005185

(Requestor's Name)
(Address)
(Address)
(City/State/Zip/Phone #)
PICK-UP WAIT MAIL
(Business Entity Name)
(Document Number)
Certified Copies Certificates of Status
Special Instructions to Filing Officer:
art.
W/ - 824 883
WK,

Office Use Only



100296798091

17 JUN 19 AH 8: 44 FILED

DIVISION OF CORPORATIONS

RECEIVED

2017 MAR 20 AM 11: 4/2



FLORIDA DEPARTMENT OF STATE Division of Corporations

June 19, 2017

CT CORP

and allaw on the Initial bile date

SUBJECT: RUSSELL INVESTMENTS FINANCIAL SERVICES, LLC Ref. Number: W17000050801

We have received your document for RUSSELL INVESTMENTS FINANCIAL SERVICES, LLC and your check(s) totaling \$. However, the enclosed document has not been filed and is being returned for the following correction(s):

You failed to make the correction(s) requested in our previous letter.

Pursuant to section 607.1502(4), 617.1502(4) or 605.0904(7), Florida Statutes, this entity is liable for a civil penalty of at least \$500 but not more than \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification. In addition to this civil penalty, the appropriate annual report fees that would have been due this office had the entity qualified the year it began operations in this state are also due. The amount due this office to cover both annual report(s) and penalty fees is \$638.75.

638.75The name of entity on new filing application enclosed is a different name on the withdrawal application.

Other was an Inc.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Octavia I Simmons Regulatory Specialist II

Letter Number: 817A00012365

they are requesting

CT CORP

3458 Lakeshore Drive, Tallahassee, FL 32312 850-656-4724 850-508-1891 (cell)

Date: 3

Ref#

Russell Investments Financial Services, LLC

10407497 Name: Document #: Order #: Certified Copy of Arts & Amend: Plain Copy: Certificate of Good Standing: Apostille/Notarjal-Country of Destination: Certification: Number of Certs: Filing: Certified: Plain: COGS: Availability _____ Document _____ Amount: \$ /2*5.00* Examiner _____ Updater _____ Verifier _____ W.P. Verifier _____

Thank you!

CT CORP

3458 Lakeshore Drive, Tallahassee, FL 32312 850-656-4724 850-508-1891 (cell)

Date:	E 6/16/17 ACCT. 120160000072 G: ()	W
Name:	Russel Investments Financial Gervices	
Document #:		
Order #:	(0407497	
Certified Copy of Arts & Amend: Plain Copy: Certificate of Good		•
Standing:		
Apostille/Notarial Certification:	Country of Destination: Number of Certs:	
Filing:	Certified: Plain: COGS:	
Availability Document Examiner Updater Verifier Ref#	Amount: \$ 638.75	

Thank you!

COVER LETTER

TO:	Registrat Division	ion Section of Corporatio	ns				
SUBJEC	Russ	ell Investment	s Financial Services, LLC	C			
COBUEC			Name	of Limited Liability	Company		
The enclo	osed "App e, and chec	lication by For k are submitte	reign Limited Liability C ed to register the above re	ompany for Authoriz ferenced foreign lim	ation to Tr ited liabilit	ansact Business in Florida," (Certificate of ess in Florida.
Please re	tum all co	respondence o	concerning this matter to	the following:			
	I	Emily Davis					
		· · -	· · · · · · · · · · · · · · · · · · ·	Name of Person			
	F	tussell Investn	nents				:
	-			Firm/Company			
	1	301 2nd Aven	ue, Floor 18			•	
				Address			
	S	eattle, Washin	ogton 98101				
	 -		Cit	y/State and Zip Code			
	eda	vis@russellin	vestments.com				
			E-mail address: (to be u	ised for future annual	report not	ification)	
For furthe	r informat	ion concerning	g this matter, please call:				
]	Emily Dav	is		206 at (505-18	35	
_		Name o	f Contact Person	Area Code	Day	time Telephone Number	
I. B P	Division of Registration P.O. Box 6				Division Registrati Clifton B 2661 Exe	CADDRESS: of Corporations ion Section uilding cutive Center Circle ee, FL 32301	
		for the followi Filing Fee	ing amount: ☐ \$130.00 Filing Fee & Certificate of Status	. □ \$155.00 Filin Certified Copy	ug Fee &	☐ \$160.00 Filing Fee, Cert of Status & Certified Copy	

APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 605,0902, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

