

M17000009979

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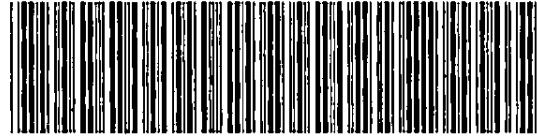
(Business Entity Name)

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STATE  
TALLAHASSEE, FLORIDA

J. LEGGETT  
NOV 28 2017

W170000084266 11/23/17 10/2/17



FLORIDA DEPARTMENT OF STATE  
Division of Corporations

October 23, 2017

CRAIG A. SHERWOOD  
501 N. BROADWAY  
ST. LOUIS, MO 63102 US

SUBJECT: 1919 INVESTMENT COUNSEL, LLC  
Ref. Number: W17000084206

We have received your document for 1919 INVESTMENT COUNSEL, LLC and your check(s) totaling \$125.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

Pursuant to section 607.1502(4), 617.1502(4) or 605.0904(7), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report/uniform business report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report/uniform business report and penalty fees is \$916.25.

Please return the corrected original and one copy of your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 254-6051.

Judy A Leggett  
Regulatory Specialist II  
Registration Section

Letter Number: 317A00021279

2017 NOV 22 AM 11:17

COVER LETTER

TO: Registration Section  
Division of Corporations

SUBJECT: 1919 Investment Counsel, L.L.C

Name of Limited Liability Company

The enclosed "Application by Foreign Limited Liability Company for Authorization to Transact Business in Florida," Certificate of Existence, and check are submitted to register the above referenced foreign limited liability company to transact business in Florida..

Please return all correspondence concerning this matter to the following:

Craig A. Sherwood

Name of Person

Stifel Financial Corp.

Firm/Company

501 N. Broadway

Address

St. Louis, MO 63102

City/State and Zip Code

CorporateTaxAccounting@Stifel.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Craig Sherwood

at ( 314 ) 342-2000

Name of Contact Person

Area Code

Daytime Telephone Number

**MAILING ADDRESS:**

Division of Corporations  
Registration Section  
P.O. Box 6327  
Tallahassee, FL 32314

**STREET ADDRESS:**

Division of Corporations  
Registration Section  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

Enclosed is a check for the following amount:

☒ \$125.00 Filing Fee

☐ \$130.00 Filing Fee &  
Certificate of Status

☐ \$155.00 Filing Fee &  
Certified Copy

☐ \$160.00 Filing Fee, Certificate  
of Status & Certified Copy

APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS  
IN FLORIDA

IN COMPLIANCE WITH SECTION 605.0902, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1. 1919 Investment Counsel, L.L.C.  
(Name of Foreign Limited Liability Company; must include "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida. The alternate name must include "Limited Liability Company," "L.L.C.," or "LLC.")

2. Maryland  
(Jurisdiction under the law of which foreign limited liability company is organized)

3. 26-0103189  
(FEI number, if applicable)

4. 11/14/2014  
(Date first transacted business in Florida, if prior to registration.)  
(See sections 605.0904 & 605.0905, F.S. to determine penalty liability)

5. 435 L'Ambiance Drive  
Longboat Key, FL 34228  
(Street Address of Principal Office)

6. Stifel Financial Corp. Attn: Tax Department  
501 N. Broadway, St. Louis, MO 63102  
(Mailing Address)

7. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: C T Corporation System

Office Address: 1200 South Pine Island Road  
Plantation, Florida 33324  
(City) (Zip code)

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TALLAHASSEE

Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

By: C T Corporation System  
(Registered agent's signature)

8. The name, title or capacity and address of the person(s) who has/have authority to manage is/are:  
PLEASE SEE ATTACHED

9. Attached is a certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted)

Margaret M. Pasquarella  
Signature of an authorized person



This document is executed in accordance with section 605.0203 (1) (b), Florida Statutes. I am aware that any false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

