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(Requestor's Name) (Address) (Address)	400300408994
(City/State/Zip/Phone #)	FILED MIT JUN 20 A 8: 27 SECRE TARY OF STATE TALLAHASSEE, FLORIDA
Certified Copies Certificates of Status	2017 JUN 20 PM 1: 41 SC TANDEL TATE TALL TANDEL TATE
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CORPORATION SERVICE COMPANY 1201 Hays Street Tallhassee, FL 32301 Phone: 850-558-1500

	ACCOUNT NO.	:	120000	000195				
	REFERENCE	:	681606	7	426562			
	AUTHORIZATION	:	Simel	lole	ne.	,		
	COST LIMIT	:	\$ 125.	00				
	June 13, 2017							
ORDER TIME :	11:25 AM							
ORDER NO. :	681606-020					As A	22	
CUSTOMER NO:	7426562					ECRE	2017 JUN	Ţ
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NAME -	DENSTONMARK S	ECU	RTTTES	LLC	JA	> 111	27	

NAME: PENSIONMARK SECURITIES, LLC

XXXX QUALIFICATION (TYPE: LL)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

	CERTIFIED CO	DPY	
<u>XX</u>	PLAIN STAMP	ED COPY	
	CERTIFICATE	OF GOOD	STANDING

CONTACT PERSON: Melissa Zender -- EXT# 62956

EXAMINER:

