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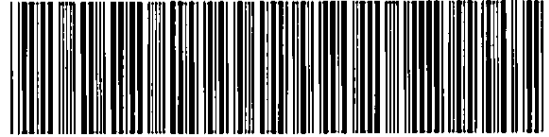
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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TALLAHASSEE, FLORIDA

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48 FEB -8 AM 10:50
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TALLAHASSEE, FLORIDA

S. WARREN

FEB 09 2018

CORPORATION SERVICE COMPANY
1201 Hays Street
Tallahassee, FL 32301
Phone: 850-558-1500

ACCOUNT NO. : I20000000195

REFERENCE : 054779 7997716

AUTHORIZATION



COST LIMIT : \$ 125.00

ORDER DATE : February 1, 2018

ORDER TIME : 10:50 AM

ORDER NO. : 054779-070

CUSTOMER NO: 7997716

FOREIGN FILINGS

NAME: MUFG SECURITIES AMERICAS INC.

XXXX QUALIFICATION (TYPE: CO)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

_____ CERTIFIED COPY
XX _____ PLAIN **STAMPED** COPY
_____ CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Roxanne Turner -- EXT# 62969

EXAMINER: _____

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. MUFG Securities Americas Inc.
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co." or "Corp.")
- (If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)
New York 13-3498732
2. (State or country under the law of which it is incorporated) 3. (FEI number, if applicable)
December 8, 1988
4. (Date of incorporation) 5. (Date of duration, if other than perpetual)
2/1/2018
6. (Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)
1221 Avenue of the Americas, 6th Floor, New York, New York 10020
7. (Principal office address)

(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Corporation Service Company

Office Address: 1201 Hays Street
Tallahassee, Florida 32301
(City) (Zip code)


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9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Corporation Service Company

By:



(Registered agent's signature)

Roxanne Turner
Asst. Vice President

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

11. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: See Attached

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: See Attached

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

12. 

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Keith McDermott, Chief Financial Officer

(Typed or printed name and capacity of person signing application)

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MUFG Securities Americas Inc.

List of Officers and Directors

Names, Addresses, and Titles of Officers:

Name: William Renick Mansfield, Jr.
Title: President and Chief Executive Officer
Business Address: 1221 Avenue of the Americas, 6th Floor, New York, New York 10020

Name: Keith Francis McDermott
Title: Chief Financial Officer
Business Address: 1221 Avenue of the Americas, 6th Floor, New York, New York 10020

Name: Mark Christopher O'Donnell
Title: Chief Operations Officer
Business Address: 1221 Avenue of the Americas, 6th Floor, New York, New York 10020

Name: Oliver Jakob
Title: Chief Risk Officer
Business Address: 1221 Avenue of the Americas, 6th Floor, New York, New York 10020

Names and Addresses of Directors:

Name: Akihiro Sugimura (Chairperson)
Business Address: Ropemaker Place, 25 Ropemaker Street, London EC2Y 9AJ, UK

Name: William Renick Mansfield, Jr.
Business Address: 1221 Avenue of the Americas, 6th Floor, New York, New York 10020

Name: Kevin Cronin
Business Address: 1221 Avenue of the Americas, 6th Floor, New York, New York 10020

Name: Donna Delloso
Business Address: 1221 Avenue of the Americas, 6th Floor, New York, New York 10020

Name: James Fanning
Business Address: 1221 Avenue of the Americas, 6th Floor, New York, New York 10020

Name: Suncel Kamrani
Business Address: 1221 Avenue of the Americas, 6th Floor, New York, New York 10020

Name: Kazuto Uchida
Business Address: 1221 Avenue of the Americas, 6th Floor, New York, New York 10020

**State of New York
Department of State } ss:**

I hereby certify, that the Certificate of Incorporation of MUFG SECURITIES AMERICAS INC. was filed on 12/08/1988, under the name of BOT FUTURES, INC., with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is an existing corporation.

A Certificate of Amendment BOT FUTURES, INC., changing its name to BOT SECURITIES, INC., was filed 03/24/1993.

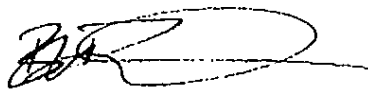
A Certificate of Amendment BOT SECURITIES, INC., changing its name to TOKYO-MITSUBISHI SECURITIES (USA), INC., was filed 04/01/1996.

A Certificate of Amendment TOKYO-MITSUBISHI SECURITIES (USA), INC., changing its name to MITSUBISHI SECURITIES (USA), INC., was filed 08/20/2003.

A Certificate of Amendment MITSUBISHI SECURITIES (USA), INC., changing its name to MITSUBISHI UFJ SECURITIES (USA), INC., was filed 09/27/2005.

A Certificate of Amendment MITSUBISHI UFJ SECURITIES (USA), INC., changing its name to MUFG SECURITIES AMERICAS INC., was filed 07/01/2016.

*Witness my hand and the official seal
of the Department of State at the City
of Albany, this 01st day of February
two thousand and eighteen.*



Brendan W. Fitzgerald
Executive Deputy Secretary of State

