

MI7000009055

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

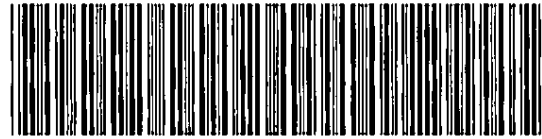
(Business Entity Name)

(Document Number)

Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

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Document submitted online

2017 OCT 25 P 3:56

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OCT 24 2017



FLORIDA DEPARTMENT OF STATE  
Division of Corporations

October 10, 2017

KATTY CHAMBERS  
3 CANAL PLAZA SUITE 100  
PORTLAND, ME 04101

SUBJECT: FORESIDE FINANCIAL GROUP, LLC  
Ref. Number: W17000080405

We have received your document for FORESIDE FINANCIAL GROUP, LLC and your check(s) totaling \$125.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

Handwriting illegible, please revise.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Dionne M Pijaux  
Regulatory Specialist

Letter Number: 817A00020417

2017 OCT 23 PM 3:50

TALLAHASSEE, FL

RECEIVED  
OCT 23 2017  
P 3 50

**COVER LETTER**

**TO: Registration Section  
Division of Corporations**

**SUBJECT:** Forside Financial Group, LLC

Name of Limited Liability Company

The enclosed "Application by Foreign Limited Liability Company for Authorization to Transact Business in Florida," Certificate of Existence, and check are submitted to register the above referenced foreign limited liability company to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Kathy Chambers

Name of Person

Forside

Firm/Company

3 Canal Plaza Suite 100

Address

Portland, ME 04101

City/State and Zip Code

kchambers@forside.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Kathy Chambers

614

416-8823

at ( )

Name of Contact Person

Area Code

Daytime Telephone Number

**MAILING ADDRESS:**

Division of Corporations  
Registration Section  
P.O. Box 6327  
Tallahassee, FL 32314

**STREET ADDRESS:**

Division of Corporations  
Registration Section  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

Enclosed is a check for the following amount:

☒ \$125.00 Filing Fee

☐ \$130.00 Filing Fee &  
Certificate of Status

☐ \$155.00 Filing Fee &  
Certified Copy

☐ \$160.00 Filing Fee, Certificate  
of Status & Certified Copy

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS  
IN FLORIDA**

IN COMPLIANCE WITH SECTION 605.0902, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1. Foreside Financial Group, LLC  
(Name of Foreign Limited Liability Company; must include "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida. The alternate name must include "Limited Liability Company," "L.L.C." or "LLC.")

2. State of Maine 3. 02-0757866  
(Jurisdiction under the law of which foreign limited liability company is organized) (FEI number, if applicable)

4. 06/16/2017  
(Date first transacted business in Florida, if prior to registration.)  
(See sections 605.0904 & 605.0905, F.S. to determine penalty liability)

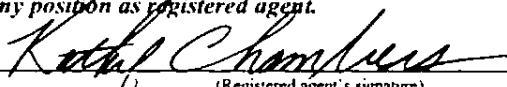
5. <u>3 Canal Plaza Suite 100</u> (Street Address of Principal Office) <u>Portland, ME 04101</u> <u>c/o Kathy Chambers</u>	6. <u>3 Canal Plaza Suite 100</u> (Mailing Address) <u>Portland, ME 04101</u> <u>c/o Kathy Chambers</u>
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7. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Kathy Chambers  
Office Address: 14120 Cambridge Drive #103  
Fort Myers, Florida 33912  
(City) (Zip code)

**Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

  
(Registered agent's signature)

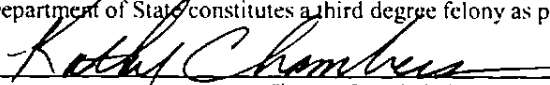
8. The name, title or capacity and address of the person(s) who has/have authority to manage is/are:

<u>Title or Capacity:</u>	<u>Name and Address:</u>	<u>Title or Capacity:</u>	<u>Name and Address:</u>
<u>Managing Member</u>	<u>LM Foreside Holdings, LLC</u> <u>150 N. Radnor Road #200</u> <u>Radnor, PA 19087</u>		
<u>Managing Member</u>	<u>RJB, LLC</u> <u>3 Canal Plaza Suite 100</u> <u>Portland, ME 04101</u>		

(Use attachments if necessary)

9. Attached is a certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted)

10. This document is executed in accordance with section 605.0203 (1) (b), Florida Statutes. I am aware that any false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

  
Signature of an authorized person  
Kathy Chambers Telephone # 614-416-8823  
Typed or printed name of signer

# State of Maine



## Department of the Secretary of State

*I, the Secretary of State of Maine, certify that according to the provisions of the Constitution and Laws of the State of Maine, the Department of the Secretary of State is the legal custodian of the Great Seal of the State of Maine which is hereunto affixed and of the reports of qualification of foreign limited liability companies in this State and annual reports filed by the same.*

*I further certify that FORESIDE FINANCIAL GROUP, LLC, formerly FORESIDE HOLDINGS, LLC, a DELAWARE limited liability company, is a duly qualified foreign limited liability company under the laws of the State of Maine and that the application for authority to transact business in this State was filed on May 10, 2006.*

*I further certify that said foreign limited liability company has filed annual reports due to this Department, and that no action is now pending by or on behalf of the State of Maine to forfeit the authority to transact business in this State and that according to the records in the Department of the Secretary of State, said foreign limited liability company is a legally existing limited liability company in good standing under the laws of the State of Maine at the present time.*

*In testimony whereof, I have caused the Great Seal of the State of Maine to be hereunto affixed. Given under my hand at Augusta, Maine, this seventeenth day of October 2017.*



A handwritten signature in black ink, appearing to read "Matthew Dunlap", written over a horizontal line.

Matthew Dunlap  
Secretary of State