

# **2012 LIMITED LIABILITY COMPANY ANNUAL REPORT**

DOCUMENT# M10000003279

**Entity Name:** MID-MARKET SECURITIES, LLC

**FILED**  
**Jan 26, 2012**  
**Secretary of State**

**Current Principal Place of Business:**

24 JAMES ROAD  
MOUNT KISCO, NY 10549

**New Principal Place of Business:**

**Current Mailing Address:**

24 JAMES ROAD  
MOUNT KISCO, NY 10549

**New Mailing Address:**

**FEI Number:** 05-055551

**FEI Number Applied For ( )**

**FEI Number Not Applicable ( )**

**Certificate of Status Desired ( )**

**Name and Address of Current Registered Agent:**

WESTGATE, CHRISTOPHER D  
1550 MADRUGA AVE. SUITE 314  
CORAL GABLES, FL 33146 US

**Name and Address of New Registered Agent:**

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

**SIGNATURE:**

\_\_\_\_\_  
Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

**MANAGING MEMBERS/MANAGERS:**

**Title:** MGRM  
**Name:** WIEN, ROBERT  
**Address:** 24 JAMES ROAD  
**City-St-Zip:** MOUNT KISCO, NY 10549

I hereby certify that the information indicated on this report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

**SIGNATURE:** ROBERT W. WIEN

MGRM

01/26/2012

\_\_\_\_\_  
Electronic Signature of Signing Managing Member, Manager, or Authorized Representative / Date