Margaret M. Pasquarella  
Typed or printed name of signee

### Board of Directors

|                         |                                                                                             |                                    |
|-------------------------|---------------------------------------------------------------------------------------------|------------------------------------|
| Harry O'Mealia          | Chairman, President and Chief Executive Officer                                             | 501 N Broadway, St Louis, MO 63102 |
| Ronald T. Bates         | Managing Director                                                                           | 501 N Broadway, St Louis, MO 63102 |
| Paul J. Benziger        | Managing Director                                                                           | 501 N Broadway, St Louis, MO 63102 |
| William J. Constantine  | Managing Director                                                                           | 501 N Broadway, St Louis, MO 63102 |
| Arthur Karafin          | Managing Director, President and Portfolio of Arthur Karafin Investment Advisors Department | 501 N Broadway, St Louis, MO 63102 |
| Charles C. King         | Managing Director, Chief Investment Officer                                                 | 501 N Broadway, St Louis, MO 63102 |
| Meredith A. Mowen       | Managing Director                                                                           | 501 N Broadway, St Louis, MO 63102 |
| R. Scott Pierce         | Managing Director                                                                           | 501 N Broadway, St Louis, MO 63102 |
| B. Hanson Slaughter     | Managing Director                                                                           | 501 N Broadway, St Louis, MO 63102 |
| Ann Haas                | Managing Director                                                                           | 501 N Broadway, St Louis, MO 63102 |
| Margaret M. Pasquarelli | Managing Director, Chief Financial Officer                                                  | 501 N Broadway, St Louis, MO 63102 |
| Michael P. Scanlon      | Chief Compliance Officer, Senior Managing Attorney, Principal and Secretary                 | 501 N Broadway, St Louis, MO 63102 |

### Officers

|                      |                                                |                                    |
|----------------------|------------------------------------------------|------------------------------------|
| Alison Bevilacqua    | Principal                                      | 501 N Broadway, St Louis, MO 63102 |
| Michael O. Clark     | Principal                                      | 501 N Broadway, St Louis, MO 63102 |
| Aimee M. Eudy        | Principal                                      | 501 N Broadway, St Louis, MO 63102 |
| Jennifer W. Evans    | Principal                                      | 501 N Broadway, St Louis, MO 63102 |
| Brian P. Gallagher   | Principal                                      | 501 N Broadway, St Louis, MO 63102 |
| Melvin N. Hecht      | Principal                                      | 501 N Broadway, St Louis, MO 63102 |
| Julie Jackson        | Principal                                      | 501 N Broadway, St Louis, MO 63102 |
| Todd Jones           | Principal                                      | 501 N Broadway, St Louis, MO 63102 |
| Thomas Krygowski     | Principal                                      | 501 N Broadway, St Louis, MO 63102 |
| Lorinda Laub         | Principal                                      | 501 N Broadway, St Louis, MO 63102 |
| Michael Masterson    | Principal                                      | 501 N Broadway, St Louis, MO 63102 |
| Kathy Nalywajko      | Principal                                      | 501 N Broadway, St Louis, MO 63102 |
| Monika M. Panger     | Principal                                      | 501 N Broadway, St Louis, MO 63102 |
| Christopher Perry    | Principal                                      | 501 N Broadway, St Louis, MO 63102 |
| Lee Robertson        | Principal                                      | 501 N Broadway, St Louis, MO 63102 |
| Ashley S. Tabb       | Principal                                      | 501 N Broadway, St Louis, MO 63102 |
| Robert D. Uhlig      | Principal                                      | 501 N Broadway, St Louis, MO 63102 |
| Ram Mathi Vaheesan   | Principal                                      | 501 N Broadway, St Louis, MO 63102 |
| Elizabeth B. Walton  | Principal                                      | 501 N Broadway, St Louis, MO 63102 |
| Stephen Worobel      | Principal, Head of Equity Research             | 501 N Broadway, St Louis, MO 63102 |
| Philip J. Yakim      | Principal                                      | 501 N Broadway, St Louis, MO 63102 |
| Ryan B. Bailey       | Vice President                                 | 501 N Broadway, St Louis, MO 63102 |
| Yeshaya Berzon       | Vice President                                 | 501 N Broadway, St Louis, MO 63102 |
| Chris Delpi          | Vice President                                 | 501 N Broadway, St Louis, MO 63102 |
| William Ellis        | Vice President                                 | 501 N Broadway, St Louis, MO 63102 |
| Robert J. Fisher     | Vice President                                 | 501 N Broadway, St Louis, MO 63102 |
| Lu Han               | Vice President                                 | 501 N Broadway, St Louis, MO 63102 |
| Ellen B. Hennessey   | Vice President                                 | 501 N Broadway, St Louis, MO 63102 |
| Yvonne Kierlanczyk   | Vice President                                 | 501 N Broadway, St Louis, MO 63102 |
| Miller Kreider       | Vice President                                 | 501 N Broadway, St Louis, MO 63102 |
| Scott Liotta         | Vice President                                 | 501 N Broadway, St Louis, MO 63102 |
| Peter MacArthur      | Vice President                                 | 501 N Broadway, St Louis, MO 63102 |
| Eric Mason           | Vice President                                 | 501 N Broadway, St Louis, MO 63102 |
| Thomas N. McCarthy   | Vice President                                 | 501 N Broadway, St Louis, MO 63102 |
| John Reilly          | Vice President                                 | 501 N Broadway, St Louis, MO 63102 |
| Matthew P. Robinson  | Vice President                                 | 501 N Broadway, St Louis, MO 63102 |
| Lauren E. Schmaltz   | Vice President                                 | 501 N Broadway, St Louis, MO 63102 |
| Nancy V. Szczepankow | Vice President                                 | 501 N Broadway, St Louis, MO 63102 |
| Mary Tallman         | Vice President                                 | 501 N Broadway, St Louis, MO 63102 |
| Jacquelyn Weber      | Vice President                                 | 501 N Broadway, St Louis, MO 63102 |
| Gregory J. Keifer    | Senior Compliance Officer, Assistant Secretary | 501 N Broadway, St Louis, MO 63102 |

