

M13000005031

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

(Business Entity Name)

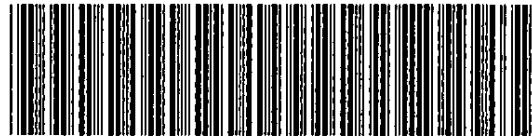
(Document Number)

Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

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2013 AUG -9 AM 8:52  
STATE  
TALLAHASSEE, FLORIDA

J. SAULSBERRY  
EXAMINER  
AUG 12 2013

**COVER LETTER**

**TO:** Registration Section  
Division of Corporations

**SUBJECT:** BULLTICK CAPITAL MARKETS HOLDINGS, LLC  
Name of Limited Liability Company

The enclosed "Application by Foreign Limited Liability Company for Authorization to Transact Business in Florida," Certificate of Existence, and check are submitted to register the above referenced foreign limited liability company to transact business in Florida..

Please return all correspondence concerning this matter to the following:

MARIA SANTANA

Name of Person

BULLTICK CAPITAL MARKETS

Firm/Company

701 Brickell Avenue, Suite 2550

Address

Miami, Florida 33131

City/State and Zip Code

msantana@bulltick.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Maria Santana

Name of Person

305 722-5018

Area Code & Daytime Telephone Number

**MAILING ADDRESS:**

Division of Corporations  
Registration Section  
P.O. Box 6327  
Tallahassee, FL 32314

**STREET ADDRESS:**

Division of Corporations  
Registration Section  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

Enclosed is a check for the following amount:

☒ \$125.00 Filing Fee

☐ \$130.00 Filing Fee &  
Certificate of Status

☐ \$155.00 Filing Fee &  
Certified Copy

☐ \$160.00 Filing Fee, Certificate  
of Status & Certified Copy

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**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO  
TRANSACTION BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN  
LIMITED LIABILITY COMPANY TO TRANSACTION BUSINESS IN THE STATE OF FLORIDA:*

**1. BULLTICK CAPITAL MARKETS HOLDINGS, LLC**

(Name of Foreign Limited Liability Company; must include "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida and attach a copy of the written consent of the managers or managing members adopting the alternate name. The alternate name must include "Limited Liability Company," "L.L.C.," "LLC.")

**2. DELAWARE**

(Jurisdiction under the law of which foreign limited liability company is organized)

**3. 20-4810491**

(FEI number, if applicable)

**4. April 26, 2013 - Conversion from LP**

(Date of Organization)

**5. Perpetual**

(Duration: Year limited liability company will cease to exist or "perpetual")

**6. Upon filing of this Application**

(Date first transacted business in Florida, if prior to registration.)  
(See sections 608.501 & 608.502 F.S. to determine penalty liability)

**7. 701 Brickell Avenue, Suite 2550, Miami, Florida 33131**

(Street Address of Principal Office)

**8. If limited liability company is a manager-managed company, check here ☒**

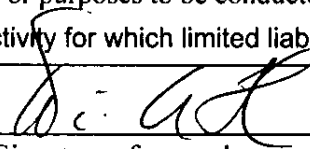
**9. The name and usual business addresses of the managing members or managers are as follows:**

**Adolfo del Cueto, 701 Brickell Avenue, Suite 2550, Miami, Florida 33131**

**William A. Herrera, 701 Brickell Avenue, Suite 2550, Miami, Florida 33131**

**10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)**

**11. Nature of business or purposes to be conducted or promoted in Florida:** The nature of the business is  
any lawful act or activity for which limited liability companies may be formed in the State of Florida.

  
Signature of a member or an authorized representative of a member.

(In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true. I am aware that any false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.)

**William A. Herrera**

Typed or printed name of signee

**CERTIFICATE OF DESIGNATION OF  
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES,  
THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING  
STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE  
STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

**BULLTICK CAPITAL MARKETS HOLDINGS, LLC**

If unavailable, the alternate to be used in the state of Florida is:

2. The name and the Florida street address of the registered agent and office are:

**Adolfo del Cueto**

(Name)

**701 Brickell Avenue, Suite 2550**

Florida Street Address (P.O. Box NOT ACCEPTABLE)

**Miami**

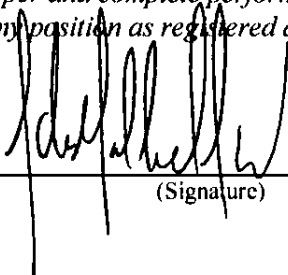
**FL**

**33131**

City/State/Zip

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CLERK OF STATE  
TALLAHASSEE, FLORIDA

*Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, Florida Statutes.*



(Signature)

\$ 100.00	Filing Fee for Application
\$ 25.00	Designation of Registered Agent
\$ 30.00	Certified Copy (optional)
\$ 5.00	Certificate of Status (optional)

# Delaware

PAGE 1

*The First State*

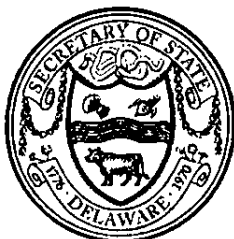
I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "BULLTICK CAPITAL MARKETS HOLDINGS, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE THIRTIETH DAY OF JULY, A.D. 2013.

2013 AUG -9 AM 8:52  
SECRETARY OF STATE  
1111 MARKET STREET  
DOVER, DELAWARE 19901

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Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 0625177

DATE: 07-30-13

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 12:00 PM 04/26/2013  
FILED 12:00 PM 04/26/2013  
SRV 130492921 - 4690420 FILE

STATE OF DELAWARE  
CERTIFICATE OF CONVERSION  
FROM A LIMITED PARTNERSHIP TO A  
LIMITED LIABILITY COMPANY PURSUANT TO  
SECTION 18-214 OF THE LIMITED LIABILITY ACT

- 1.) The jurisdiction where the Limited Partnership first formed is Delaware.
- 2.) The jurisdiction immediately prior to filing this Certificate is Delaware.
- 3.) The date the Limited Partnership first formed is May 21, 2009.
- 4.) The name of the Limited Partnership immediately prior to filing this Certificate is Bulltick Capital Markets Holdings, LP.
- 5.) The name of the Limited Liability Company as set forth in the Certificate of Formation is Bulltick Capital Markets Holdings, LLC.

IN WITNESS WHEREOF, the undersigned have executed this Certificate on the  
18th day of April, A.D. 2013.

By: 

Authorized Person

Name: William A. Herrera

Print or Type

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FILED  
STATE OF DELAWARE  
DIVISION OF CORPORATIONS

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 12:00 PM 04/26/2013  
FILED 12:00 PM 04/26/2013  
SRV 130492921 - 4690420 FILE

**STATE of DELAWARE  
LIMITED LIABILITY COMPANY  
CERTIFICATE of FORMATION**

- **First:** The name of the limited liability company is Bulltick Capital Markets Holdings, LLC
- **Second:** The address of its registered office in the State of Delaware is 160 Greentree DR, Ste 101 in the City of Dover  
Zip Code 19904  
The name of its Registered agent at such address is Corpdirect Agents, Inc.
- **Third:** (Insert any other matters the members determine to include herein.)

In Witness Whereof, the undersigned have executed this Certificate of Formation this  
18th day of April, 20 13.

By: [Signature]  
Authorized Person(s)

Name: William Herrera  
Typed or Printed

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HALL - HASSELL FLORIDA