

2012 LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M10000002742

Entity Name: ROCHDALE SECURITIES LLC

FILED
Apr 25, 2012
Secretary of State

Current Principal Place of Business:

750 EAST MAIN STREET, 7TH FLOOR
STAMFORD, CT 06902

New Principal Place of Business:

Current Mailing Address:

750 EAST MAIN STREET, 7TH FLOOR
STAMFORD, CT 06902

New Mailing Address:

FEI Number: 20-1093348

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

NRAI SERVICES, INC.
515 E. PARK AVENUE
TALLAHASSEE, FL 32301 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

MANAGING MEMBERS/MANAGERS:

Title: MGRM
Name: CROWLEY, DANIEL J
Address: 750 EAST MAIN STREET, 7TH FLOOR
City-St-Zip: STAMFORD, CT 06902

Title: MGRM
Name: TALGO, KRISTEN L
Address: 750 EAST MAIN STREET, 7TH FLOOR
City-St-Zip: STAMFORD, CT 06902

Title: MGRM
Name: TUNICK, HAL S
Address: 750 EAST MAIN STREET, 7TH FLOOR
City-St-Zip: STAMFORD, CT 06902

I hereby certify that the information indicated on this report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE: FLAVIO RAUSEI

MR

04/25/2012

Electronic Signature of Signing Managing Member, Manager, or Authorized Representative / Date