

M22000011391

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

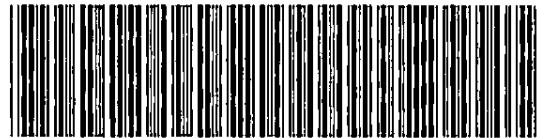
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

Office Use Only



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07/13/22--01018--009 **160.00

2022 JUL 13 AM 10:51
JUL 13 2022

FILED

12555 Manchester Road
St. Louis, MO 63131-3729
314-515-2000
www.edwardjones.com

Edward Jones

July 12, 2022

Registration Section
Division of Corporations
The Centre of Tallahassee
2415 N. Monroe Street, Suite 810
Tallahassee, FL 32303

Re: Edward Jones SBL, LLC – Foreign Authorization to Transact Business

To Whom It May Concern:

Enclosed please find the following documents that are being provided to register Edward Jones SBL, LLC to do business in Florida as a foreign LLC.

1. A completed Application for Authorization to Transact Business in Florida.
2. Missouri Certificate of Existence dated 6/30/2022.
3. Check No. 07560339 dated 7/5/2022 in the amount of \$120 for payment of the filing fee, designation of registered agent, a certified copy, and a certificate of status.

Please return to: Attn: Legal, 12555 Manchester Road
St. Louis, MO 63131

Thank you for your assistance in registering Edward Jones SBL, LLC with your office. I am available to discuss any questions or concerns at your convenience and can be reached by email at laila.arand@edwardjones.com or by phone at 314-515-0603.

Very truly yours,

Laila Arand

Associate General Counsel
Edward Jones

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS
IN FLORIDA**

IN COMPLIANCE WITH SECTION 605.0902, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1. Edward Jones SBL, LLC
(Name of Foreign Limited Liability Company; must include "Limited Liability Company," "L.L.C.," or "LLC")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida. The alternate name must include "Limited Liability Company," "L.L.C.," or "LLC.")

2. Missouri 3. 88-2716687
(Jurisdiction under the law of which foreign limited liability company is organized) (FEI number, if applicable)

4. Upon registration.
(Date first transacted business in Florida, if prior to registration.)
(See sections 605.0904 & 605.0905, F.S. to determine penalty liability.)

5. 12555 Manchester Road 6. Attn: Legal, 12555 Manchester Road
(Street Address of Principal Office) (Mailing Address)
St. Louis, MO 63131 St. Louis, MO 63131

7. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: C T Corporation System
Office Address: 1200 South Pine Island Road
Plantation 33324
(City) , Florida (Zip code)

Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Sherry McGinnes

Sherry McGinnes, Asst Secretary

(Registered agent's signature)

FILED
2022 JUL 13 AM 10:51
CLERK OF CIRCUIT COURT
IN AND FOR THE COUNTY OF DADE
FLORIDA

8. For initial indexing purposes, list names, title or capacity and addresses of the primary members/managers or persons authorized to manage [up to six (6) total]:

Title or Capacity: **Name and Address:**

☐ Manager Name: JFCL, LLC

☒ Member Address: 12555 Manchester Road

☐ Authorized St. Louis, MO 63131

Person _____

☐ Other _____ ☐ Other _____

☒ Manager Name: Adym K. Rygmyr

☐ Member Address: 12555 Manchester Road

☐ Authorized St. Louis, MO 63131

Person _____

☒ Other Chief Compliance Officer ☐ Other _____

☐ Manager Name: Dedra L. Schulte

☐ Member Address: 12555 Manchester Road

☒ Authorized St. Louis, MO 63131

Person _____

☒ Other Chief Operations Officer ☐ Other _____

Title or Capacity: **Name and Address:**

☒ Manager Name: James L. Huang

☐ Member Address: 12555 Manchester Road

☐ Authorized St. Louis, MO 63131

Person _____

☒ Other President ☒ Other Secretary

☒ Manager Name: Brian D. Buckley

☐ Member Address: 12555 Manchester Road

☐ Authorized St. Louis, MO 63131

Person _____

☒ Other Treasurer/Chief Financial Officer ☐ Other _____

☐ Manager Name: Steven M. Stewart

☐ Member Address: 12555 Manchester Road

☒ Authorized St. Louis, MO 63131

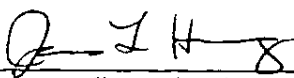
Person _____

☒ Other Chief Credit Officer ☐ Other _____

Important Notice: Use an attachment to report more than six (6). The attachment will be imaged for reporting purposes only. Non-indexed individuals may be added to the index when filing your Florida Department of State Annual Report form.

9. Attached is a certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted)

10. This document is executed in accordance with section 605.0203 (1) (b), Florida Statutes. I am aware that any false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.



Signature of an authorized person

James L. Huang

Typed or printed name of signer

STATE OF MISSOURI



John R. Ashcroft
Secretary of State

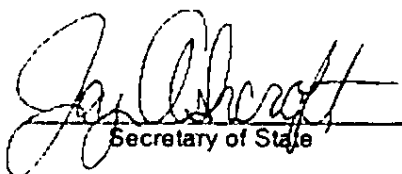
CORPORATION DIVISION
CERTIFICATE OF GOOD STANDING

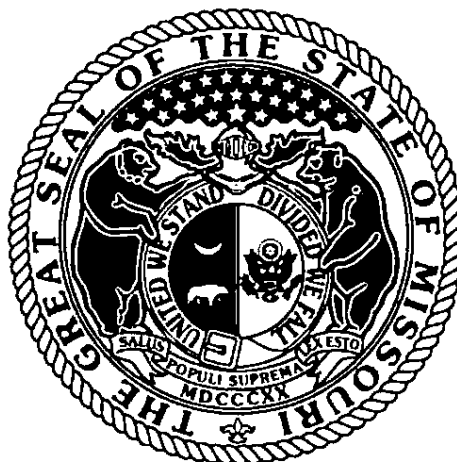
I, JOHN R. ASHCROFT, Secretary of State of the STATE OF MISSOURI, do hereby certify that the records in my office and in my care and custody reveal that

Edward Jones SBL, LLC
LC014380353

was created under the laws of this State on the 20th day of May, 2022, and is active, having fully complied with all requirements of this office.

IN TESTIMONY WHEREOF, I hereunto set my hand and cause to be affixed the GREAT SEAL of the State of Missouri. Done at the City of Jefferson, this 30th day of June, 2022.


Secretary of State



Certification Number: CERT-06302022-0045