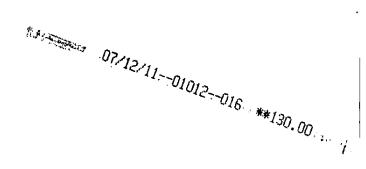
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SECRETARY OF STATE
ALLAHASSEE, FLORID

J. BRYAN

JUL 13 2011

EXAMINER

COVER LETTER

TO: Registration Section Division of Corporations
SUBJECT: FIRST TRYON SECURITIES, LLC Name of Limited Liability Company
The enclosed "Application by Foreign Limited Liability Company for Authorization to Transact Business in Florida," Certificate of Existence, and check are submitted to register the above referenced foreign limited liability company to transact business in Florida
Please return all correspondence concerning this matter to the following:
Tracy Truchon Name of Person
First Tryon Securities, LLC Firm/Company
1355 Greenwood Cliff, #401 Address
Charlotte, NC ZBZ77 City/State and Zip Code
+ 0 0 +
E-mail address: (to be used for future annual report notification) For further information concerning this matter, please call:
Tracy Truchon at (704) 926.2445 Name of Person Area Code & Daytime Telephone Number
MAILING ADDRESS:STREET ADDRESS:Division of CorporationsDivision of CorporationsRegistration SectionRegistration SectionP.O. Box 6327Clifton BuildingTallahassee, FL 323142661 Executive Center Circle Tallahassee, FL 32301
Enclosed is a check for the following amount: \$\Begin{array}{c} \\$125.00 \text{ Filing Fee} \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\

APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:			
1 First Toman Sourcition 110			
1. First Tryon Securities, LLC (Name of Foreign Limited Liability Company; must include "Limited Liability Company," "L.L.C.," or "LLC.")			
(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida and attach a copy of the written consent of the managers or managing members adopting the alternate name. The alternate name must include "Limited Liability Company," "L.L.C," "LLC.")			
2. North Caro lina (Jurisdiction under the law of which foreign limited liability company is organized) 3. Tax 1D # 56 1986792 (FEI number, if applicable)			
4. July 25 1996 (Date of Organization) 5. Perpetual (Duration: Year limited liability company will cease to exist or "perpetual")			
6. 8 13 20 10 (Date first transacted business in Florida, if prior to registration.) (See sections 608.501 & 608.502 F.S. to determine penalty liability)			
7. <u>818 AIA N., Suite #204</u>			
Ponte Vedra Beach FL 32082 FS NO (Street Address of Principal Office)			
(Street Address of Principal Office) 8. If limited liability company is a manager-managed company, check here			
9. The name and usual business addresses of the managing members or managers are as follows:			
H. Keith Brunnemer, Jr.			
First Tryon Securities, LLC			
1355 Greenwood Cliff, #401 Charlotte, NC 28204			
10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)			
11. Nature of business or purposes to be conducted or promoted in Florida: Broker Dealer (B/D)			
transacting business with institutional customers and other BDs.			
Signature of a member or an authorized representative of a member.			
(In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the			
penalties of perjury that the facts stated herein are true. I am aware that any false information submitted in a			
document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.)			
Typed or printed name of signee			

CERTIFICATE OF DESIGNATION OF REGISTERED AGENT/REGISTERED OFFICE

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES, THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE STATE OF FLORIDA.

1. The name of the Limited Liability Company is:	
FIRST TRYON SECURITIES, LLC	20 - 0 - 10 - 10 - 0 - 0 - 0 - 0 - 0 - 0
If unavailable, the alternate to be used in the state of Florida is:	
2. The name and the Florida street address of the registered agent and office are:	
ERIC M. JOSEPH	SECOND TO
(Name) 818 AIA N. SUITE # 204 Florida Street Address (P.O. Box NOT ACCEPTABLE) PONTE VEDRA BEACH FL 32082	JL 12 AM 11: 48 ARTARY OF STATE ARTASSEE. FLORIDA
Having been named as registered agent and to accept service of process for the above state liability company at the place designated in this certificate, I hereby accept the appointment agent and agree to act in this capacity. I further agree to comply with the provisions of all relating to the proper and complete performance of my duties, and I am familiar with and cobligations of my position as registered agent as provided for in Chapter 608, Florida State (Signature)	nt as registered statutes accept the

Filing Fee for Application

Certified Copy (optional)

Designation of Registered Agent

Certificate of Status (optional)

\$ 100.00 \$ 25.00

\$ 30.00

\$ 5.00



NORTH CAROLINA Department of the Secretary of State

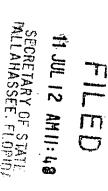
CERTIFICATE OF EXISTENCE (Limited Liability Company)

I, Elaine F. Marshall, Secretary of State of the State of North Carolina, do hereby certify that

FIRST TRYON SECURITIES, LLC

is a limited liability company duly formed under the laws of the State of North Carolina, having been formed on the 25th day of July, 1996, with its period of duration being DEC 2046.

I FURTHER certify that the said limited liability company's articles of organization are not suspended for failure to comply with the Revenue Act of the State of North Carolina; that the said limited liability company is not administratively dissolved for failure to comply with the provisions of the North Carolina Limited Liability Company Act; and that the said limited liability company has not filed articles of dissolution as of this date of this certificate.





IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal at the City of Raleigh, this 14th day of June, 2011.

6 laine J. Marshall

Secretary of State