

# M110000006241

Florida Department of State  
Division of Corporations  
Electronic Filing Cover Sheet

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**\*RE-SUBMIT\***

To:

Division of Corporations  
Fax Number : (850) 617-6383

From:

Account Name : C T CORPORATION SYSTEM  
Account Number : FCA000000023  
Phone : (850) 222-1092  
Fax Number : (850) 878-5368

Please retain original filing  
date of submission 05/01

**\*\*Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.\*\***

Email Address: \_\_\_\_\_

## LLC AMND/RESTATE/CORRECT OR M/MG RESIGN COLLINS STEWART LLC

Certificate of Status	0
Certified Copy	0
Page Count	0304
Estimated Charge	\$25.00

RECEIVED

12 MAY -2 PM 12:48

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

12 MAY -1 AM 9:45

FILED

C. LEWIS  
MAY -3 2012  
EXAMINER

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Corporate Filing Menu

Help

## COVER LETTER

To: Registration Section  
Division of Corporations

SUBJECT: Collins Stewart LLC  
Name of Foreign Limited Liability Company

Dear Sir or Madam:

The enclosed application, certificate and fee(s) are submitted for filing.

Please return all correspondence concerning this matter to the following:

Brooke Connell

Name of Person

Canaccord Genuity Securities LLC

Firm/Company

350 Madison Avenue

Address

New York, NY 10017

City/State and Zip Code

BConnell@canaccordgenuity.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Brooke Connell

Name of Person

at ( 212 )

389-8107

Area Code & Daytime Telephone Number

### STREET/COURIER ADDRESS:

Registration Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, Florida 32301

### MAILING ADDRESS:

Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, Florida 32314

Enclosed is a check for the following amount:

☒ \$25 Filing Fee

☐ \$30 Filing Fee &  
Certificate of Status

☐ \$55 Filing Fee &  
Certified Copy

☐ \$60 Filing Fee,  
Certificate of Status &  
Certified Copy

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY TO FILE  
AMENDMENT TO APPLICATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

**SECTION I (1-3 must be completed)**

1. Name of limited liability company as it appears on the records of the Florida Department of State: Collins Stewart LLC
2. Jurisdiction of its organization: Delaware M110000026241
3. Date authorized to do business in Florida: December 9, 2011

**SECTION II (4-7 complete only the applicable changes)**

4. If the amendment changes the name of the limited liability company, when was the change effected under the laws of its jurisdiction of organization? March 26, 2012
5. New name of the limited liability company: Canaccord Genuity Securities LLC  
(must end with "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida and attach a copy of the written consent of the managers or managing members adopting the alternate name. The alternate name must end with "Limited Liability Company," "L.L.C." or "LLC.")

6. If the amendment changes the period of duration, indicate new period of duration:

7. If the amendment changes the jurisdiction of organization, indicate new jurisdiction:

8. If the amendment corrects any false statement, indicate the statement being corrected and the correction:

9. Attached is an original certificate, no more than 90 days old, evidencing the aforementioned amendment(s), duly authenticated by the official having custody of records in the jurisdiction under the law of which this entity is organized.

4/27/2012

Signature of a member or the authorized representative of a member

Mark Whaling

Typed or printed name of signer

**Filing Fee: \$25.00**

FILED  
12 MAY - 1 AM 9:45  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

# Delaware

PAGE 1

*The First State*

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE SAID "COLLINS STEWART LLC", FILED A CERTIFICATE OF AMENDMENT, CHANGING ITS NAME TO "CANACCORD GENUITY SECURITIES LLC", THE TWENTY-SIXTH DAY OF MARCH, A.D. 2012, AT 10:53 O'CLOCK A.M.

3732044 8320

120493056

You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)



  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 9540735

DATE: 05-01-12