

11/10/2016

Division of Corporations

Florida Department of State  
 Division of Corporations  
 Electronic Filing Cover Sheet

**M1600009109**

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To:

Division of Corporations  
 Fax Number : (850) 617-6383

From:

Account Name : PERLMAN, BAJANDAS, YEVOLI, & ALBRIGHT P.L.  
 Account Number : 120040000167  
 Phone : (305) 377-0809  
 Fax Number : (305) 377-0781

**\*\*Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.**

Email Address:

Kimberly@pbya-law.com

2016 NOV 10 A 11:18  
 SECRETARY OF STATE  
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**Foreign Limited Liability Company  
 CAPTIVEONE ADVISORS, LLC**

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Via Facsimile to 850-617-6383

November 14, 2016

Florida Department of State  
P.O. Box 6327  
Tallahassee, Florida 32314

SUBJECT: CAPTIVEONE ADVISORS, LLC  
REF: W16000076684

Dear Regulator,

On November 10, 2014 we fax-filed an APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA with your Department on behalf of the Delaware company CAPTIVEONE ADVISORS, LLC. We inadvertently failed to include the company's certificate of good standing from Delaware and received the enclosed notice of rejection from you.

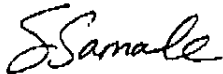
Enclosed are all the documents required to re-file, including the certificate of good standing.

We specifically request that our original filing date – November 10, 2016 – be used as the effective date when this application is re-processed.

We apologize for any inconvenience and appreciate your assistance with this filing.

If you have any questions at all, please do not hesitate to contact me at 954-566-7117.

Sincerely,



Selena Samale Esq.

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2016 NOV 10 A 11:18  
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TALLAHASSEE, FLORIDA

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November 14, 2016

FLORIDA DEPARTMENT OF STATE

Division of Corporations

PERLMAN BAJANDAS YEVOLI & ALBRIGHT PL

SUBJECT: CAPTIVEONE ADVISORS, LLC  
REF: W16000076684

We received your electronically transmitted document. However, the document has not been filed. Please make the following corrections and refax the complete document, including the electronic filing cover sheet.

A certificate of existence or a certificate of good standing, dated no more than 90 days prior to the delivery of the application to the Department of State, duly authenticated by the secretary of state or other official having custody of the records in the jurisdiction under the laws of which it is incorporated/organized, must be submitted to this office. A translation of the certificate under oath of the translator must be attached to a certificate which is in a language other than the English language. A photocopy of this certificate is not acceptable.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Jenna D Harris  
Regulatory Specialist II

FAX Aud. #: H16000277862  
Letter Number: 616A00024290

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2016 NOV 10 A 11:18  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

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**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS  
IN FLORIDA**

*IN COMPLIANCE WITH SECTION 605.0902, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:*

1. CAPTIVEONE ADVISORS, LLC  
(Name of Foreign Limited Liability Company; must include "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida. The alternate name must include "Limited Liability Company," "L.L.C.," or "LLC.")

2. Delaware 3. 46-3267805  
(Jurisdiction under the law of which foreign limited liability company is organized) (FBI number, if applicable)

4. \_\_\_\_\_  
(Date first transacted business in Florida, if prior to registration.)  
(See sections 605.0904 & 605.0905, F.S. to determine penalty liability)

5. 1035 State Road 7, #215  
Wellington, FL 33414  
(Street Address of Principal Office)

6. 1035 State Road 7, #215  
Wellington, FL 33414  
(Mailing Address)

7. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: PBYA Corporate Services, LLC  
Office Address: 200 S. Andrews Avenue, Suite 600  
Fort Lauderdale, Florida 33301  
(City) (Zip code)

**Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

PBYA Corporate Services, LLC  
BY: \_\_\_\_\_

(Registered agent's signature)

Perlman, Bojandas, Yevoli & Albright, PL, MGRM by Jason Perlman, Mgr.

8. The name, title or capacity and address of the person(s) who has/have authority to manage is/are:

Wayne Jenkins, Manager

1035 State Road 7, #215

Wellington, FL 33414

9. Attached is a certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted)

Wayne Jenkins  
Signature of an authorized person

This document is executed in accordance with section 605.0203 (1) (b), Florida Statutes. I am aware that any false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s 817.155, F.S.

Wayne Jenkins

Typed or printed name of signer

(((H16000277862 3)))

# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "CAPTIVEONE ADVISORS, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE SIXTH DAY OF OCTOBER, A.D. 2016.



5351424 8300

SR# 20166021542

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

A handwritten signature in black ink, appearing to read "JB", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Authentication: 203120643

Date: 10-06-16