, DO HEREBY CERTIFY "THE RETIREMENT PLANNING GROUP, LLC" IS
DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD
STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS
OFFICE SHOW, AS OF THE FIFTH DAY OF MAY, A.D. 2023.



7372131 8300

SR# 20231856694

You may verify this certificate online at corp.delaware.gov/authver.shtml

A handwritten signature in black ink, appearing to read "JBULLOCK", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Authentication: 203290691

Date: 05-05-23