

M18000007309

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP ☐ WAIT ☐ MAIL

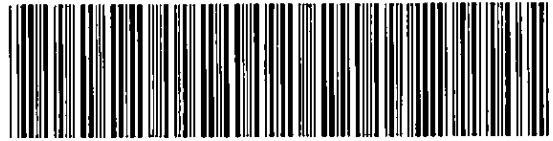
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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FLORIDA FILING & SEARCH SERVICES, INC.

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155 Office Plaza Dr Ste A Tallahassee FL 32301

PHONE: (800) 435-9371; FAX: (866) 860-8395

DATE: 11/13/18

NAME: FINANCIAL ENGINES, LLC

TYPE OF FILING: AMENDMENT

COST: 25.00

RETURN: PLAIN COPY PLEASE

ACCOUNT: FCA000000015

AUTHORIZATION: ABBIE/PAUL HODGE



COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Financial Engines, LLC
Name of Foreign Limited Liability Company

Dear Sir or Madam:

The enclosed application, certificate and fee(s) are submitted for filing.

Please return all correspondence concerning this matter to the following:

Rickeda Jackson

Name of Person

Intertrust U.S.

Firm/Company

200 Belleuve Parkway, Suite 210

Address

Wilmington, DE 19809

City/State and Zip Code

intertrustus@intertrustgroup.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Rickeda Jackson

Name of Person

at (302) 798-5852

Area Code & Daytime Telephone Number

STREET/COURIER ADDRESS:

Registration Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, Florida 32301

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, Florida 32314

Enclosed is a check for the following amount:

☒ \$25 Filing Fee

☐ \$30 Filing Fee &
Certificate of Status

☐ \$55 Filing Fee &
Certified Copy

☐ \$60 Filing Fee,
Certificate of Status &
Certified Copy

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY TO FILE
AMENDMENT TO CERTIFICATE OF AUTHORITY TO TRANSACT
BUSINESS IN FLORIDA**

SECTION I (1-4 must be completed)

1. Name of limited liability Company as it appears on the records of the Florida Department of
State: Financial Engines, LLC

Enter new principal office address, if applicable: _____

(Principal office address
MUST BE A STREET ADDRESS)

Enter new mailing address, if applicable: _____

(Mailing address
MAY BE A POST OFFICE BOX)

2. The Florida document number of this limited liability company is: M180000007309

3. Jurisdiction of its organization: Delaware

4. Date authorized to do business in Florida: 08/20/2018

SECTION II (5-9 complete only the applicable changes)

5. New name of the limited liability company: Edelman Financial Engines, LLC
(must contain "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida and attach a copy of the written consent of the managers or managing members adopting the alternate name. The alternate name must contain "Limited Liability Company," "L.L.C." or "LLC.")

6. If amending the registered agent and/or registered officer address on our records, enter the name of the new registered agent and/or the new registered office address here:

Name of New Registered Agent: _____

New Registered Office Address: _____

Enter Florida Street Address

_____, Florida _____
City Zip Code

New Registered Agent's Signature, if changing Registered Agent:

I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 605, F.S. Or, if this document is being filed to merely reflect a change in the registered office address, I hereby confirm that the limited liability company has been notified in writing of this change.

If Changing Registered Agent, Signature of New Registered Agent

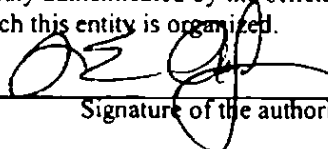
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7. If the amendment changes the jurisdiction of organization, indicate new jurisdiction:

8. If the amendment changes person, title or capacity in accordance with 605.0902 (1)(e), indicate that change:

<u>Title/ Capacity</u>	<u>Name</u>	<u>Address</u>	<u>Type of Action</u>
_____	_____	_____	<input type="checkbox"/> Add
		_____	<input type="checkbox"/> Remove
_____	_____	_____	<input type="checkbox"/> Add
		_____	<input type="checkbox"/> Remove
_____	_____	_____	<input type="checkbox"/> Add
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_____	_____	_____	<input type="checkbox"/> Add
		_____	<input type="checkbox"/> Remove
_____	_____	_____	<input type="checkbox"/> Add
		_____	<input type="checkbox"/> Remove

9. Attached is a certificate, if required: no more than 90 days old, evidencing the aforementioned amendment(s), duly authenticated by the official having custody of records in the jurisdiction under the law of which this entity is organized.



Signature of the authorized representative

LEWIS ANTOINE, JR.

Typed or printed name of signee

Filing Fee: \$25.00

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CLERK OF COURT
TALLAHASSEE, FLORIDA

Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF
DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT
COPY OF THE RESTATED CERTIFICATE OF "FINANCIAL ENGINES, LLC",
CHANGING ITS NAME FROM "FINANCIAL ENGINES, LLC" TO "EDELMAN
FINANCIAL ENGINES, LLC", FILED IN THIS OFFICE ON THE THIRTY-
FIRST DAY OF OCTOBER, A.D. 2018, AT 8:48 O'CLOCK A.M.

FILED
18 NOV 13 AM 7:10
STATE OF DELAWARE
HARRISBURG, FLORIDA




Jeffrey W. Bullock, Secretary of State

4509017 8100
SR# 20187406154

Authentication: 203717919
Date: 10-31-18

You may verify this certificate online at corp.delaware.gov/authver.html

State of Delaware
Secretary of State
Division of Corporations
Delivered 08:48 AM 10/31/2018
FILED 08:48 AM 10/31/2018
SR 20187406154 - File Number 4509017

**AMENDED AND RESTATED
CERTIFICATE OF FORMATION
OF
FINANCIAL ENGINES, LLC**

FILED
18 NOV 13 AM 7:10
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

This Amended and Restated Certificate of Formation of Financial Engines, LLC, dated as of October 31, 2018, has been duly executed and is being filed by the undersigned pursuant to Section 18-208 of the Limited Liability Company Act of the State of Delaware to restate the original Certificate of Formation of Financial Engines, LLC, which was filed on July 19, 2018 with the Secretary of State of the State of Delaware (the "Certificate"), and shall be effective upon its filing with the Secretary of State of the State of Delaware.


The Certificate is hereby restated in its entirety to read as follows:

1. The name of the limited liability company is Edelman Financial Engines, LLC.
2. The address of the registered office of the limited liability company in the State of Delaware is 200 Bellevue Parkway, Suite 210, Bellevue Park Corporate Center, Wilmington, New Castle County, Delaware 19809. The name of the registered agent at such address upon whom process against the limited liability company may be served is Intertrust Corporate Services Delaware Ltd.

[Remainder of the page intentionally left blank]

IN WITNESS WHEREOF, the undersigned has executed this Amended and Restated Certificate of Formation as of the date first written above.

FINANCIAL ENGINES, LLC

By: 
Name: Lewis E. Antone, Jr.
Title: EVP, General Counsel and Secretary

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STATE OF FLORIDA
TALLAHASSEE

[Signature Page to Amendment to Certificate of Formation]