

MI700000 7186

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

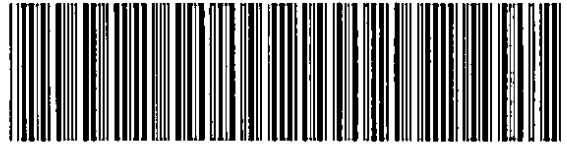
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

Office Use Only



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APR 01 2020

M. SOLOMON

FILED
2020 MAR 20 PM 1:28
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: LJ Investment Advisors, LLC
Name of Foreign Limited Liability Company

Dear Sir or Madam:

The enclosed application, certificate and fee(s) are submitted for filing.

Please return all correspondence concerning this matter to the following:

Jamie Grossman

Name of Person

Alvarium Investment Managers (US), LLC

Firm/Company

1111 Brickell Avenue, Suite 2802

Address

Miami, FL 33131

City/State and Zip Code

jamie.grossman@alvariuminvestments.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Jamie Grossman

Name of Person

at (305)

Area Code & Daytime Telephone Number

349-4480

Mailing Address:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Street Address:

Registration Section
Division of Corporations
The Centre of Tallahassee
2415 N. Monroe Street, Suite 810
Tallahassee, FL 32303

Enclosed is a check for the following amount:

- ☐ \$25 Filing Fee ☒ \$30 Filing Fee & Certificate of Status ☐ \$55 Filing Fee & Certified Copy ☐ \$60 Filing Fee, Certificate of Status & Certified Copy

CR2E055 (9/15)

APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY TO FILE AMENDMENT TO CERTIFICATE OF AUTHORITY TO TRANSACT BUSINESS IN FLORIDA

SECTION I (1-4 must be completed)

1. Name of limited liability Company as it appears on the records of the Florida Department of

State: LJ Investment Advisors, LLC

Enter new principal office address, if applicable: _____

(Principal office address
MUST BE A STREET ADDRESS)

Enter new mailing address, if applicable: _____

(Mailing address
MAY BE A POST OFFICE BOX)

2. The Florida document number of this limited liability company is: M17000007186

3. Jurisdiction of its organization: Delaware

4. Date authorized to do business in Florida: 08/21/2017

SECTION II (5-9 complete only the applicable changes)

5. New name of the limited liability company: Alvarium Investment Managers (US), LLC
(must contain "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida and attach a copy of the written consent of the managers or managing members adopting the alternate name. The alternate name must contain "Limited Liability Company," "L.L.C." or "LLC.")

6. If amending the registered agent and/or registered officer address on our records, enter the name of the new registered agent and/or the new registered office address here:

Name of New Registered Agent: _____

New Registered Office Address: _____

Enter Florida Street Address

_____, **Florida** _____
City Zip Code

New Registered Agent's Signature, if changing Registered Agent:

I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 605, F.S. Or, if this document is being filed to merely reflect a change in the registered office address, I hereby confirm that the limited liability company has been notified in writing of this change.

If Changing Registered Agent, Signature of New Registered Agent

2020 MAR 20 PM 1:28

DEPARTMENT OF STATE
CORPORATE SERVICES DIVISION

FILED

7. If the amendment changes the jurisdiction of organization, indicate new jurisdiction:

8. If the amendment changes person, title or capacity in accordance with 605.0902 (1)(e), indicate that change:

<u>Title/ Capacity</u>	<u>Name</u>	<u>Address</u>	<u>Type of Action</u>
_____	_____	_____	<input type="checkbox"/> Add
_____	_____	_____	<input type="checkbox"/> Remove
_____	_____	_____	<input type="checkbox"/> Add
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_____	_____	_____	<input type="checkbox"/> Remove
_____	_____	_____	<input type="checkbox"/> Add
_____	_____	_____	<input type="checkbox"/> Remove

9. Attached is a certificate, if required: no more than 90 days old, evidencing the aforementioned amendment(s), duly authenticated by the official having custody of records in the jurisdiction under the law of which this entity is organized.

DocuSigned by:

Carlos Mejia

Signature of the authorized representative

Carlos Mejia, Chief Operating Officer

Typed or printed name of signee

Filing Fee: \$25.00

2020 MAR 20 PM 1:28
CLERK OF STATE
MASSACHUSETTS

FILED

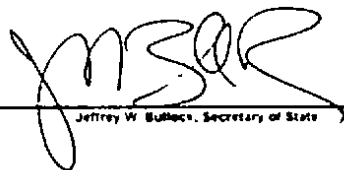
Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF
DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT
COPY OF THE CERTIFICATE OF AMENDMENT OF "LJ INVESTMENT
ADVISORS, LLC", CHANGING ITS NAME FROM "LJ INVESTMENT ADVISORS,
LLC" TO "ALVARIUM INVESTMENT MANAGERS (US), LLC", FILED IN THIS
OFFICE ON THE TWELFTH DAY OF FEBRUARY, A.D. 2019, AT 4:41
O'CLOCK P.M.




Jeffrey W. Bullock, Secretary of State

5887440 8100
SR# 20190938613

You may verify this certificate online at corp.delaware.gov/authver.shtml

Authentication: 202259169
Date: 02-14-19

**STATE OF DELAWARE
CERTIFICATE OF AMENDMENT**

1. Name of Limited Liability Company: IJ Investment Advisors, LLC

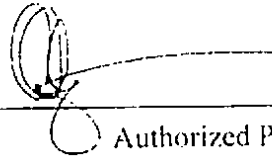
2. The Certificate of Formation of the limited liability company is hereby amended as follows:

The first article of the Certificate of Formation is hereby amended as follows:

"The name of the limited liability company is Alvarium Investment Managers (US), LLC"

IN WITNESS WHEREOF, the undersigned have executed this Certificate on the 12th day of February, A.D. 2019.

By: _____



Authorized Person(s)

Name: Carlos F. Mejia

Print or Type

Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "ALVARIUM INVESTMENT MANAGERS (US), LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWELFTH DAY OF MARCH, A.D. 2020.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "ALVARIUM INVESTMENT MANAGERS (US), LLC" WAS FORMED ON THE TWENTY-FOURTH DAY OF NOVEMBER, A.D. 2015.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN PAID TO DATE.

A handwritten signature in black ink, appearing to read "JB", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Jeffrey W. Bullock, Secretary of State

5887440 8300

SR# 20202098508

You may verify this certificate online at corp.delaware.gov/authver.shtml

Authentication: 202577716

Date: 03-12-20