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Foreign Limited Liability Company LPL Employee Services, LLC

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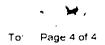
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Help

APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 606 0902, PLORIDA STATUTES,	THE	POLLOW	MG A	SUBATITED TO	O REGIST	ER A FOREIGN	LINE	ന്മ്പാ :	LABILITY
CYN GUNN YN TRANSACT RI BINESS IN THE STATE OFFI ORION		·.	٠.			•			•

	TTON 605 0902, FLORIDA STATUTES; SINESS IN THE STATE OF FLORIDA:		NG IS SUUNTITIED TO REG	GISTER A FOREK	רונוואוגנו לבדוואננו אס
LPL Employee Service	s, LLC		•		
	Limited Liability Company; must include	"United Carrie	y Company," "L. L. C.," or "Ll	.C.") .	
		·		· · · · · · · · · · · · · · · · · · ·	<u></u>
(If turne unavailable, enter abernate a	wine indopted for the purpose of transacting busin	en in Florida The r	hemate nome must include "Limite	d Liabilie, Company."	"LLC," or "LLC.")
2. Delaware	`	3.	47-1580146	• • • • • • • • • • • • • • • • • • • •	
. (Jurisdict on under the law of w	hich foreign limited liability company is organize	ru)	, Leni	munion, il applicabie)	
4				····	
	(Date first transported business in Florida, (See sections 605.0904 & 505.0905), F.S.	if jaior to registration to determine pessitry	t) katelny)		
5., 75 State Street, 22nd F		6.	75 State Street, 22nd F		
(Street Address of I	Histipal Office)		. (Mading Boston, MA 92109	Address)	•
Boston, MA 02109	· · · · · · · · · · · · · · · · · · ·	•	1008thiii, MA 02109		· ·
· 	· · · · · · · · · · · · · · · · · · ·		· 		
7. Name and street address	ss of Florida registered agent: (P.C	O Bax <u>NOT</u>	acceptable)		
Name:	C T Corporation System				
Office Address:	1200 South Pine Island Road	•			
Silice Audiesa.					
•	Plantation (Cir.)	<u> </u>	, Florida <u>33324</u>	prote)	
•	s of my position as registered ege By: CT Corporation Sys		Kimberly I	aughrey, Asst.	Sec.
	(Pey sterr	d ageni's signiture)		 -	
8. The name, title or cap: Title or Capacity:	acity and address of the person(s) Name and Address:		authority to manage is/amide or Capacity:		d Address:
Sole Member	LPL Holdings, Inc.	•			
3010 111011001	75 State Street, 22nd F	loor .			
	Boston, MA 02109				
	• •		• • • • • • • • • • • • • • • • • • • •		ġ.
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(Use attachments if neces	sary)		·		•
9. Attached is a certificate jurisdiction under the law of the translator must be s	of existence, no more than 90 day of which it is organized. (If the co- ubmitted)	ys old, duly au mificate is in a	thenticated by the official foreign language, a tran	al having custod Islation of the co	y of records in the crifficate under oath
10. This document is executed in a document to	uted in accordance with section 66 of the Department of State constitution	05.0203 (1) (b) tes a third degr), Florida Statutes. I am a see felony as provided fo	nware that any fa r in 5,817,155, F	lse information .S
		Sapature of an auth	enzed person		
	Gregory M. Woods, Authorized	•		· · · · · · · · · · · · · · · · · · ·	





Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "LPL EMPLOYEE SERVICES, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWELFTH DAY OF MARCH, A.D. 2019.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN PAID TO DATE.

You may verify this certificate online at corp.delaware.gov/authver.shtml

Authentication: 202424385

Date: 03-12-19