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(Requestor's Name)

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(Address)

(City/State/Zip/Phone #)

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(Business Entity Name)

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16 MAY 24 PM 1:14
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

MAY 27 2016
J. HARRIS

CS 01 018

COVER LETTER

**TO: Registration Section
Division of Corporations**

SUBJECT: Catherine Avery Investment Management LLC
Name of Limited Liability Company

The enclosed "Application by Foreign Limited Liability Company for Authorization to Transact Business in Florida," Certificate of Existence, and check are submitted to register the above referenced foreign limited liability company to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Catherine Avery
Name of Person

Catherine Avery Investment Management LLC
Firm/Company

27 Pine Street Ste 100
Address

New Canaan, CT 06840
City/State and Zip Code

cavery@caimllc.com
E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Catherine Avery at (203) 966-2712
Name of Contact Person Area Code Daytime Telephone Number

MAILING ADDRESS:

Division of Corporations
Registration Section
P.O. Box 6327
Tallahassee, FL 32314

STREET ADDRESS:

Division of Corporations
Registration Section
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

Enclosed is a check for the following amount:

- | | | | |
|--|---|--|---|
| <input type="checkbox"/> \$125.00 Filing Fee | <input type="checkbox"/> \$130.00 Filing Fee &
Certificate of Status | <input type="checkbox"/> \$155.00 Filing Fee &
Certified Copy | <input type="checkbox"/> \$160.00 Filing Fee, Certificate
of Status & Certified Copy |
|--|---|--|---|



FLORIDA DEPARTMENT OF STATE
Division of Corporations

April 14, 2016

CATHERINE AVERY
27 PINE STREET STE 100
NEW CANAAU, CT 06840

SUBJECT: CATHERINE AVERY INVESTMENT MANAGEMENT LLC
Ref. Number: W16000016783

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16 MAY 24 PM 1:14
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

We have received your document for CATHERINE AVERY INVESTMENT MANAGEMENT LLC and your check(s) totaling \$160.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The designation of the registered agent must be at a Florida street address.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Jenna D Harris
Regulatory Specialist II

Letter Number: 716A00004621

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2016 MAY 24 PM 1:46
SECRETARY OF STATE
TALLAHASSEE, FLORIDA



FLORIDA DEPARTMENT OF STATE
Division of Corporations

RECEIVED
2016 APR 11 PM 1:03

REGULATORY DIVISION
TALLAHASSEE, FLORIDA

March 25, 2016

CATHERINE AVERY
27 PINE STREET STE 100
NEW CANAAU, CT 06840

SUBJECT: CATHERINE AVERY INVESTMENT MANAGEMENT LLC
Ref. Number: W16000016783

We have received your document for CATHERINE AVERY INVESTMENT MANAGEMENT LLC and your check(s) totaling \$160.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The registered agent must sign accepting the designation.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Jenna D Harris
Regulatory Specialist II

Letter Number: 716A00004621

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16 MAY 24 PM 1:14
SECRETARY OF STATE
TALLAHASSEE, FLORIDA



FLORIDA DEPARTMENT OF STATE
Division of Corporations

RECEIVED
2016 MAR 22 AM 11:39
TALLAHASSEE, FLORIDA

March 7, 2016

CATHERINE AVERY
27 PINE STREET STE 100
NEW CANAAU, CT 06840

SUBJECT: CATHERINE AVERY INVESTMENT MANAGEMENT LLC
Ref. Number: W16000016783

We have received your document for CATHERINE AVERY INVESTMENT MANAGEMENT LLC and your check(s) totaling \$160.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The designation of the registered office and the registered agent, both at the same Florida street address, must be contained within the document pursuant to Florida Statutes. The registered agent must sign accepting the designation as required by Florida Statutes.

Principal and mailing address is missing.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Jenna D Harris
Regulatory Specialist II

Letter Number: 716A00004621

FILED
16 MAY 24 PM 1:14
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS
IN FLORIDA**

IN COMPLIANCE WITH SECTION 605.0902, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1. Catherine Avery Investment Management LLC
(Name of Foreign Limited Liability Company; must include "Limited Liability Company," "L.L.C.," or "LLC.")

CAIM LLC

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida. The alternate name must include "Limited Liability Company," "L.L.C.," or "LLC.")

2. Connecticut 3. 26-0716154
(Jurisdiction under the law of which foreign limited liability company is organized) (FEI number, if applicable)

4. _____
(Date first transacted business in Florida, if prior to registration.)
(See sections 605.0904 & 605.0905, F.S. to determine penalty liability)

5. 27 Pine Street Suite 100
New Canaan, CT 06840
(Street Address of Principal Office)

6. same as above

(Mailing Address)

7. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

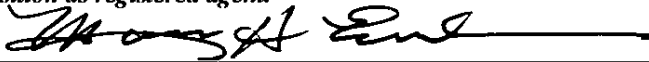
Name:

Office Address:

Marvin Einhorn
13613 Cord Way
Delray Beach, Florida 33484
(City) (Zip code)

Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.


(Registered agent's signature)

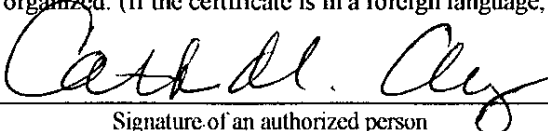
8. The name, title or capacity and address of the person(s) who has/have authority to manage is/are:

Catherine Avery, Managing Member

27 Pine Street Suite 100

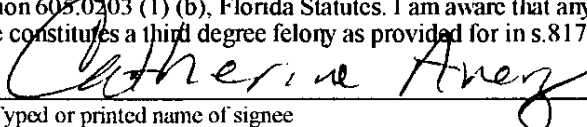
New Canaan, CT 06840

9. Attached is a certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted)


Signature of an authorized person

This document is executed in accordance with section 605.0203 (1) (b), Florida Statutes. I am aware that any false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

Catherine M. Avery


Typed or printed name of signee

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16 MAY 24 PM 1:14
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Office of the Secretary of the State of Connecticut

I, the Connecticut Secretary of the State, and keeper of the seal thereof,
DO HEREBY CERTIFY, that articles of organization for

CATHERINE AVERY INVESTMENT MANAGEMENT LLC

a domestic limited liability company, were filed in this office on August 13, 2007.

Articles of dissolution have not been filed, and so far as indicated by the records of this office such
limited liability company is in existence.



Secretary of the State

Date Issued: February 22, 2016