

W16000006473

\_\_\_\_\_  
(Requestor's Name)

\_\_\_\_\_  
(Address)

\_\_\_\_\_  
(Address)

\_\_\_\_\_  
(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

\_\_\_\_\_  
(Business Entity Name)

\_\_\_\_\_  
(Document Number)

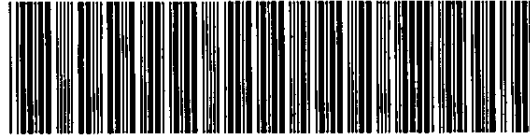
Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

Special Instructions to Filing Officer:

000047

W16-26264

Office Use Only



300283793833

04/04/16--01046--015 \*\*125.00

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

2016 AUG 15 P 5:34

FILED

S Warren

AUG 16 2016



FLORIDA DEPARTMENT OF STATE  
Division of Corporations

April 25, 2016

AMANDA BARTON, ESQ.  
8 THE GREEN, STE A  
DOVER, DE 19901

SUBJECT: KNIGHT CAPITAL FUNDING III, LLC  
Ref. Number: W16000026264

We have received your document for KNIGHT CAPITAL FUNDING III, LLC and your check(s) totaling \$125.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

We received your certificate of status, however your application wasn't enclosed. Please send application.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Dionne M Scott  
Regulatory Specialist II

Letter Number: 716A00007244



Knight Capital Funding™

April 20, 2016

2016 APR 21 PM 12:06  
TALLAHASSEE, FLORIDA

**VIA FEDEX OVERNIGHT DELIVERY**

Florida Department of State  
Division of Corporations  
c/o Dione Scott, Registration Section  
2661 Executive Center Circle  
Tallahassee, FL 32301

**RE: Knight Capital Funding III, LLC  
Registration of Foreign Limited Liability Company**

Dear Ms. Scott:

I recently submitted an application on behalf of Knight Capital Funding III, LLC, a Delaware limited liability company, to register to do business within the state of Florida. I failed to include the Certificate of Good Standing along with the application. Please find enclosed both the Certificate of Good Standing and Certificate of Formation for Knight Capital Funding III, LLC from the Delaware Secretary of State.

I apologize for any trouble my oversight has caused and respectfully request that you reconsider the application along with the enclosed required documentation. Should you have any questions, please don't hesitate to contact me at 800.701.2317, ext 122, or by email at [amanda@knightcapitalfunding.com](mailto:amanda@knightcapitalfunding.com).

Respectfully,

Amanda L. Barton, Esq.  
General Counsel

enc.

**COVER LETTER**

**TO: Registration Section  
Division of Corporations**

**SUBJECT:** Knight Capital Funding III, LLC  
Name of Limited Liability Company

The enclosed "Application by Foreign Limited Liability Company for Authorization to Transact Business in Florida," Certificate of Existence, and check are submitted to register the above referenced foreign limited liability company to transact business in Florida..

Please return all correspondence concerning this matter to the following:

Amanda Barton, Esq.

Name of Person

Knight Capital Funding III, LLC

Firm/Company

8 The Green, Ste A

Address

Dover, Delaware 19901

City/State and Zip Code

amanda@knightcapitalfunding.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Amanda Barton, Esq.

800

701-2317

at ( )

Name of Contact Person

Area Code

Daytime Telephone Number

**MAILING ADDRESS:**

Division of Corporations  
Registration Section  
P.O. Box 6327  
Tallahassee, FL 32314

**STREET ADDRESS:**

Division of Corporations  
Registration Section  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

Enclosed is a check for the following amount:

☒ \$125.00 Filing Fee

☐ \$130.00 Filing Fee &  
Certificate of Status

☐ \$155.00 Filing Fee &  
Certified Copy

☐ \$160.00 Filing Fee, Certificate  
of Status & Certified Copy

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS  
IN FLORIDA**

*IN COMPLIANCE WITH SECTION 605.0902, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:*

1. Knight Capital Funding III, LLC  
(Name of Foreign Limited Liability Company; must include "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida. The alternate name must include "Limited Liability Company," "L.L.C.," or "LLC.")

2. Delaware 3. \_\_\_\_\_  
(Jurisdiction under the law of which foreign limited liability company is organized) (FEI number, if applicable)

4. \_\_\_\_\_  
(Date first transacted business in Florida, if prior to registration.)  
(See sections 605.0904 & 605.0905, F.S. to determine penalty liability)

5. 9 E. Loockerman St., Ste 3A-543

Dover, Delaware 19901  
(Street Address of Principal Office)

6. 8 The Green, Ste A

Dover, Delaware 19901  
(Mailing Address)

7. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: NRAI Services, Inc.

Office Address: 1200 South Pine Island Road

Plantation, Florida 33324  
(City) (Zip code)

**Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

Jenifer Vincent  
(Registered agent's signature) Vice President & Assistant Secretary

8. The name, title or capacity and address of the person(s) who has/have authority to manage is/are:

Knight Capital, LLC, Manager  
9 E. Loockerman Street, Ste 3A-543  
Dover, Delaware 19901

9. Attached is a certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted)

[Signature]  
Signature of an authorized person

This document is executed in accordance with section 605.0203 (1) (b), Florida Statutes. I am aware that any false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

Amanda L. Barton, Esq.  
Typed or printed name of signee

FILED  
2015 JUL 15 P 5:34  
SECRETARY OF STATE  
TREASURER, FLORIDA

# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "KNIGHT CAPITAL FUNDING III, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-FIFTH DAY OF MAY, A.D. 2016.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "KNIGHT CAPITAL FUNDING III, LLC" WAS FORMED ON THE TWENTY-SIXTH DAY OF AUGUST, A.D. 2015.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN PAID TO DATE.



5810742 8300

SR# 20163753161

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

A handwritten signature of Jeffrey W. Bullock in black ink, written over a horizontal line.

Jeffrey W. Bullock, Secretary of State

Authentication: 202382724

Date: 05-25-16