

M1700003572

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

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(Business Entity Name)

(Document Number)

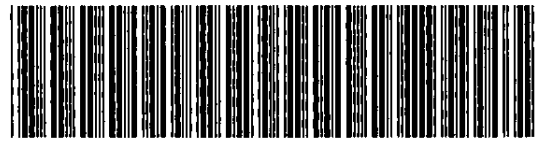
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Special Instructions to Filing Officer:

17-29482

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Office Use Only



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APR 27 2017
S. YOUNG

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA
17 APR -5 PM 12:27



FLORIDA DEPARTMENT OF STATE
Division of Corporations

April 6, 2017

CARLOS P PIZZIMBONO
BARCLAY INVESTMENTS LLC
254 WEST 54TH STREET 16TH FLOOR
NEW YORK, NY 10019

SUBJECT: BARCLAY INVESTMENTS LLC
Ref. Number: W17000029482

We have received your document for BARCLAY INVESTMENTS LLC and your check(s) totaling \$155.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

A certificate of existence or a certificate of good standing, dated no more than 90 days prior to the delivery of the application to the Department of State, duly authenticated by the secretary of state or other official having custody of the records in the jurisdiction under the laws of which it is incorporated/organized, must be submitted to this office. A translation of the certificate under oath of the translator must be attached to a certificate which is in a language other than the English language. A photocopy of this certificate is not acceptable.

~~Section 605.0203(1) of the Florida Statutes requires the document(s) to be signed by one person acting as an authorized representative.~~

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Shelia H Young
Regulatory Specialist II

Letter Number: 417A00006666

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TALLAHASSEE, FLORIDA
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2017 APR 25 PM 12:44
OFFICE OF THE SECRETARY OF STATE

COVER LETTER

**TO: Registration Section
Division of Corporations**

SUBJECT: Barclay Investments LLC

Name of Limited Liability Company

The enclosed "Application by Foreign Limited Liability Company for Authorization to Transact Business in Florida," Certificate of Existence, and check are submitted to register the above referenced foreign limited liability company to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Carlos P. Pizzimbono

Name of Person

Barclay Investments LLC

Firm/Company

254 West 54th Street, 16th Fl

Address

New York, New York 10019

City/State and Zip Code

ppizzimbono@barclayi.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Carlos P. Pizzimbono

212
at ()

421-8901

Name of Contact Person

Area Code

Daytime Telephone Number

MAILING ADDRESS:

Division of Corporations
Registration Section
P.O. Box 6327
Tallahassee, FL 32314

STREET ADDRESS:

Division of Corporations
Registration Section
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Enclosed is a check for the following amount:

☐ \$125.00 Filing Fee

☐ \$130.00 Filing Fee &
Certificate of Status

☒ \$155.00 Filing Fee &
Certified Copy

☐ \$160.00 Filing Fee, Certificate
of Status & Certified Copy

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS
IN FLORIDA**

IN COMPLIANCE WITH SECTION 605.0902, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1. Barclay Investments LLC
(Name of Foreign Limited Liability Company; must include "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida. The alternate name must include "Limited Liability Company," "L.L.C.," or "LLC.")

2. Delaware State 3. 82-0822761
(Jurisdiction under the law of which foreign limited liability company is organized) (FEI number, if applicable)

4. April 15th
(Date first transacted business in Florida, if prior to registration.)
(See sections 605.0904 & 605.0905, F.S. to determine penalty liability)

5. 1395 Brickell Avenue, Suite 905
Miami, FL 33131
(Street Address of Principal Office)

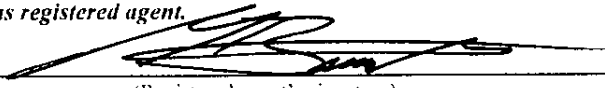
6. 254 West 54th Street, 16th Floor
New York, NY 10019
(Mailing Address)

7. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Marcelo Berenstein
Office Address: 1395 Brickell Avenue, Suite 905
Miami, Florida 33131
(City) (Zip code)

Registered agent's acceptance:


Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.


(Registered agent's signature)

8. The name, title or capacity and address of the person(s) who has/have authority to manage is/are:

Carlos P. Pizzimbono, Chief Executive Officer, 254 West 54th Street, 16th Fl, NY, NY 10019
Ralph Dalton, President, 254 West 54th Street, 16th Fl, NY, NY 10019
David L. Kurfless, Chairman/ CCO, 254 West 54th Street, 16th Fl, NY, NY 10019

9. Attached is a certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted)


Signature of an authorized person

This document is executed in accordance with section 605.0203 (1) (b), Florida Statutes. I am aware that any false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

Ralph Dalton
Typed or printed name of signee

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TALLAHASSEE, FLORIDA
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Delaware

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Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "BARCLAY INVESTMENTS LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-SECOND DAY OF MARCH, A.D. 2017.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "BARCLAY INVESTMENTS LLC" WAS FORMED ON THE TWELFTH DAY OF JANUARY, A.D. 1972.

FILED
SECRETARY OF STATE
TALLAHASSEE, FLORIDA
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SR# 20171918385

You may verify this certificate online at corp.delaware.gov/authver.shtml

A handwritten signature in black ink, appearing to read "JB", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Authentication: 202247543

Date: 03-22-17