

MIL000002376

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

(Business Entity Name)

(Document Number)

Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

Special Instructions to Filing Officer:

W18-19284

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2016 MAR 22 P 2:56

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

03/15/16--01003--025 \*\*125.00

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2016 MAR 14 AM 7:54

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

MAR 22 2016

BRUCE



**Sue McKeown**  
Chief Compliance Officer

703-657-6062 Phone  
703-428-1372 Fax  
SMcKeown@spireip.com  
www.spireip.com

March 22, 2016

Florida Department of State  
Division of Corporations

Attn. Deborah Bruce

Fax 850-245-6030

Re: Spire Securities, LLC CRD #144131

Dear Deborah,

Please proceed with the foreign registration for Spire Securities, LLC in the state of Florida. The company was registered before as Florida in-states LLC with the same owners. To correct the error the dissolution was filed on 02/02/2016.

Attached is our Certificate from the State Corporation Commission.

Sincerely,

A handwritten signature in dark ink, appearing to read "Sue McKeown", is written over a horizontal line.

Sue McKeown  
Chief Compliance Officer  
Spire Securities, LLC  
Spire Wealth Management, LLC

FILED  
2016 MAR 22 P 2:56  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

2016 MAR 22 AM 10:23  
TALLAHASSEE, FLORIDA

1840 Michael Faraday Dr. Suite 105, Reston VA 20190

Spire Wealth Management, LLC is a Federally Registered Investment Advisor. Securities offered through an affiliate, Spire Securities, LLC. Member FINRA/SIPC.



FLORIDA DEPARTMENT OF STATE  
Division of Corporations

March 15, 2016

NATALIA JERASKOVA  
1840 MICHAEL FARADAY DR, STE 105  
RESTON, VA 20190

SUBJECT: SPIRE SECURITIES, LLC  
Ref. Number: W16000019286

We have received your document for SPIRE SECURITIES, LLC and your check(s) totaling \$125.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The name of your limited liability company is not available in the state of Florida since it is the same as, or it is not distinguishable from the name of an existing entity on our records. Therefore, the limited liability company must select an alternate name for use in the state of Florida.

Please insert the alternate name in the space provided on the application form.

The alternate name must contain the words "Limited Liability Company" the abbreviation "L.L.C.," or the designation "LLC." The following suffixes are no longer acceptable: "Limited Company," "L.C.," and "LC". The abbreviations "Ltd." and "Co.," also are no longer acceptable.

The document number of the name conflict is L110000604000.

A certificate of existence or a certificate of good standing, dated no more than 90 days prior to the delivery of the application to the Department of State, duly authenticated by the secretary of state or other official having custody of the records in the jurisdiction under the laws of which it is incorporated/organized, must be submitted to this office. A translation of the certificate under oath of the translator must be attached to a certificate which is in a language other than the English language. A photocopy of this certificate is not acceptable.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Deborah Bruce  
Regulatory Specialist II

Letter Number: 216A00005305

2016 MAR 22 2:56  
SECRETARY OF STATE  
ALLAH MASSIE, FLORIDA

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COVER LETTER

TO: Registration Section  
Division of Corporations

SUBJECT: Spire Securities, LLC  
Name of Limited Liability Company

The enclosed "Application by Foreign Limited Liability Company for Authorization to Transact Business in Florida," Certificate of Existence, and check are submitted to register the above referenced foreign limited liability company to transact business in Florida.

Please return all correspondence concerning this matter to the following:

NATALIA JERASKOVA / Compliance  
Name of Person

Spire Investment PARTNERS, LLC  
Firm/Company

1840 Michael FARADAY DR Ste 105  
Address

Reston, VA 20190  
City/State and Zip Code

spirecompliance@spireip.com  
E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

NATALIA JERASKOVA at ( 703 ) 657-6064  
Name of Contact Person Area Code Daytime Telephone Number

**MAILING ADDRESS:**  
Division of Corporations  
Registration Section  
P.O. Box 6327  
Tallahassee, FL 32314

**STREET ADDRESS:**  
Division of Corporations  
Registration Section  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

Enclosed is a check for the following amount:

- ☒ \$125.00 Filing Fee    ☐ \$130.00 Filing Fee & Certificate of Status    ☐ \$155.00 Filing Fee & Certified Copy    ☐ \$160.00 Filing Fee, Certificate of Status & Certified Copy

FILED  
2016 MAR 22 P 3:08  
TALLAHASSEE, FLORIDA  
SECRETARY OF STATE

APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS  
IN FLORIDA

IN COMPLIANCE WITH SECTION 605.0902, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY  
COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1. Spire Securities, LLC  
(Name of Foreign Limited Liability Company; must include "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida. The alternate name must include "Limited  
Liability Company," "L.L.C.," or "LLC.")

2. VIRGINIA 3. 20-8920866  
(Jurisdiction under the law of which foreign limited liability  
company is organized) (FEI number, if applicable)

4. NA  
(Date first transacted business in Florida, if prior to registration.)  
(See sections 605.0904 & 605.0905, F.S. to determine penalty liability)

5. 1840 Michael FARADAY DR STE 105  
Reston, VA 20190  
(Street Address of Principal Office)

6. 1840 Michael FARADAY DR STE 105  
Reston, VA 20190  
(Mailing Address)

7. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Owen Scheum  
Office Address: 3940 Lewis Speedway Suite 2201  
St. Augustine, Florida 32084  
(City) (Zip code)

Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place  
designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree  
to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and  
accept the obligations of my position as registered agent.

Dal  
(Registered agent's signature)

8. The name, title or capacity and address of the person(s) who has/have authority to manage is/are:

DAVID L. BEISK - MGRM  
1840 Michael FARADAY DR STE 105  
Reston, VA 20190

9. Attached is a certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the  
jurisdiction under the law of which it is organized. (If the certificate is in a foreign language, a translation of the certificate under oath  
of the translator must be submitted)

McKeown  
Signature of an authorized person

This document is executed in accordance with section 605.0203 (1) (b), Florida Statutes. I am aware that any false information  
submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

SUE McKEOWN  
Typed or printed name of signee

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

2016 MAR 22 P 2 18

FILED

# Commonwealth of Virginia



## State Corporation Commission

### *CERTIFICATE OF FACT*

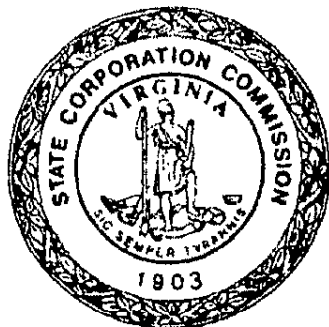
*I Certify the Following from the Records of the Commission:*

That SPIRE Securities, LLC is duly organized as a limited liability company under the law of the Commonwealth of Virginia;

That the date of its organization is April 2, 2007; and

That the limited liability company is in existence in the Commonwealth of Virginia as of the date set forth below.

Nothing more is hereby certified.



*Signed and Sealed at Richmond on this Date:  
March 2, 2016*

*Joel H. Peck*  
Joel H. Peck, Clerk of the Commission