

2012 LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M11000000644

Entity Name: LPL FINANCIAL LLC

FILED
Jan 04, 2012
Secretary of State

Current Principal Place of Business:

ONE BEACON STREET
22ND FLOOR
BOSTON, MA 02108

New Principal Place of Business:

9785 TOWNE CENTRE DRIVE
SAN DIEGO, CA 92121

Current Mailing Address:

ONE BEACON STREET
22ND FLOOR
BOSTON, MA 02108

New Mailing Address:

FEI Number: 95-2834236

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

CT CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

MANAGING MEMBERS/MANAGERS:

Title: MGRM
Name: LPL HOLDINGS INC
Address: ONE BEACON STREET
City-St-Zip: BOSTON, MA 02108

Title: MGRM
Name: CASADY, MARK S
Address: ONE BEACON STREET, 22ND FLOOR
City-St-Zip: BOSTON, MA 02108

Title: MGRM
Name: STEARNS, ESTHER M
Address: 9785 TOWNE CENTRE DRIVE
City-St-Zip: SAN DIEGO, CA 92121

Title: MGRM
Name: MOORE, ROBERT J
Address: 9785 TOWNE CENTRE DRIVE
City-St-Zip: SAN DIEGO, CA 92121

Title: MGRM
Name: BROWN, STEPHANIE L
Address: ONE BEACON STREET, 22ND FLOOR
City-St-Zip: BOSTON, MA 02108

I hereby certify that the information indicated on this report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE: STEPHANIE L. BROWN

MGRM

01/04/2012

Electronic Signature of Signing Managing Member, Manager, or Authorized Representative / Date