

MB00005687

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

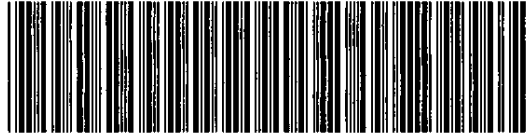
(Business Entity Name)

(Document Number)

Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

JUL 20 2015  
S. YOUNG



July 16, 2015

Division of Corporations  
Registration Section  
P.O. Box 6327  
Tallahassee, FL 32314

To whom it may concern:

Enclosed please find our application for a foreign limited liability company registration with the State of Florida. Accompanying the application is also a check for \$160 and our certificate of good standing in the state of Delaware dated 07/15/2015.

Should there be any additional information required please direct all inquiries to my attention at the number listed below. Thank you in advance for your efforts on this matter.

Sincerely,

A handwritten signature in black ink, appearing to be "M Feaster".

Marc Feaster  
Managing Director  
mfeaster@ferguscap.com

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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

Dealer Relations  
Portfolio Development

2 Bridge Ave, Suite 131  
Red Bank, NJ 07702

T: 908.340.1000  
F: 732.758.9878

Investor Relations  
Portfolio Mgmt

106 Allen Road,  
Bernards Township,  
NJ 07920

**COVER LETTER**

**TO: Registration Section  
Division of Corporations**

**SUBJECT:** Fergus Capital, llc  
Name of Limited Liability Company

The enclosed "Application by Foreign Limited Liability Company for Authorization to Transact Business in Florida," Certificate of Existence, and check are submitted to register the above referenced foreign limited liability company to transact business in Florida..

Please return all correspondence concerning this matter to the following:

Marc Feaster

Name of Person

Fergus Capital, llc

Firm/Company

1105 N. Market Street, Suite 1300

Address

Wilmington, DE 19801

City/State and Zip Code

mfeaster@ferguscap.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Marc Feaster

908

340-1000

Name of Contact Person

at ( )

Area Code

Daytime Telephone Number

**MAILING ADDRESS:**

Division of Corporations  
Registration Section  
P.O. Box 6327  
Tallahassee, FL 32314

**STREET ADDRESS:**

Division of Corporations  
Registration Section  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

Enclosed is a check for the following amount:

☐ \$125.00 Filing Fee

☐ \$130.00 Filing Fee &  
Certificate of Status

☐ \$155.00 Filing Fee &  
Certified Copy

☒ \$160.00 Filing Fee, Certificate  
of Status & Certified Copy

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15 JUL 17 PM 5:31  
SECRETARY OF STATE  
TALLAHASSEE, FL 32301

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS  
IN FLORIDA**

*IN COMPLIANCE WITH SECTION 605.0902, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:*

1. Fergus Capital, llc  
(Name of Foreign Limited Liability Company; must include "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida. The alternate name must include "Limited Liability Company," "L.L.C.," or "LLC.")

2. Delaware 3. 46-2995182  
(Jurisdiction under the law of which foreign limited liability company is organized) (FEI number, if applicable)

4. 4/15/2015  
(Date first transacted business in Florida, if prior to registration.)  
(See sections 605.0904 & 605.0905, F.S. to determine penalty liability)

5. 1105 N. Market Street, Suite 1300, Wilmington, DE 19801  
(Street Address of Principal Office)

6. 1105 N. Market Street, Suite 1300, Wilmington, DE 19801  
(Mailing Address)

7. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Christopher T. Daly  
Office Address: 16984 Verona Lane  
Naples, Fl, Florida 34110  
(City) (Zip code)

**Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

\_\_\_\_\_  
(Registered agent's signature)

8. The name, title or capacity and address of the person(s) who has/have authority to manage is/are:

Marc Feaster, Managing Director, 457-r Sycamore Ave, Shrewsbury, NJ 07702

Christopher T. Daly, Managing Director, 16984 Verona Lane, Naples, FL 34110

Christopher P. Daly, CFO, 39 Dabney Road, New Canaan, CT 06840

9. Attached is a certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted)

\_\_\_\_\_  
Signature of an authorized person

This document is executed in accordance with section 605.0203 (1) (b), Florida Statutes. I am aware that any false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

Marc Feaster

\_\_\_\_\_  
Typed or printed name of signee

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15 JUL 17 PM 11  
TALLAHASSEE, FL  
SECRETARY OF STATE

# Delaware

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## *The First State*

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "FERGUS CAPITAL, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE FIFTEENTH DAY OF JULY, A.D. 2015.

FILED  
15 JUL 17 PM 5:31  
SECRETARY OF STATE  
DELAWARE

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AUTHENTICATION: 2558352

DATE: 07-15-15