

11/2000004508

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)



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(Business Entity Name)

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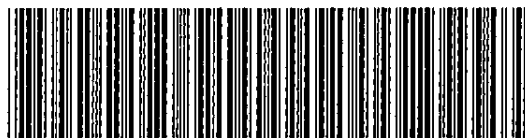
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EXAMINER

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2012 AUG -9 AM 10:42

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

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DEPARTMENT OF STATE

12 AUG -9 PM 2:35



CORPORATION SERVICE COMPANY

ACCOUNT NO. : I20000000195

REFERENCE : 306916 4392335

AUTHORIZATION :

COST LIMIT : \$ 125.00

[Signature]

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

2012 AUG -9 AM 10:02

FILED

ORDER DATE : August 8, 2012

ORDER TIME : 12:25 PM

ORDER NO. : 306916-025

CUSTOMER NO: 4392335

FOREIGN FILINGS

NAME: FIRST INVESTORS ADVISORY
SERVICES, LLC

XXXX QUALIFICATION (TYPE: LL)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

 CERTIFIED COPY
XX PLAIN STAMPED COPY
 CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Susie Knight -- EXT# 2956

EXAMINER: _____

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: FIRST INVESTORS ADVISORY SERVICES, LLC
Name of Limited Liability Company

The enclosed "Application by Foreign Limited Liability Company for Authorization to Transact Business in Florida," Certificate of Existence, and check are submitted to register the above referenced foreign limited liability company to transact business in Florida.

Please return all correspondence concerning this matter to the following:

EUGENIA FRENZEL

Name of Person

K&L GATES LLP

Firm/Company

599 LEXINGTON AVENUE

Address

NEW YORK, NY 10022

City/State and Zip Code

Eugenia.Frenzel@klgates.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

EUGENIA FRENZEL

Name of Person

at (212) 536-4871

Area Code & Daytime Telephone Number

MAILING ADDRESS:

Division of Corporations
Registration Section
P.O. Box 6327
Tallahassee, FL 32314

STREET ADDRESS:

Division of Corporations
Registration Section
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

Enclosed is a check for the following amount:

☐ \$125.00 Filing Fee ☐ \$130.00 Filing Fee & Certificate of Status ☐ \$155.00 Filing Fee & Certified Copy ☐ \$160.00 Filing Fee, Certificate of Status & Certified Copy

2012 AUG -9 AM 10:52
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

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APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO
TRANSACTION BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN
LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1. FIRST INVESTORS ADVISORY SERVICES, LLC

(Name of Foreign Limited Liability Company; must include "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida and attach a copy of the written consent of the managers or managing members adopting the alternate name. The alternate name must include "Limited Liability Company," "L.L.C.," "LLC.")

2. DELAWARE

(Jurisdiction under the law of which foreign limited liability company is organized)

3. 45-5402954

(FEI number, if applicable)

4. MAY 18, 2012

(Date of Organization)

5. PERPETUAL

(Duration: Year limited liability company will cease to exist or "perpetual")

6. 09/04/2012

(Date first transacted business in Florida, if prior to registration.)
(See sections 608.501 & 608.502 F.S. to determine penalty liability)

7. 110 WALL STREET, 4th FLOOR, NEW YORK, NY 10005

(Street Address of Principal Office)

8. If limited liability company is a manager-managed company, check here ☒

9. The name and usual business addresses of the managing members or managers are as follows:

SEE ATTACHED ANNEX A

10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)

11. Nature of business or purposes to be conducted or promoted in Florida:

INVESTMENT ADVISORY SERVICES

[Signature]
Signature of a member or an authorized representative of a member.

(In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true. I am aware that any false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.)

DEREK D. BURKE

Typed or printed name of signee

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

2012 AUG -9 AM 10:42

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ANNEX A

FIRST INVESTORS ADVISORY SERVICES, LLC

9. MANAGERS:

NAME and TITLE	BUSINESS ADDRESS	RESIDENCE ADDRESS
Derek D. Burke CEO, Manager	110 Wall Street 4 th Floor New York, NY 10005	285 SUMMIT AVE SUMMIT NJ 07901

NAME and TITLE	BUSINESS ADDRESS	RESIDENCE ADDRESS
William M. Lipkus CFO, Manager	110 Wall Street 4 th Floor New York, NY 10005	11 LORENE WAY MORGANVILLE NJ 07751

NAME and TITLE	BUSINESS ADDRESS	RESIDENCE ADDRESS
Larry L. Noyes Manager	110 Wall Street 4 th Floor New York, NY 10005	7009 STANHOPE PLACE UNIVERSITY PARK FL 34201

NAME and TITLE	BUSINESS ADDRESS	RESIDENCE ADDRESS
Carol E. Springsteen Manager	110 Wall Street 4 th Floor New York, NY 10005	656 WOODSIDE AVE RIVER VALE NJ 07675

2012 AUG - 9 AM 10:42
SECRETARY OF STATE
TALLAHASSEE, FL 32304

FILED

**CERTIFICATE OF DESIGNATION OF
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES, THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE STATE OF FLORIDA..

1. The name of the Limited Liability Company is:

FIRST INVESTORS ADVISORY SERVICES, LLC

If unavailable, the alternate to be used in the state of Florida is:

2. The name and the Florida street address of the registered agent and office are:

Corporation Service Company

(Name)

1201 Hays Street

Florida Street Address (P.O. Box **NOT** ACCEPTABLE)

Tallahassee

FL 32301

City/State/Zip

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, Florida Statutes.

Corporation Service Company

By: 

(Signature)

Sue G. Knight
Assistant Vice President

\$ 100.00	Filing Fee for Application
\$ 25.00	Designation of Registered Agent
\$ 30.00	Certified Copy (optional)
\$ 5.00	Certificate of Status (optional)

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

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Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED ARE TRUE AND CORRECT COPIES OF ALL DOCUMENTS ON FILE OF "FIRST INVESTORS ADVISORY SERVICES, LLC" AS RECEIVED AND FILED IN THIS OFFICE.

THE FOLLOWING DOCUMENTS HAVE BEEN CERTIFIED:

CERTIFICATE OF FORMATION, FILED THE EIGHTEENTH DAY OF MAY, A.D. 2012, AT 2:14 O'CLOCK P.M.

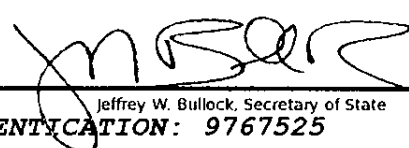
AND I DO HEREBY FURTHER CERTIFY THAT THE AFORESAID CERTIFICATES ARE THE ONLY CERTIFICATES ON RECORD OF THE AFORESAID LIMITED LIABILITY COMPANY, "FIRST INVESTORS ADVISORY SERVICES, LLC".



5156788 8100H

120918770

You may verify this certificate online
at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 9767525

DATE: 08-08-12