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(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

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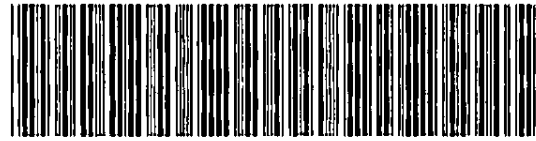
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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2019 NOV 25 PM 12:38

SBF
12/26/19

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: DMS INVESTMENT MANAGEMENT CO., LLC

Name of Limited Liability Company

The enclosed "Application by Foreign Limited Liability Company for Authorization to Transact Business in Florida," Certificate of Existence, and check are submitted to register the above referenced foreign limited liability company to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Charles D. Straub, Esq.

Name of Person

Charles D. Straub, P.A.

Firm/Company

6801 Lake Worth Road, Suite 106

Address

Lake Worth, FL 33467

City/State and Zip Code

cds@straublawn.net

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Charles D. Straub, Esq.

561

223 -2262

Name of Contact Person

Area Code

Daytime Telephone Number

MAILING ADDRESS:

Division of Corporations
Registration Section
P.O. Box 6327
Tallahassee, FL 32314

STREET ADDRESS:

Division of Corporations
Registration Section
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

Enclosed is a check for the following amount:

Please make check payable to: **FLORIDA DEPARTMENT OF STATE**

☒ \$125.00 Filing Fee

☐ \$130.00 Filing Fee &
Certificate of Status

☐ \$155.00 Filing Fee &
Certified Copy

☐ \$160.00 Filing Fee, Certificate
of Status & Certified Copy

2019 NOV 25 PM 12:38

APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS
IN FLORIDA

IN COMPLIANCE WITH SECTION 605.0902, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY
COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1. DMS INVESTMENT MANAGEMENT CO. LLC

(Name of Foreign Limited Liability Company; must include "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida. The alternate name must include: "Limited Liability Company," "L.L.C.," or "LLC.")

Ohio

84-2069571

2. (Jurisdiction under the law of which foreign limited liability company is organized)

3. (FBI number, if applicable)

N/A

4. (Date first transacted business in Florida, if prior to registration.)
(See sections 605.0904 & 605.0905, F.S. to determine penalty liability)

5300 Hamilton Avenue

5300 Hamilton Avenue

5. (Street Address of Principal Office)

6. (Mailing Address)

Unit 606

Unit 606

Cincinnati, Ohio 45224

Cincinnati, Ohio 45224

7. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Charles D. Straub, P.A.

Office Address: 6801 Lake Worth Road, Suite 106

Lake Worth

33467

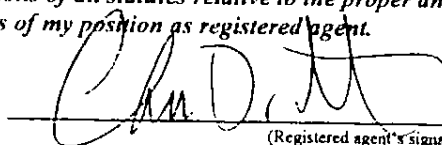
(City)

, Florida

(Zip code)

Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.



(Registered agent's signature)

2019 NOV 25 PM 12:38

8. For initial indexing purposes, list names, title or capacity and addresses of the primary members/managers or persons authorized to manage [up to six (6) total]:

Title or Capacity: **Name and Address:**

☒ Manager Name: Diane Seiwert

☐ Member Address: 5300 Hamilton Ave

☐ Authorized Unit 606

Person Cincinnati, Ohio 45224

☐ Other ☐ Other

Title or Capacity: **Name and Address:**

☐ Manager Name: _____

☐ Member Address: _____

☐ Authorized _____

Person _____

☐ Other ☐ Other

☐ Manager Name: _____

☐ Member Address: _____

☐ Authorized _____

Person _____

☐ Other ☐ Other

☐ Manager Name: _____

☐ Member Address: _____

☐ Authorized _____

Person _____

☐ Other ☐ Other

☐ Manager Name: _____

☐ Member Address: _____

☐ Authorized _____

Person _____

☐ Other ☐ Other

☐ Manager Name: _____

☐ Member Address: _____

☐ Authorized _____

Person _____

☐ Other ☐ Other

2019 NOV 25 PM 12:36

Important Notice: Use an attachment to report more than six (6). The attachment will be imaged for reporting purposes only. Non-indexed individuals may be added to the index when filing your Florida Department of State Annual Report form.

9. Attached is a certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted)

10. This document is executed in accordance with section 605.0203 (1) (b), Florida Statutes. I am aware that any false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

Diane Seiwert for DWS Investment Management Company LLC
Signature of an authorized person

Diane Seiwert for DWS INVESTMENT Management Company LLC
Typed or printed name of signer

UNITED STATES OF AMERICA
STATE OF OHIO
OFFICE OF THE SECRETARY OF STATE

I, Frank LaRose, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show DMS INVESTMENT MANAGEMENT CO., LLC, an Ohio Limited Liability Company, Registration Number 1657423, was organized within the State of Ohio on October 30, 2006, is currently in FULL FORCE AND EFFECT upon the records of this office.

2019 NOV 25 PM 12:38

2019 NOV 25 PM 12:38



*Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 1st day of November, A.D. 2019.*

Frank LaRose

Ohio Secretary of State

Validation Number: 201930502542