COMPANY TO TRANSACT BO	OSINESS IN THE STATE OF FLORIE	A:			
1. Russell Investments Fi		•			
(Name of For	elgn Limited Liability Company; m	ust include "Limited I	Liability Company,""L.L.C.," or	"LLC.")	
Liability Company," "L.L.C,	Iternate name adopted for the purpo " or "LLC.")	ose of transacting busing	ness in Florida. The alternate nam	ne must include "Limited	
2. Washington		3.			
(Jurisdiction under the law company is organized)	of which foreign limited liability	,	(FEI number, if applicable)	,	
4 JUNE 2,	2016				
4.	(Date first transacted busin	ness in Florida, if prior	to registration.)	- ,	
5 1301 Second Avenue,	(See sections 605,0904 & 60 Floor 18	3.0903, F.S. 16 determ	ine penaky naohity)		
J			· · ·	- e -	
Seattle, WA 98101	(Street Address of	(Dulmalma) (Afficia)		1951	١
6. 1301 Second Avenue, 1		. Рипсіраї Ощеєј		일 보 그	
6. <u>1301 Bocoda Avendo, 1</u>	TIOOT TO			JUN 19 A	•
Seattle, WA 98101				OR E	۱۱ مسم
	(Mailing	(Address)		Took on	<u> </u>
7. Name and street addres	s of Florida registered agent: (1	P.O. Box NOT acce	ptable)		
Name:	C T Corporation System			THE LE	
Office Address:	1200 South Pine Island Road			• •	
,	Plantation		33324		
	(City)		, Florida 33324	-	
Registered agent's accep	• • • •	•	(Zip tode)		
designated in this applica to complywith the provisi accept the obligations of t	gistered agent and to accept se tion, I hereby accept the appoint ons of all statutes relative to the my position as registered agent. The Corporation Registered	ntment as registered e proper and comple	l agent and agree to act in this see performance of my duties	is capacity. I further agr	ree
9 The title on	-its and address of the namon/s) who had/hava auth	arity to manage idage.		
See attached list	scity and address of the person(s) who has have adm	ority to manage is are.	•	
See allached list					
			·		
			•		
9. Attached is a certificate jurisdiction under the law of the translator must be su	Valt	ays old duly authen certificate is in a fore cof an authorized pers	eign language, a translation of	custody of records in the fthe certificate under oath	1
This document is executed	in accordance with section 605.	0203 (1) (b), Florida	a Statutes. I am aware that any	false information	
submitted in a document to	the Department of State constit	utes a third degree fo	elony as provided for in s.817.	.155, F.S.	
	Matthew C. Moss			•	

Typed or printed name of signee

APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

RUSSELL INVESTMENTS FINANCIAL SERVICES, LLC

8. The name, title or capacity and address of the person(s) who has/have authority to manage is/are:

Name	Title	Address
Russell Investment	Member	1301 Second Avenue, Floor 18
Management, LLC	. 1	Seattle, WA 98101
Brian C. Golob	Director	1301 Second Avenue, Floor 18
		Seattle, WA 98101
Mark E. Swanson	Director	1301 Second Avenue, Floor 18
		Seattle, WA 98101
Mark Spina	Director, Chairperson,	1301 Second Avenue, Floor 18
	President	Seattle, WA 98101
Matthew C. Moss	Chief Financial Officer	1301 Second Avenue, Floor 18
		Seattle, WA 98101
Mary Beth R. Albaneze	Secretary	1301 Second Avenue, Floor 18
·		Seattle, WA 98101
Jean Webber	Treasurer	1301 Second Avenue, Floor 18
		Seattle, WA 98101
Douglas Whittle	Chief Compliance Officer	1301 Second Avenue, Floor 18
· ·	and AML Officer	Seattle, WA 98101
Jessica Gates	Assistant Secretary	1301 Second Avenue, Floor 18
	·	Seattle, WA 98101
Carla Anderson	Assistant Secretary	1301 Second Avenue, Floor 18
		Seattle, WA 98101

17 JUN 19 AM 8: 44
DIVISION OF CORPORATIONS

The State of Washington

Secretary of State

I, KIM WYMAN, Secretary of State of the State of Washington and custodian of its seal, hereby issue this

CERTIFICATE OF EXISTENCE

OF

RUSSELL INVESTMENTS FINANCIAL SERVICES, LLC

I FURTHER CERTIFY that the records on file in this office show that the above named entity was formed under the laws of the State of Washington and that its public organic record was filed in Washington and became effective on 1/15/1988.

I FURTHER CERTIFY that the entity's duration is Perpetual, and that as of the date of this certificate, the records of the Secretary of State do not reflect that this entity has been dissolved.

I FURTHER CERTIFY that all fees, interest and penalties owed to this state and collected through the Secretary of State have been paid.

I FURTHER CERTIFY that the most recent annual report has been delivered to the Secretary of State for filing and that proceedings for administrative dissolution are not pending.

Date: March 16, 2017

UBI: 601-063-769

Given under my hand and the Seal of the State of Washington at Olympia, the State Capital

Kim Wyman, Secretary of State

