

9/1/23, 11:52 AM

**MICROSOFT**

Florida Department of State  
Division of Corporations  
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Division of Corporations  
Fax Number : (850)617-6383

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DEPARTMENT OF STATE  
DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA

LLC AMND/RESTATE/CORRECT OR M/MG RESIGN  
FIELDPOINT PRIVATE SECURITIES, LLC

Certificate of Status	0
Certified Copy	1
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5/2023

JUL

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# APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY TO FILE AMENDMENT TO CERTIFICATE OF AUTHORITY TO TRANSACT BUSINESS IN FLORIDA

## SECTION I (1-4 must be completed)

1. Name of limited liability Company as it appears on the records of the Florida Department of  
State: Fieldpoint Private Securities, LLC

Enter new principal office address, if applicable: 725 Fifth Avenue

(Principal office address  
MUST BE A STREET ADDRESS)

22nd Floor

New York, NY 10022

Enter new mailing address, if applicable: 725 Fifth Avenue

(Mailing address  
MAY BE A POST OFFICE BOX)

22nd Floor

New York, NY 10022

2. The Florida document number of this limited liability company is: M16000005310

3. Jurisdiction of its organization: Connecticut

4. Date authorized to do business in Florida: July 1, 2016

## SECTION II (5-9 complete only the applicable changes)

5. New name of the limited liability company: Dominari Securities LLC  
(must contain "Limited Liability Company," "LLC," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida and attach a copy of the written consent of the managers or managing members adopting the alternate name. The alternate name must contain "Limited Liability Company," "LLC," or "LLC.")

6. If amending the registered agent and/or registered officer address on our records, enter the name of the new registered agent and/or the new registered office address here:

Name of New Registered Agent: \_\_\_\_\_

New Registered Office Address: \_\_\_\_\_

Enter Florida Street Address

\_\_\_\_\_, Florida  
City Zip Code

New Registered Agent's Signature, if changing Registered Agent:

*I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 605, F.S. Or, if this document is being filed to merely reflect a change in the registered office address, I hereby confirm that the limited liability company has been notified in writing of this change.*

If Changing Registered Agent, Signature of New Registered Agent

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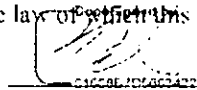
7. If the amendment changes the jurisdiction of organization, indicate new jurisdiction:

Delaware

8. If the amendment changes person, title or capacity in accordance with 605.0902 (1)(c), indicate that change:

<u>Title/ Capacity</u>	<u>Name</u>	<u>Address</u>	<u>Type of Action</u>
President	Kyle Wool	725 Fifth Ave, 22nd Floor	<input checked="" type="checkbox"/> Add
		New York, NY 10022	<input type="checkbox"/> Remove
CCO	Hans Kumerfeld	100 Field Point Road, 2nd Floor	<input type="checkbox"/> Add
		Greenwich, CT 06830	<input checked="" type="checkbox"/> Remove
VP	Stephen Ciaucarem	100 Field Point Road, 2nd Floor	<input type="checkbox"/> Add
		Greenwich, CT 06830	<input checked="" type="checkbox"/> Remove
			<input type="checkbox"/> Add
			<input type="checkbox"/> Remove
			<input type="checkbox"/> Add
			<input type="checkbox"/> Remove

9. Attached is a certificate, if required: no more than 90 days old, evidencing the aforementioned amendment(s), duly authenticated by the official having custody of records in the jurisdiction under the law of which this entity is organized.



Signature of the authorized representative

Kyle Wool

Typed or printed name of signee

Filing Fee: \$25.00

CI-66  
Rev. 2/94

Office of the Secretary of the State of Connecticut

I, the Connecticut Secretary of the State, and keeper of the seal thereof,  
DO HEREBY CERTIFY, that a certificate of amendment for FIELDPOINT PRIVATE  
SECURITIES, LLC, a domestic limited liability company formed December 22, 2008,  
changing its name to DOMINARI SECURITIES LLC, was filed in this office on March  
28, 2023.



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Secretary of the State

Date Issued: August 31, 2023

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