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(Requestor's Name)

(Address)

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(City/State/Zip/Phone #)

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MAIL

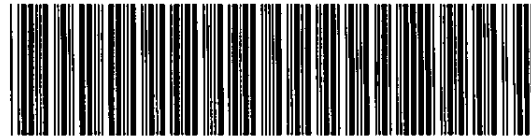
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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01/11/17--01003--016 **160.00

17 JAN 11 AM 10:37

FILED
SECRETARY OF STATE
CLERK OF COURT

MAR 28 2017
J. HARRIS

8262 LHA

COVER LETTER

**TO: Registration Section
Division of Corporations**

SUBJECT: Cuttone & Company, LLC

Name of Limited Liability Company

The enclosed "Application by Foreign Limited Liability Company for Authorization to Transact Business in Florida," Certificate of Existence, and check are submitted to register the above referenced foreign limited liability company to transact business in Florida..

Please return all correspondence concerning this matter to the following:

Annette Scuteri

Name of Person

Cuttone & Company, LLC

Firm/Company

97 Main Street, Suite 201

Address

Chatham, NJ 07928

City/State and Zip Code

ascuteri@cuttone.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Donato A. Cuttone,

646

943-5400

at ()

Name of Contact Person

Area Code

Daytime Telephone Number

MAILING ADDRESS:

Division of Corporations
Registration Section
P.O. Box 6327
Tallahassee, FL 32314

STREET ADDRESS:

Division of Corporations
Registration Section
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

Enclosed is a check for the following amount:

☐ \$125.00 Filing Fee

☐ \$130.00 Filing Fee &
Certificate of Status

☐ \$155.00 Filing Fee &
Certified Copy

☒ \$160.00 Filing Fee, Certificate
of Status & Certified Copy



FLORIDA DEPARTMENT OF STATE
Division of Corporations

January 12, 2017

ANNETTE SCUTERI
97 MAIN STREET, SUITE 201
CHATHAM, NJ 07928

SUBJECT: CUTTONE & CO., LLC
Ref. Number: W17000002978

2017 MAR 24 PM 2:29
TALLAHASSEE, FLORIDA

We have received your document for CUTTONE & CO., LLC and your check(s) totaling \$160.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The name listed in number one of the application must be identical to the name listed in the certificate of existence.

Unfortunately, the enclosed certified copy does not meet our filing requirements. We require a certificate of existence or certificate of good standing, which usually consists of a single sheet of paper that clearly reflects the entity is a valid entity in its home state/country. You can obtain the certificate of existence or certificate of good standing from the same office that provided you with the certified copy.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Jenna D Harris
Regulatory Specialist II

Letter Number: 617A00000768



FLORIDA DEPARTMENT OF STATE
Division of Corporations

January 12, 2017

ANNETTE SCUTERI
97 MAIN STREET, SUITE 201
CHATHAM, NJ 07928

SUBJECT: CUTTONE & CO., LLC
Ref. Number: W17000002978

RECEIVED
2017 JAN 31 AM 8:03
TALLAHASSEE, FLORIDA

We have received your document for CUTTONE & CO., LLC and your check(s) totaling \$160.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

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Jenna D Harris
Regulatory Specialist II

Letter Number: 617A00000768

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
17 JAN 11 AM 10:37

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS
IN FLORIDA**

IN COMPLIANCE WITH SECTION 605.0902, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1. Cuttone & Co LLC
(Name of Foreign Limited Liability Company; must include "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida. The alternate name must include "Limited Liability Company," "L.L.C.," or "LLC.")

2. New York 3. 81-4061170
(Jurisdiction under the law of which foreign limited liability company is organized) (FEI number, if applicable)

4. 12/29/2016
(Date first transacted business in Florida, if prior to registration.)
(See sections 605.0904 & 605.0905, F.S. to determine penalty liability)

5. 11 Wall Street, Trading Floor
New York, NY 10005
(Street Address of Principal Office)

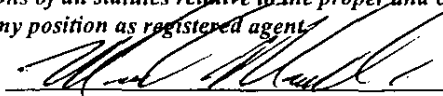
6. 97 Main Street, Suite 201
Chatham, NJ 07928
(Mailing Address)

7. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Michael Mascitelli
Office Address: 4440 PGA Boulevard, Suite 600
Palm Beach Gardens, Florida 33410
(City) (Zip code)

Registered agent's acceptance:

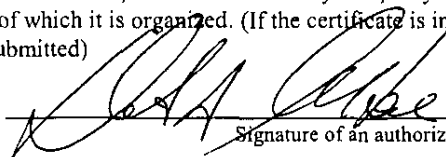
Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.


(Registered agent's signature)

8. The name, title or capacity and address of the person(s) who has/have authority to manage is/are:

Donato A. Cuttone, President CEO, 11 Wall Street, Trading Floor, New York, NY 10005
Donato J. Cuttone, Sr. Managing Director, 11 Wall Street, Trading Floor, New York, NY 10005
Joseph C. Cuttone, Sr. Managing Director, 11 Wall Street, Trading Floor, New York, NY 10005

9. Attached is a certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted)


Signature of an authorized person

This document is executed in accordance with section 605.0203 (1) (b), Florida Statutes. I am aware that any false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

Donato A. Cuttone
Typed or printed name of signer

FILED
OFFICE OF THE CLERK
STATE OF FLORIDA
17 JAN 11 AM 10:37

State of New York
Department of State } ss:

I hereby certify, that CUTTONE & CO., LLC (BD) a NEW YORK Limited Liability Company filed Articles of Organization pursuant to the Limited Liability Company Law on 01/21/2016, and that the Limited Liability Company is existing so far as shown by the records of the Department.

A Certificate of Amendment CUTTONE & CO., LLC (BD), changing its name to CUTTONE & COMPANY, LLC, was filed 10/04/2016.



*WITNESS my hand and the official seal
of the Department of State at the City of
Albany, this 25th day of January two
thousand and seventeen.*

*Brendan W. Fitzgerald
Executive Deputy Secretary of State*