

2011 LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M10000003279

FILED
Jul 11, 2011
Secretary of State

Entity Name: MID-MARKET SECURITIES, LLC

Current Principal Place of Business:

24 JAMES ROAD
MOUNT KISCO, NY 10549

New Principal Place of Business:

Current Mailing Address:

24 JAMES ROAD
MOUNT KISCO, NY 10549

New Mailing Address:

FEI Number: 05-055551

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

WESTGATE, CHRISTOPHER D
1550 MADRUGA AVE. SUITE 314
CORAL GABLES, FL 33146 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

MANAGING MEMBERS/MANAGERS:

Title: MGRM
Name: WIEN, ROBERT
Address: 24 JAMES ROAD
City-St-Zip: MOUNT KISCO, NY 10549

I hereby certify that the information indicated on this report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE: ROBERT W. WIEN

MGRM

07/11/2011

Electronic Signature of Signing Managing Member, Manager, or Authorized Representative / Date