## A<sup>\$PLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA</sup>

## IN COMPLIANCE WITH SECTION 605.0902, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

## 1 Pensionmark Securities, LLC

(FEI number, if applicable)         (Part first transacted business in Florida. (f prior to registration.) (See sections 605.0904 & 503.0905. F.S. to determine penalty liability)         24 E. Cota Street         (Mailing Address)         Santa Barbara, CA 93101         Name:         Corporation Service Company         Office Address:         1201 Hays Street         Tallahassee         (City)         (City)         (City)         (City)         (City)         (City)       (City)		ame adopted for the purpose of transacting business in Fk		Iternate name must include "Limited Liability Company." "L.L.C." or	LLĊ.
Upon filing       (Date first transacted business in Florida. if prior to registration.) (See sections 605.0904 & 503.0905. F.S. to determine penalty liability)         24 E. Cota Street (Street Address of Principal Office)       6. 24 E. Cota Street (Mailing Address)         Santa Barbara, CA 93101       Santa Barbara, CA 93101         Name and <u>street address</u> of Florida registered agent: (P.O. Box <u>NOT</u> acceptable)       Name:         Name:       Corporation Service Company         Office Address:       1201 Hays Street         Tallahassee       , Florida <u>32301</u> (City)       (City)         registered agent's acceptance:       Corporation Service of process for the above stated limited liability compare at the segurated in this application, J hereby accept the appointment as registered agent and agree to act in this profections of all statutes relative to the proper and complete performance of my duties, first amaint and accept the obligations of my position as registered agent. Corporation Service Company         Man accept the obligations of my position as registered agent. Corporation Service Company       Multure Varway         By:       (Registered agent's signature)       Service Company         (Registered agent's algonature)       Service Company       Multure Varway         By:       (Registered agent's signature)       Service Company         (Registered agent's signature)       Service Company       Service Company         By:       (	Delaware		3.		
(Due first transacced business in Flurids, if prior to registration.) (See sections 605.0904 & 503.0905, F.S. to determine penalty tability)         24 E. Cota Street         (Multing Address)         Santa Barbara, CA 93101         Santa Barbara, CA 93101         Mare and street address of Florida registered agent: (P.O. Box <u>NOT</u> acceptable)         Name and street address of Florida registered agent: (P.O. Box <u>NOT</u> acceptable)         Name:       Corporation Service Company         Office Address:       1201 Hays Street         Tallahassee       , Florida <u>32301</u> (City)         (C	(Jurisdiction under the law of wi	nich foreign limited liability company is organized)		(FEI number, if applicable)	
(Date for transacced business in Flurida. (forior to registration.) (See sections 605.0904 & 605.090	Upon filing				
24 E. Cota Street       6.       24 E. Cota Street         (Suret Address of Phincipal Office)       Santa Barbara, CA 93101       Santa Barbara, CA 93101         Name and street address of Florida registered agent: (P.O. Box NOT acceptable)       Santa Barbara, CA 93101         Name and street address of Florida registered agent: (P.O. Box NOT acceptable)       Name:       Corporation Service Company         Office Address:       1201 Hays Street		(Date first transacted business in Florida, if prior to	registration		
(Street Address of Principal Office)       (Mailing Address)         Santa Barbara, CA 93101       Santa Barbara, CA 93101         Name and street address of Florida registered agent: (P.O. Box NOT acceptable)       Nort acceptable)         Name:       Corporation Service Company         Office Address:       1201 Hays Street         Tallahassee       (City)         (City)       Florida 32301         (City)       (Zip code)         egistered agent's acceptance:       (City)         aving been named as registered agent and to accept service of process for the above stated limited liability composition are registered agent. The position as registered agent. Corporation Service Company         Mathematication of all statutes relative to the proper and complete performance of my duties, and to accept the obligations of all statutes registered agent. Corporation Service Company         Mathematication of all statutes registered agent. Corporation Service Company         Mathematication of all statutes registered agent. Corporation Service Company         Mathematication of all statutes registered agent. Corporation Service Company         Mathematication of all statutes registered agent. Corporation Service Company         Mathematication of all statutes registered agent. Corporation Service Company         Mathematication of all statutes registered agent. Corporation Service Company         Mathematication of all statutes registered agent. Signature) <t< td=""><td>24 E. Cata Streat</td><td>(See sections 003.0904 &amp; 003.0903, P.S. 15 detent</td><td></td><td></td><td></td></t<>	24 E. Cata Streat	(See sections 003.0904 & 003.0903, P.S. 15 detent			
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Name and street address of Florida registered agent: (P.O. Box NOT acceptable)         Name:       Corporation Service Company         Office Address:       1201 Hays Street         Tallahassee       , Florida 32301         (City)       (Zip code)         Registered agent's acceptance:       (City)         Having been named as registered agent and to accept service of process for the above stated limited liability acompation at the sequence of my duties, full a argumility furner of comply with the provisions of all statutes relative to the proper and complete performance of my duties, full argumility and accept the obligations of my position as registered agent. Signature)         8. The name, title or capacity:       Name and Address:         Title or Capacity:       Name and Address:         Member       Pensionmark Financial Group, LLC		•			
Name:       Corporation Service Company         Office Address:       1201 Hays Street         Tallahassee       , Florida 32301         (City)       (Zip code)         Registered agent's acceptance:       (City)         Aaving been named as registered agent and to accept service of process for the above stated limited liability compares at the signated in this application, I hereby accept the appointment as registered agent and agree to act in this specify. J furner to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I and and accept the obligations of my position as registered agent. Muchuk Vanwry         By:       (Registered agent's signature)         8. The name, title or capacity and address of the person(s) who has/have authority to manage is/are:       Name and Address:         Title or Capacity:       Name and Address:         Member       Pansionmark Financial Group, LLC					
Name:       Corporation Service Company         Office Address:       1201 Hays Street         Tallahassee       , Florida 32301         (City)       , Florida 32301         (City)       (City)         registered agent's acceptance:       (City)         (City)       (City)         (Complanting the appointment as registered agent and agree to act in this specified to the proper and complete performance of my duties, and and and accept the obligations of my position as registered agent.         (Corporation Service Company       (City)         (Registered agent's signature)       (Corporation Service Company         (Registered agent's signature) <t< td=""><td>Name and street addres</td><td>ss of Florida registered agent: (P.O. Bo</td><td>x NOT a</td><td>acceptable)</td><td></td></t<>	Name and street addres	ss of Florida registered agent: (P.O. Bo	x NOT a	acceptable)	
Office Address:       1201 Hays Street         Tallahassee       , Florida         (City)       , Florida         (City)       (City)         (Completence)       (City)         (City)       (City)         (City)       (City)         (City)       (City)         (City)       (City)         (Completence)       (City)         (Corporation Service Company       (Corporation Service Company					
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(Use attachments if necessary)

9. Attached is a certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted)

Signature of an authorized person

10. This document is executed in accordance with section 605.0203 (1) (b), Florida Statutes. I am aware that any false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

Troy Hammond

Typed or printed name of signee



The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "PENSIONMARK SECURITIES, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE SIXTEENTH DAY OF JUNE, A.D. 2017.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "PENSIONMARK SECURITIES, LLC" WAS FORMED ON THE EIGHTEENTH DAY OF APRIL, A.D. 2016.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN PAID TO DATE.



Jeffrey W. Bullech, Secretary of Blate

Authentication: 202725277 Date: 06-16-17

6020080 8300 SR# 20174801623

You may verify this certificate online at corp.delaware.gov/authver.shtml