# MI5000003979

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CORPORATION SERVICE COMPANY 1201 Hays Street Tallhassee, FL 32301 Phone: 850-558-1500	Do not separate
ACCOUNT NO. REFERENCE AUTHORIZATION COST LIMIT ORDER DATE : May 19, 2015	: I2000000195 : 635509 4807453 : melbelena : \$ 155.00
ORDER TIME : 9:13 AM ORDER NO. : 635509-005 CUSTOMER NO: 4807453	

FOREIGN FILINGS

NAME: CONTEXT CAPITAL MANAGEMENT, LLC

XXXX QUALIFICATION (TYPE: LL)

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PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

XX CERTIFIED COPY PLAIN STAMPED COPY CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Lydia Cohen -- EXT# 62974

EXAMINER:

### APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 605.0902, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

# 1. Context Capital Management, LLC

(Name of Foreign Limited Liability Company; must include "Limited Liability Company," "L.L.C.," or "LLC.")

Delaware	3 33-0972042
(Jurisdiction under the law of which foreign limit company is preanized)	ted liability (FEI number, if applicable)
Max 1,2	2015 5
	insacted business in Florida, if prior to registration.) 5.0904 & 605.0905, F.S. to determine penalty liability)
2223 Avenida de la Pla	iya, Suite 300, La Jolla, CA  92037 🚋 🔗
· · · · · · · · · · · · · · · · · · ·	
	(Street Address of Principal Office) ya, Suite 300, La Jolla, CA 92037

(Mailing Address)

7. The name, title or capacity and address of the person(s) who has/have authority to manage is/are:

Michael S. Rosen, Manager, 2223 Avenida de la Playa, Suite 300, La Jolla, CA 92037

William D. Fertig, Manager, 420 Mariner Drive, Jupiter, FL 33477

8. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted)

Signature of an authorized person

(In accordance with section 605.0203, F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true. I am aware that any false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.)

## William D. Fertig, Manager

Typed or printed name of signee

### CERTIFICATE OF DESIGNATION OF REGISTERED AGENT/REGISTERED OFFICE

PURSUANT TO THE PROVISIONS OF SECTION 605.0113 or 605.0902 (1)(d), FLORIDA STATUTES, THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE STATE OF FLORIDA.

1. The name of	of the Limited Liabi	lity Company is:	5
Context Ca	pital Manageme	ent, LLC	
lf unavailable,	the alternate to be	used in the state of Florida is:	
2. The name a	and the Florida stree	address of the registered agent and office are:	CONTROL OF
	William D. Fertig		
	<u></u>	(Name)	
	420 Manner Drive		
	Florida Street Address (P.O. Box NOT ACCEPTABLE)		
	Jupiter	FL 33477	
	_~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	City/State/Zip	

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 605, Florida Statutes.

William D. Fertig (Signature)

- \$ 100.00 Filing Fee for Application
- \$ 25.00 Designation of Registered Agent
- \$ 30.00 Certified Copy (optional)
- \$ 5.00 Certificate of Status (optional)



PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "CONTEXT CAPITAL MANAGEMENT, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE NINETEENTH DAY OF MAY, A.D. 2015.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "CONTEXT CAPITAL MANAGEMENT, LLC" WAS FORMED ON THE TWELFTH DAY OF MAY, A.D. 2000.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN PAID TO DATE.



3228238 8300

150716338 You may verify this certificate online at corp.delaware.gov/authver.shtml

Jeffrey W. Bullock, Secretary of State AUTHENTICATION: 2392528

DATE: 05-19-15