

MI 0000 04786

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

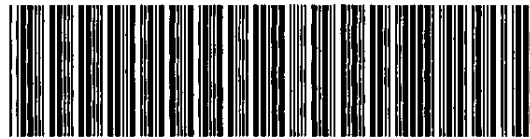
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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02/26/15--01010--005 **25.00

FILED
15 FEB 26 AM 10:59
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

J. Stetson MAR 03 2015

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: TradeKing, LLC

Name of Foreign Limited Liability Company

Dear Sir or Madam:

The enclosed application, certificate and fee(s) are submitted for filing.

Please return all correspondence concerning this matter to the following:

Marilys Saul

Name of Person

TradeKing

Firm/Company

888 E Las Olas Blvd Suite 300

Address

Fort Lauderdale, FL 33301

City/State and Zip Code

msaul@tradeking.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Marilys Saul

Name of Person

at (954) 760-2309

Area Code & Daytime Telephone Number

STREET/COURIER ADDRESS:

Registration Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, Florida 32301

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, Florida 32314

Enclosed is a check for the following amount:

☒ \$25 Filing Fee

☐ \$30 Filing Fee &
Certificate of Status

☐ \$55 Filing Fee &
Certified Copy

☐ \$60 Filing Fee,
Certificate of Status &
Certified Copy

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY TO FILE
AMENDMENT TO CERTIFICATE OF AUTHORITY TO TRANSACT
BUSINESS IN FLORIDA**

SECTION I (1-4 must be completed)

1. Name of limited liability Company as it appears on the records of the Florida Department of State: TradeKing, LLC
2. The Florida document number of this limited liability company is: M11000004786
3. Jurisdiction of its organization: DE
4. Date authorized to do business in Florida: 9/23/11

SECTION II (5-9 complete only the applicable changes)

5. New name of the limited liability company: TradeKing Securities, LLC
(must contain "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida and attach a copy of the written consent of the managers or managing members adopting the alternate name. The alternate name must contain "Limited Liability Company," "L.L.C." or "LLC.")

6. If amending the registered agent and/or registered officer address on our records, enter the name of the new registered agent and/or the new registered office address here:

Name of New Registered Agent: _____

New Registered Office Address: _____

Enter Florida Street Address

City

Florida

Zip Code

New Registered Agent's Signature, if changing Registered Agent:

I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 605, F.S. Or, if this document is being filed to merely reflect a change in the registered office address, I hereby confirm that the limited liability company has been notified in writing of this change.

If Changing Registered Agent, Signature of New Registered Agent

7. If the amendment changes the jurisdiction of organization, indicate new jurisdiction:
- _____

FILED
15 FEB 26 AM 11:00
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

8. If the amendment changes person, title or capacity in accordance with 605.0902 (1)(e), indicate that change:

<u>Title/ Capacity</u>	<u>Name</u>	<u>Address</u>	<u>Type of Action</u>
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☐ Add

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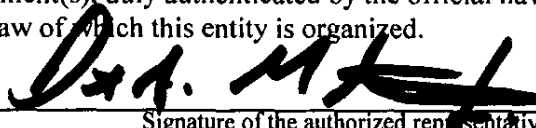
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9. Attached is a certificate, if required: no more than 90 days old, evidencing the aforementioned amendment(s), duly authenticated by the official having custody of records in the jurisdiction under the law of which this entity is organized.


Signature of the authorized representative

DONATO MONTANARO

Typed or printed name of signee

Filing Fee: \$25.00

FILED
15 FEB 26 AM 11:00
CLERK OF COURT
JUDICIAL CIRCUIT IN AND FOR
THE COUNTY OF MIAMI
FLORIDA

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "TRADEKING, LLC", CHANGING ITS NAME FROM "TRADEKING, LLC" TO "TRADEKING SECURITIES, LLC", FILED IN THIS OFFICE ON THE FIFTEENTH DAY OF JANUARY, A.D. 2015, AT 9:05 O'CLOCK A.M.

FILED
15 FEB 26 AM 11:00
SECRETARY OF STATE
DELAWARE

3797101 8100

150053809

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 2044293

DATE: 01-16-15

State of Delaware
Secretary of State
Division of Corporations
Delivered 10:16 AM 01/15/2015
FILED 09:05 AM 01/15/2015
SRV 150053809 - 3797101 FILE

STATE of DELAWARE
CERTIFICATE of AMENDMENT
to
CERTIFICATE of FORMATION

1. The name of the limited liability company (hereinafter called the "*Company*") is **TRADEKING, LLC.**

2. The Certificate of Formation of the Company is hereby amended by striking out ARTICLE I thereof and substituting in lieu of ARTICLE I the following new ARTICLE I:

"I.

NAME

The name of the limited liability company is **TRADEKING SECURITIES, LLC** (the "*Company*")."

IN WITNESS WHEREOF, the undersigned authorized person has executed this Certificate of Amendment to Certificate of Formation of TradeKing, LLC as of the 15th day of January, 2015.

/s/ Donato A. Montanaro Jr.
Donato A. Montanaro Jr.
Authorized Person