

M170000006815

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP ☐ WAIT ☐ MAIL

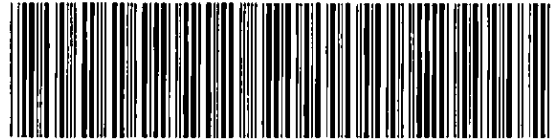
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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09/15/23--01011--002 **25.00

FILED
2023 SEP 15 PM 3:45
TALLAHASSEE, FLORIDA

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Annexus Securities, LLC
Name of Foreign Limited Liability Company

Dear Sir or Madam:

The enclosed application, certificate and fee(s) are submitted for filing.

Please return all correspondence concerning this matter to the following:

Heather Boozikee
Name of Person

Koley Jessen P.C., L.L.O.
Firm/Company

1125 South 103rd Street, Suite 800
Address

Omaha, NE 68124
City/State and Zip Code

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Heather Boozikee at (402) 343-3784
Name of Person Area Code & Daytime Telephone Number

Mailing Address:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Street Address:

Registration Section
Division of Corporations
The Centre of Tallahassee
2415 N. Monroe Street, Suite 810
Tallahassee, FL 32303

Enclosed is a check for the following amount:

☒ \$25 Filing Fee ☐ \$30 Filing Fee & Certificate of Status ☐ \$55 Filing Fee & Certified Copy ☐ \$60 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY TO FILE
AMENDMENT TO CERTIFICATE OF AUTHORITY TO TRANSACT
BUSINESS IN FLORIDA**

SECTION I (1-4 must be completed)

1. Name of limited liability Company as it appears on the records of the Florida Department of

State: Annexus Securities, LLC

Enter new principal office address, if applicable:

14287 North 87th Street, Suite 220

(Principal office address

Scottsdale, AZ 85260

MUST BE A STREET ADDRESS)

Enter new mailing address, if applicable:

c/o Legal Dept., Integrity Marketing Group, LLC

(Mailing address

1445 Ross Avenue, 40th Floor

MAY BE A POST OFFICE BOX)

Dallas, TX 75202

2. The Florida document number of this limited liability company is:

M17000006815

3. Jurisdiction of its organization: Arizona

4. Date authorized to do business in Florida: 08/09/2017

SECTION II (5-9 complete only the applicable changes)

5. New name of the limited liability company: _____
(must contain "Limited Liability Company," "LLC," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida and attach a copy of the written consent of the managers or managing members adopting the alternate name. The alternate name must contain "Limited Liability Company," "LLC," or "LLC.")

6. If amending the registered agent and/or registered officer address on our records, enter the name of the new registered agent and/or the new registered office address here:

Name of New Registered Agent: _____

New Registered Office Address: _____

Enter Florida Street Address

_____, **Florida** _____
City Zip Code

New Registered Agent's Signature, if changing Registered Agent:

I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 605, F.S. Or, if this document is being filed to merely reflect a change in the registered office address, I hereby confirm that the limited liability company has been notified in writing of this change.

If Changing Registered Agent, Signature of New Registered Agent

FILED
2023 SEP 15 PM 3:45
CLERK OF STATE
TALLAHASSEE, FLORIDA

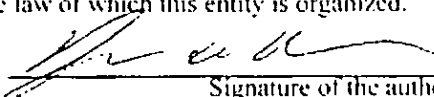
7. If the amendment changes the jurisdiction of organization, indicate new jurisdiction:

Delaware

8. If the amendment changes person, title or capacity in accordance with 605.0902 (1)(c), indicate that change:

| <u>Title/ Capacity</u> | <u>Name</u> | <u>Address</u> | <u>Type of Action</u> |
|------------------------|---------------------------------|------------------------------------|--|
| member | Annexus Holding LLLP | 16767 N Perimeter Drive, Suite 320 | <input type="checkbox"/> Add |
| | | Scottsdale, AZ 85260 | <input checked="" type="checkbox"/> Remove |
| member | Annexus Holdings, LLC | 16767 N Perimeter Drive, Suite 320 | <input checked="" type="checkbox"/> Add |
| | | Scottsdale, AZ 85260 | <input type="checkbox"/> Remove |
| manager | Annexus Management Company, LLC | 16767 N Perimeter Drive, Suite 320 | <input type="checkbox"/> Add |
| | | Scottsdale, AZ 85260 | <input checked="" type="checkbox"/> Remove |
| manager | Eric Denham | 16767 N Perimeter Drive, Suite 320 | <input checked="" type="checkbox"/> Add |
| | | Scottsdale, AZ 85260 | <input type="checkbox"/> Remove |
| manager | Jayne Rothman | 1445 Ross Avenue, 40th Floor | <input checked="" type="checkbox"/> Add |
| | | Dallas, TX 75202 | <input type="checkbox"/> Remove |

9. Attached is a certificate, if required: no more than 90 days old, evidencing the aforementioned amendment(s), duly authenticated by the official having custody of records in the jurisdiction under the law of which this entity is organized.