**STATE OF MARYLAND**  
***Department of Assessments and Taxation***

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I, MICHAEL L. HIGGS OF THE STATE DEPARTMENT OF ASSESSMENTS AND TAXATION OF THE STATE OF MARYLAND, DO HEREBY CERTIFY THAT THE DEPARTMENT, BY LAWS OF THE STATE, IS THE CUSTODIAN OF THE RECORDS OF THIS STATE RELATING TO LIMITED LIABILITY COMPANIES, OR THE RIGHTS OF LIMITED LIABILITY COMPANIES TO TRANSACT BUSINESS IN THIS STATE, AND THAT I AM THE PROPER OFFICER TO EXECUTE THIS CERTIFICATE.

I FURTHER CERTIFY THAT 1919 INVESTMENT COUNSEL, LLC (W10285906), REGISTERED OCTOBER 29, 2004, IS A LIMITED LIABILITY COMPANY EXISTING UNDER AND BY VIRTUE OF THE LAWS OF THE STATE OF MARYLAND, AND THAT THE LIMITED LIABILITY COMPANY IS AT THE TIME OF THIS CERTIFICATE IN GOOD STANDING TO TRANSACT BUSINESS.

IN WITNESS WHEREOF, I HAVE HEREUNTO SUBSCRIBED MY SIGNATURE AND AFFIXED THE SEAL OF THE STATE DEPARTMENT OF ASSESSMENTS AND TAXATION OF MARYLAND AT BALTIMORE ON THIS SEPTEMBER 21, 2017.



Michael L. Higgs  
Director



301 West Preston Street, Baltimore, Maryland 21201  
Telephone Balto. Metro (410) 767-1340 / Outside Balto. Metro (888) 246-5941  
MRS (Maryland Relay Service) (800) 735-2258 TT/Voice

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