

M/7000005936

(Requestor's Name)

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(City/State/Zip/Phone #)

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(Business Entity Name)

(Document Number)

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2017 JUL 13 P 5:41

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

D BRUCE  
JUL 13 2017

**EMC2 Financial, LLC**

9942 Capital of Texas Hwy N Plaza I Suite 500  
Austin, Texas 78759

512-296-0081 (Personal)  
[chris.rocco@emc2.financial](mailto:chris.rocco@emc2.financial)

512-969-1246 (Business)  
512-343-3662 (Office)

July 6, 2017

State of Florida  
Corporation's Division  
Registration Section  
Clifton Building  
2662 Executive Center Circle  
Tallahassee, Florida 32301

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TALLAHASSEE, FLORIDA

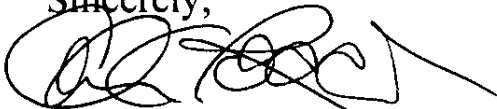
Re: W17000055539

Dear Sirs or Madams:

Enclosed, please find a Certificate of Filing of Emc2 Financial, LLC. This form was requested to cure a Rejected Filing for Document W17000055539.

Thanks !

Sincerely,



Christopher w. Rocco, CFO  
EMC2 Financial, LLC

Enclosure: State of Texas Certificate of Filing

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**RECEIVED**  
2017 JUL -7 AM 10:18  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

DP



FLORIDA DEPARTMENT OF STATE  
Division of Corporations

July 5, 2017

CHRISTOPHER W ROCCO  
9942 CAPITAL OF TEXAS HWY N STE 500  
AUSTIN, TX 78759

SUBJECT: EMC2 FINANCIAL, LLC  
Ref. Number: W17000055539

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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

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We have received your document for EMC2 FINANCIAL, LLC and your check(s) totaling \$160.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

A certificate of existence or a certificate of good standing, dated no more than 90 days prior to the delivery of the application to the Department of State, duly authenticated by the secretary of state or other official having custody of the records in the jurisdiction under the laws of which it is incorporated/organized, must be submitted to this office. A translation of the certificate under oath of the translator must be attached to a certificate which is in a language other than the English language. A photocopy of this certificate is not acceptable.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Dionne M Pijaux  
Regulatory Specialist

Letter Number: 617A00013593

COVER LETTER

TO: Registration Section  
Division of Corporations

SUBJECT: EMC2 Financial, LLC  
Name of Limited Liability Company

The enclosed "Application by Foreign Limited Liability Company for Authorization to Transact Business in Florida," Certificate of Existence, and check are submitted to register the above referenced foreign limited liability company to transact business in Florida.

Please return all correspondence concerning this matter to the following:

CHRISTOPHER W. ROCCO

Name of Person

EMC2 Financial, LLC

Firm/Company

9942 CAPITAL OF TEXAS HWY N Ste 500

Address

Austin, TX 78759

City/State and Zip Code

CHRIS.ROCCO@EMC2.FINANCIAL

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

CHRISTOPHER W. ROCCO

Name of Contact Person

at (512)

Area Code

512-296-0081

Daytime Telephone Number

**MAILING ADDRESS:**

Division of Corporations  
Registration Section  
P.O. Box 6327  
Tallahassee, FL 32314

**STREET ADDRESS:**

Division of Corporations  
Registration Section  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

Enclosed is a check for the following amount:

☐ \$125.00 Filing Fee

☐ \$130.00 Filing Fee &  
Certificate of Status

☐ \$155.00 Filing Fee &  
Certified Copy

☒ \$160.00 Filing Fee, Certificate  
of Status & Certified Copy

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

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APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS  
IN FLORIDA

IN COMPLIANCE WITH SECTION 605.0902, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY  
COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1. EMC2 Financial, LLC  
(Name of foreign limited liability company; must include "limited liability company," "LLC," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida. The alternate name must include "limited liability company," "LLC," or "LLC.")

2. Texas 3. 81-3924355  
(Jurisdiction under the law of which foreign limited liability company is organized) (FBI number, if applicable)

4. 7/7/17  
(Date first office and business in Florida if prior to registration)  
(See sections 605.0904 & 605.0905, F.S., for alternate provisions, if any)

5. 1311 Piney Creek  
(Name of foreign office)  
Cedar Park, TX  
78613

6. 9942 Capital of Texas Hwy N  
(Mailing Address)  
Plaza I Suite 500  
Austin, TX 78759

7. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: United States Corporation Agent, Inc.  
Office Address: 13302 Winding Oak Court Ste A  
Tampa, Florida 33612  
(City) (Zip code)

Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

CM Cheyenne Moseley, Asst. Secretary of behalf of United States Corporation Agents, Inc.  
(Registered agent's signature)

8. The name, title or capacity and address of the person(s) who has/have authority to manage is/are:

Title or Capacity:	Name and Address:	Title or Capacity:	Name and Address:
<u>President</u>	<u>George B. Hozier</u> <u>1311 Piney Creek</u> <u>Cedar Park, TX 78613</u>	<u>CFO</u>	<u>Christopher W. Rocco</u> <u>1311 Piney Creek</u> <u>Cedar Park, TX 78613</u>
<u>VP</u>	<u>Patrick J. Doggett</u> <u>1311 Piney Creek</u> <u>Cedar Park, TX 78613</u>		

(Use attachments if necessary)

9. Attached is a certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted)

10. This document is executed in accordance with section 605.0203 (1) (b), Florida Statutes. I am aware that any false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

Patrick J. Doggett  
(Signature of an authorized person)  
PATRICK J. DOGGETT  
(Typed or printed name of signer)

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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA  
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Corporations Section  
P.O.Box 13697  
Austin, Texas 78711-3697



Rolando B. Pablos  
Secretary of State

## Office of the Secretary of State

### Certificate of Fact

The undersigned, as Secretary of State of Texas, does hereby certify that the document, Certificate of Formation for Emc2 Financial, LLC (file number 802545527), a Domestic Limited Liability Company (LLC), was filed in this office on September 20, 2016.

It is further certified that the entity status in Texas is in existence.

In testimony whereof, I have hereunto signed my name officially and caused to be impressed hereon the Seal of State at my office in Austin, Texas on July 13, 2017.



A handwritten signature in black ink, appearing to read "R. Pablos".

Rolando B. Pablos  
Secretary of State