

**MICRO005310**

Florida Department of State  
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To:

Division of Corporations  
Fax Number : (850)617-6383

From:

Account Name : C T CORPORATION SYSTEM  
Account Number : FCA000000023  
Phone : (850)205-8842  
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**Foreign Limited Liability Company  
FIELDPOINT PRIVATE SECURITIES, LLC**

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Electronic Filing Menu

Corporate Filing Menu

Help

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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

2016 JUL -1 PM 12:46

2016 JUL -1 AM 10:52

TALLAHASSEE, FLORIDA

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS  
IN FLORIDA**

IN COMPLIANCE WITH SECTION 605.0902, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1. FIELDPOINT PRIVATE SECURITIES, LLC

(Name of Foreign Limited Liability Company; must include "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida. The alternate name must include "Limited Liability Company," "L.L.C.," or "LLC.")

2. Connecticut

(Jurisdiction under the law of which foreign limited liability company is organized)

3. 06,1186517

(FEI number, if applicable)

4. Upon registration

(Date first transacted business in Florida, if prior to registration.)  
(See sections 605.0904 & 605.0905, F.S. to determine penalty liability)

5. 100 FIELD POINT ROAD, FL 2

GREENWICH, CT, 06830

(Street Address of Principal Office)

6. 100 FIELD POINT ROAD, FL 2

GREENWICH, CT, 06830

(Mailing Address)

7. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: C T Corporation System

Office Address: 1200 South Pine Island Road

Plantation, Florida 33324

(City)

(Zip code)

Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

By: C T Corporation System

Janifan Vincent

(Registered agent's signature)

8. The name, title or capacity and address of the person(s) who has/have authority to manage is/are: 100 Field Point Rd, FL 2

HANS KUMMERFELD, CHIEF COMPLIANCE OFFICER, FIELDPOINT PRIVATE SECURITIES, GREENWICH, CT 06830  
STEPHEN CLARK, V.P., FINANCE, FIELDPOINT PRIVATE SECURITIES, 100 FIELDPOINT RD, FL 2,  
GREENWICH, CT 06830

9. Attached is a certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)

Hans Kummerfeld

Signature of an authorized person

This document is executed in accordance with section 605.0203 (1) (b), Florida Statutes. I am aware that any false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

HANS KUMMERFELD

Typed or printed name of signer

7/1/2016 10:47:01 AM From: To: 8506176383( 3/3 )

Office of the Secretary of the State of Connecticut

I, the Connecticut Secretary of the State, and keeper of the seal thereof,  
DO HEREBY CERTIFY, that articles of organization for

FIELDPOINT PRIVATE SECURITIES, LLC

a domestic limited liability company, were filed in this office on December 22, 2008.

Articles of dissolution have not been filed, and so far as indicated by the records of this office such  
limited liability company is in existence.



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Secretary of the State

Date Issued: June 30, 2016

Business ID: 0958025

Express

Certificate Number: 2016205050001

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