



COVER LETTER

TO: Registration Section  
Division of Corporations

SUBJECT: Dynasty Securities, LLC

Name of Limited Liability Company

The enclosed "Application by Foreign Limited Liability Company for Authorization to Transact Business in Florida," Certificate of Existence, and check are submitted to register the above referenced foreign limited liability company to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Jill Russo

Name of Person

Dynasty Securities, LLC

Firm/Company

200 Central Ave, Floor 15

Address

St. Petersburg, FL 33701

City/State and Zip Code

jrusso@dynastyfp.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Jill Russo

727

865-4809

Name of Contact Person

at ( )

Area Code

Daytime Telephone Number

**MAILING ADDRESS:**

Division of Corporations  
Registration Section  
P.O. Box 6327  
Tallahassee, FL 32314

**STREET ADDRESS:**

Division of Corporations  
Registration Section  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

Enclosed is a check for the following amount:

Please make check payable to: **FLORIDA DEPARTMENT OF STATE**

☐ \$125.00 Filing Fee

☐ \$130.00 Filing Fee &  
Certificate of Status

☒ \$155.00 Filing Fee &  
Certified Copy

☐ \$160.00 Filing Fee, Certificate  
of Status & Certified Copy

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS  
IN FLORIDA**

*COMPLIANCE WITH SECTION 605.0902, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY  
COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:*

Dynasty Securities, LLC

(Name of Foreign Limited Liability Company; must include "Limited Liability Company," "L.L.C.," or "LLC.")

(If alternate name is unavailable, enter alternate name adopted for the purpose of transacting business in Florida. The alternate name must include "Limited Liability Company," "L.L.C.," or "LLC.")

New York

3. 45-2998131

(FEI number, if applicable)

(Jurisdiction under the law of which foreign limited liability company is organized)

November 6, 2019

(Date first transacted business in Florida, if prior to registration.)  
(See sections 605.0904 & 605.0905, F.S. to determine penalty liability)

200 Central Ave, Floor 15

6. 200 Central Ave, Floor 15

(Mailing Address)

(Street Address of Principal Office)

St. Petersburg, FL 33701

St. Petersburg, FL 33701

Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Jill Russo

Office Address: 200 Central Ave, Floor 15

St. Petersburg 33701

(City)

, Florida

(Zip code)

Registered agent's acceptance:

*Having been named as registered agent and to accept service of process for the above stated limited liability company at the place  
designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree  
to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with  
and accept the obligations of my position as registered agent.*

(Registered agent's signature)

For initial indexing purposes, list names, title or capacity and addresses of the primary members/managers or persons authorized to manage [up to six (6) total]:

**Title or Capacity:** **Name and Address:**  
[ ] Manager Name: Edward Swenson  
[ ] Member Address: 1350 Avenue of the Americas  
[ ] Authorized 32nd Floor  
Person New York, NY 10019  
[ ] Other [ ] Other

[ ] Manager Name: Jill Russo  
[ ] Member Address: 200 Central Ave, Floor 15  
[ ] Authorized St. Petersburg, FL 33701  
Person  
[ ] Other [ ] Other

[ ] Manager Name: \_\_\_\_\_  
[ ] Member Address: \_\_\_\_\_  
[ ] Authorized \_\_\_\_\_  
Person \_\_\_\_\_  
[ ] Other [ ] Other

**Title or Capacity:** **Name and Address:**  
[ ] Manager Name: Shirly Penney  
[ ] Member Address: 200 Central Ave, Floor 15  
[x] Authorized St. Petersburg, FL 33701  
Person  
[ ] Other [ ] Other


[ ] Manager Name: \_\_\_\_\_  
[ ] Member Address: \_\_\_\_\_  
[ ] Authorized \_\_\_\_\_  
Person \_\_\_\_\_  
[ ] Other [ ] Other

[ ] Manager Name: \_\_\_\_\_  
[ ] Member Address: \_\_\_\_\_  
[ ] Authorized \_\_\_\_\_  
Person \_\_\_\_\_  
[ ] Other [ ] Other

**Important Notice:** Use an attachment to report more than six (6). The attachment will be imaged for reporting purposes only. Non-indexed individuals may be added to the index when filing your Florida Department of State Annual Report form.

Attached is a certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted)

This document is executed in accordance with section 605.0203 (1) (b), Florida Statutes. I am aware that any false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

  
\_\_\_\_\_  
Signature of an authorized person

Jill Russo  
\_\_\_\_\_  
Typed or printed name of signee

**State of New York**  
**Department of State** } ss:

I hereby certify, that PPS SECURITIES, LLC a NEW YORK Limited Liability Company filed Articles of Organization pursuant to the Limited Liability Company Law on 08/15/2011, and that the Limited Liability Company is existing so far as shown by the records of the Department.

A Certificate of Amendment PPS SECURITIES, LLC, changing its name to DYNASTY SECURITIES, LLC, was filed 10/21/2014.



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*WITNESS my hand and the official seal  
of the Department of State at the City of  
Albany, this 13th day of December two  
thousand and nineteen.*

*Brendan C. Hughes*

Brendan C Hughes  
Executive Deputy Secretary of State