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| (Requestor's Name) |
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| PICK-UP WAIT MAIL |
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| Certified Copies Certificates of Status |
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| Special Instructions to Filing Officer: |
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COVER LETTER

| TO: | Registration Sec Division of Cor | | | | |
|--------------|-------------------------------------|--|---------------|---|--|
| SUBJ | ECT. | RCS Capital Par | tners, Inc. | | |
| SUDJ | EC1: | Name of co | orporation - | must include suffix | |
| Dear S | Sir or Madam: | | | | |
| "Certi | ficate of Existenc | | Good Stand | ing" and check are sub | et Business in Florida," mitted to register the |
| Please | return all corresp | ondence concerning t | his matter t | o the following: | |
| | | Acumen Licensing Te | am/ Nancy N | leal | |
| | | | Name of Pe | erson | |
| | | Acumen Solutions Gro | oup | | |
| | | - | Firm/Comp | any | |
| | | 600 Broadhollow Roa | id, Suite 200 | | |
| | | | Addres | S | |
| | | Melville, New York | 1747 | | |
| | | | ty/State and | <u>-</u> | |
| | | licensing@acumenso | | | |
| | | E-mail address: (to | be used fo | r future annual report n | otification) |
| For fu | rther information | concerning this matte | r, please ca | 11: | |
| Nancy | Neal | | 631 | 719-5509 | |
| | Name of Perso | at (| Area Code | Daytime Telepl | hone Number |
| | STREET/COU | URIER ADDRESS: | | MAILING A | DDRESS: |
| | Registration Se | | | Registration Section Division of Corporations | |
| | Division of Cor Clifton Buildin | - | | P.O. Box 6327 | |
| | 2661 Executive Tallahassee, FI | Center Circle | | Tallahassee, F | L 32314 |
| Enclo | sed is a check for | the following amount | : | | |
| # \$7 | 0.00 Filing Fee | □ \$78.75 Filing Fe Certificate of St | | \$78.75 Filing Fee & Certified Copy | ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy |

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

| • | ave in a fortua, cinci affernate corporate fiame | adopted for the purpose of transacting | business in Florida) | |
|--|---|---|---|--|
| New York | 1 | 47-5244191 | | |
| (State or country under the law of which it is incorporated) | | (FEI number, if applicable) | | |
| 09/30/2015 | 5. | | | |
| (Date of incorporation) | | (Date of duration, if other than perpetual) | | |
| | | | | |
| | | n Florida, if prior to registration) | | |
| 270 Northpo | (SEE SECTIONS 607.1501 & 607.1 inte Parkway, Suite 40, Amherst, New York 1 | 502, F.S., to determine penalty liability |) | |
| 270 Nortingo | <u> </u> | <u> </u> | | |
| | (Princi | pal office address) | | |
| | | | | |
| | (Current mail) | ng address, if different) | | |
| | (Current mail) | ng address, if different) | | |
| Name and street | | | 17 N | |
| | (Current mailing to address of Florida registered agent: (P. C T Corporation System | | TANKA PARAMANANANANANANANANANANANANANANANANANAN | |
| Name and stree | et address of Florida registered agent: (P. C T Corporation System | | WHAR 21 | |
| | et address of Florida registered agent: (P. | | WHAR 21 PH | |
| Name: | et address of Florida registered agent: (P. C T Corporation System | | THAR 21 PH 2: L | |

James M. Halpin
Assistant Secretary

(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

• 11. Names and business addresses of officers and/or directors: A. DIRECTORS Chairman: Vice Chairman: Address: Director: _ Address: **B. OFFICERS** Eli Hazzan President: 270 Northpoint Parkway, Suite 40 Address: Amherst, New York 14228 Vice President: Address: _____ Secretary: _ Treasurer: **NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors. Signature of Director or Officer The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S. Eli Hazzan, President 13.

State of New York Department of State } ss:

I hereby certify, that the Certificate of Incorporation of RCS CAPITAL PARTNERS, INC. was filed on 09/30/2015, with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is an existing corporation.

* * *

WITNESS my hand and the official seal of the Department of State at the City of Albany, this 03rd day of March two thousand and seventeen.

Brondan W. Fitzgorald

Brendan W. Fitzgerald
Executive Deputy Secretary of State



March 15, 2017

Florida Registration Section Division of Corporations P.O. Box 6327 Tallahassee, FL 32314

Re: RCS Capital Partners Inc. - Application for Business/Certificate of Authority

To Whom It May Concern:

Acumen Solutions Group is submitting the enclosed documents on behalf of its above-referenced client that is applying for a Business Registration/Certificate of Authority in your state.

Enclosed please find the following documents:

- 1. Payment of \$70.00 to Florida Department of State,
- 2. Original, Signed and Completed Application, and
- 3. Certificate of Good Standing from the state of formation.

Please return any correspondence to the licensing team at: 600 Broadhollow Road, Suite 200 Melville, New York 11747

If you have any questions, please contact us via email to licensing@ acumensolutionsgroupllc.com or call (631) 719-5509.

Sincerely,

Anthony D'Elia President

riesident

Enclosure