

11/5/2020

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Fax Number : (850)617-6383

From: Account Name : CORPORATION SERVICE COMPANY
Account Number : I2000000195
Phone : (850)521-0821
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Foreign Limited Liability Company
E*TRADE Financial Holdings, LLC

Certificate of Status	0
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APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 605.0902, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1. E*TRADE Financial Holdings, LLC
(Name of Foreign Limited Liability Company, must include "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida. The alternate name must include "Limited Liability Company," "L.L.C." or "LLC.")

2. DE
(Jurisdiction under the law of which foreign limited liability company is organized)

3. 85-3295303
(FIN number, if applicable)

4. 1/1/2021
(Date first transacted business in Florida, if prior to registration)
(See sections 605.0904 & 605.0905, F.S. to determine penalty liability)

5. 671 N. Glebe Road
(Street Address of Principal Office)
Arlington, VA 22203

6. 671 N. Glebe Road
(Mailing Address)
Arlington, VA 22203

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7. Name and street address of Florida registered agent. (P.O. Box NOT acceptable)

Name. Corporation Service Company

Office Address. 1201 Hays Street

Tallahassee, Florida 32301
(City) (Zip code)

Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Corporation Service Company
By: [Signature]
(Registered agent's signature)

8. For initial indexing purposes, list names, title or capacity and addresses of the primary members/managers or persons authorized to manage [up to six (6) total].

Title or Capacity: **Name and Address:**

Manager Name. Sebastiano Visentini

Member Address: 1585 Broadway

Authorized New York, NY 10036

 Person _____

Other _____ Other _____

Manager Name. _____

Member Address. _____

Authorized _____

 Person _____

Other _____ Other _____

Manager Name. _____

Member Address. _____

Authorized _____

 Person _____

Other _____ Other _____

Title or Capacity: **Name and Address:**

Manager Name. Morgan Stanley Domestic Holdings, Inc.

Member Address: 1585 Broadway

Authorized New York, NY 10036

 Person _____

Other _____ Other _____

Manager Name. _____

Member Address. _____

Authorized _____

 Person _____

Other _____ Other _____

Manager Name. _____

Member Address. _____

Authorized _____

 Person _____

Other _____ Other _____

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Important Notice. Use an attachment to report more than six (6). The attachment will be imaged for reporting purposes only. Non-indexed individuals may be added to the index when filing your Florida Department of State Annual Report form.

9. Attached is a certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted)

10. This document is executed in accordance with section 605.0203 (1) (b), Florida Statutes. I am aware that any false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

Joyce Collins

 Signature of an authorized person.

Joyce Collins

 Typed or printed name of signer

Delaware

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "E*TRADE FINANCIAL HOLDINGS, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE FIFTH DAY OF NOVEMBER, A.D. 2020.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "E*TRADE FINANCIAL HOLDINGS, LLC" WAS FORMED ON THE THIRTIETH DAY OF JULY, A.D. 2020.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN ASSESSED TO DATE.

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Jeffrey W. Bullock
Jeffrey W. Bullock, Secretary of State

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Date: 11-05-20