

MD110000062986

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

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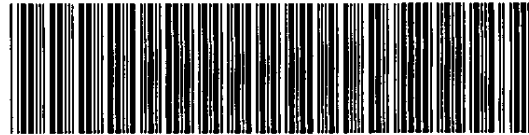
(Business Entity Name)

(Document Number)

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05/31/11--01010--015 **130.00

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SECRETARY OF STATE
DIVISION OF CORPORATIONS
11 JUN 10 PM 2:54

T. HAMPTON

JUN 13 2011

EXAMINER

0002110

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Richter Financial Investment Planning Solutions, LLC

Name of Limited Liability Company

The enclosed "Application by Foreign Limited Liability Company for Authorization to Transact Business in Florida," Certificate of Existence, and check are submitted to register the above referenced foreign limited liability company to transact business in Florida..

Please return all correspondence concerning this matter to the following:

Matthew G. Richter

Name of Person

Richter Financial Investment Planning Solutions, LLC

Firm/Company

780 Fifth Avenue South, Suite 200

Address

Naples, FL 34102

City/State and Zip Code

matt@matthewgrichter.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Matthew G. Richter

Name of Person

at (617)

504-4759

Area Code & Daytime Telephone Number

MAILING ADDRESS:

Division of Corporations
Registration Section
P.O. Box 6327
Tallahassee, FL 32314

STREET ADDRESS:

Division of Corporations
Registration Section
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

Enclosed is a check for the following amount:

☐ \$125.00 Filing Fee

☒ \$130.00 Filing Fee &
Certificate of Status

☐ \$155.00 Filing Fee &
Certified Copy

☐ \$160.00 Filing Fee, Certificate
of Status & Certified Copy

Richter Financial Investment
Planning Solutions, LLC

Tammy Hampton
Regulatory Specialist II
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314
Date 6/6/11

780 Fifth Avenue South
STE 200
Naples, FL 34102
T 239-261-9544
F 866-8202346
matt@matthewrichter.com

Dear Ms. Hampton,

Regarding your letter to me Letter Number 611A00013440, Reference Number W11000030020, enclosed please find a corrected Application By Foreign Limited Liability Company, and corrected Certificate of Designation of Registered Agent/Registered Office. I corrected line number 6 on the Application, and line number 2 on the Certificate of Designation of Registered Agent.

Sincerely yours,

A handwritten signature in black ink, appearing to read "Matthew Richter", with a long horizontal flourish extending to the right.

Matthew Richter



FLORIDA DEPARTMENT OF STATE
Division of Corporations

RECEIVED

11 JUN 10 PM 4:00

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

June 1, 2011

MATTHEW G RICHTER
780 FIFTH AVE SOUTH
STE 200
NAPLES, FL 34102

SUBJECT: RICHTER FINANCIAL INVESTMENT PLANNING SOLUTIONS, LLC
Ref. Number: W11000030020

We have received your document for RICHTER FINANCIAL INVESTMENT PLANNING SOLUTIONS, LLC and your check(s) totaling \$130.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The business entity that you are forming cannot serve as its own registered agent. You may designate an individual or another business entity with an active registration or filing with this office. The newly designated registered agent must have a Florida street address and must sign accepting the designation. Please amend your document accordingly.

According to the application submitted to this office, this entity transacted business in the state of Florida before properly registering with the Florida Department of State, Division of Corporations. Consequently, a \$500 civil penalty and an annual report filing fee for each year the entity failed to properly file a Florida annual report are due this office. Based on the date entered on the application, the civil penalty and annual report filing fees total \$638.75.

Please return the corrected original and one copy of your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6855.

Tammy Hampton
Regulatory Specialist II
Registration/Qualification Section

Letter Number: 611A00013440

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO
TRANSACTION BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACTION BUSINESS IN THE STATE OF FLORIDA:

1. Richter Financial Investment Planning Solutions, LLC
(Name of Foreign Limited Liability Company; must include "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida and attach a copy of the written consent of the managers or managing members adopting the alternate name. The alternate name must include "Limited Liability Company," "L.L.C.," "LLC.")

2. Rhode Island 3. _____
(Jurisdiction under the law of which foreign limited liability company is organized) (FEI number, if applicable)

4. established in RI October, 2009 5. Perpetual
(Date of Organization) (Duration: Year limited liability company will cease to exist or "perpetual")

6. No transations as of yet ~~(04/12/2010)~~ 04/12/2011
(Date first transacted business in Florida, if prior to registration.)
(See sections 608.501 & 608.502 F.S. to determine penalty liability)

7. 1765 Bicentennial Way, STE #9R, North Providence, RI 02911
(Street Address of Principal Office)

8. If limited liability company is a manager-managed company, check here ☐

9. The name and usual business addresses of the managing members or managers are as follows:

Matthew G. Richter, 780 Fifth Avenue South, STE 200, Naples, FL 34102

10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)

11. Nature of business or purposes to be conducted or promoted in Florida: Investment Advisor

Matthew Richter
Signature of a member or an authorized representative of a member.

(In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true. I am aware that any false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.)

Matthew Richter
Typed or printed name of signee

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DIVISION OF CORPORATIONS
11 JUN 10 PM 2:54

**CERTIFICATE OF DESIGNATION OF
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES, THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

Richter Financial Investment Planning Solutions, LLC

If unavailable, the alternate to be used in the state of Florida is:

2. The name and the Florida street address of the registered agent and office are:

Matthew Richter
~~Richter Financial Investment Planning Solutions, LLC~~
(Name)

780 Fifth Avenue South, STE 200
Florida Street Address (P.O. Box **NOT** ACCEPTABLE)

Naples FL 34102
City/State/Zip

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties; and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, Florida Statutes.

Matthew Richter
(Signature)

\$ 100.00	Filing Fee for Application
\$ 25.00	Designation of Registered Agent
\$ 30.00	Certified Copy (optional)
\$ 5.00	Certificate of Status (optional)

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
11 JUN 10 PM 2:54



State of Rhode Island and Providence Plantations

A. Ralph Mollis

Secretary of State

Certification Number: **11041659920**

The office of the Secretary of State of the State of Rhode Island and Providence Plantations,
HEREBY CERTIFIES, that

Richter Financial Investment Planning Solutions, LLC

a Rhode Island limited liability company, filed original articles of organization in this office on

August 28, 2009

Effective

August 28, 2009

IT IS FURTHER CERTIFIED that as of this date said limited liability company is duly organized
and existing under and by virtue of the laws of the State of Rhode Island and is in good
standing according to the records of this office.

SIGNED AND SEALED ON

Wednesday, April 13, 2011

Secretary of State

Authorized Agent