Signature of the authorized representative

Duncan McQueen

Typed or printed name of signee

Filing Fee: \$25.00

FILED
2023 SEP 15 PM 3:45
RECORDS & CLERK
STATE
OF FLORIDA
TALLAHASSEE, FLORIDA

Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT "ANNEXUS SECURITIES, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE NOT HAVING BEEN CANCELLED OR REVOKED SO FAR AS THE RECORDS OF THIS OFFICE SHOW AND IS DULY AUTHORIZED TO TRANSACT BUSINESS.

THE FOLLOWING DOCUMENTS HAVE BEEN FILED:

CERTIFICATE OF CONVERSION", FILED THE FIFTH DAY OF AUGUST, A.D. 2022, AT 9:13 O'CLOCK A.M.

CERTIFICATE OF FORMATION, FILED THE FIFTH DAY OF AUGUST, A.D. 2022, AT 9:13 O'CLOCK A.M.

CERTIFICATE OF CHANGE OF REGISTERED AGENT, FILED THE TWELFTH DAY OF JULY, A.D. 2023, AT 4:53 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE AFORESAID CERTIFICATES ARE THE ONLY CERTIFICATES ON RECORD OF THE AFORESAID LIMITED LIABILITY COMPANY, "ANNEXUS SECURITIES, LLC".

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN PAID TO DATE.



6954149 8310

SR# 20233333079

You may verify this certificate online at corp.delaware.gov/authver.shtml

A handwritten signature in black ink, appearing to read "JBullock", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed in a small font.

Authentication: 204031586

Date: 08-24-23

Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED ARE TRUE AND CORRECT COPIES OF ALL DOCUMENTS ON FILE OF "ANNEXUS SECURITIES, LLC" AS RECEIVED AND FILED IN THIS OFFICE.

THE FOLLOWING DOCUMENTS HAVE BEEN CERTIFIED:

CERTIFICATE OF CONVERSION, FILED THE FIFTH DAY OF AUGUST, A.D. 2022, AT 9:13 O'CLOCK A.M.

CERTIFICATE OF FORMATION, FILED THE FIFTH DAY OF AUGUST, A.D. 2022, AT 9:13 O'CLOCK A.M.

CERTIFICATE OF CHANGE OF REGISTERED AGENT, FILED THE TWELFTH DAY OF JULY, A.D. 2023, AT 4:53 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE AFORESAID CERTIFICATES ARE THE ONLY CERTIFICATES ON RECORD OF THE AFORESAID LIMITED LIABILITY COMPANY, "ANNEXUS SECURITIES, LLC".

A handwritten signature of Jeffrey W. Bullock in black ink, written over a horizontal line.

Jeffrey W. Bullock, Secretary of State

6954149 8100H
SR# 20233333768

You may verify this certificate online at corp.delaware.gov/authver.shtml

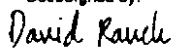
Authentication: 204032150
Date: 08-24-23

State of Delaware
Secretary of State
Division of Corporations
Delivered 09:13 AM 08/05/2022
FILED 09:13 AM 08/05/2022
SR 20223185923 - File Number 6954149

**STATE OF DELAWARE
CERTIFICATE OF CONVERSION
FROM A NON-DELAWARE LIMITED LIABILITY COMPANY TO
A DELAWARE LIMITED LIABILITY COMPANY PURSUANT TO
SECTION 18-214 OF THE LIMITED LIABILITY COMPANY ACT**

1. The jurisdiction where the Non-Delaware Limited Liability Company first formed is Arizona.
2. The jurisdiction immediately prior to filing this Certificate is Arizona.
3. The date the Non-Delaware Limited Liability Company first formed is August 8, 2016.
4. The name of the Non-Delaware Limited Liability Company immediately prior to filing this Certificate is Annexus Securities, LLC.
5. The name of the Limited Liability Company as set forth in the Certificate of Formation is Annexus Securities, LLC.

IN WITNESS WHEREOF, the undersigned have executed this Certificate on the 5th day of August, 2022.

DocuSigned by:

By: ARR58A11C05A4EA
David Rauch
Authorized Person

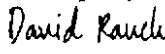
State of Delaware
Secretary of State
Division of Corporations
Delivered 09:13 AM 08/05/2022
FILED 09:13 AM 08/05/2022
SR 20223185923 - File Number 6954149

**STATE OF DELAWARE
CERTIFICATE OF FORMATION
OF LIMITED LIABILITY COMPANY**

The undersigned authorized person, desiring to form a limited liability company pursuant to the Limited Liability Company Act of the State of Delaware, hereby certifies as follows:

1. The name of the limited liability company is Annexus Securities, LLC.
2. The Registered Office of the limited liability company in the State of Delaware is located at 1209 Orange Street, in the City of Wilmington, County of New Castle, Zip Code 19801. The name of its Registered Agent at such address upon whom process against this limited liability company may be served is The Corporation Trust Company.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Formation this 5th day of August, 2022.

DocuSigned by:

By: ADDSBA11COSAMEA
Name: David Rauch
Its: Authorized Person

