

M09000004863

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

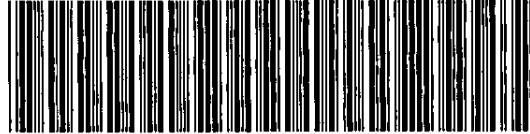
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

Office Use Only



400267125684

12/10/14--01019--001 **30.00

FILED
2014 DEC 29 PM 12:14
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

N. Culligan DEC 31 2014

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: J.P. Morgan Retirement Plan Services LLC

Name of Foreign Limited Liability Company

Dear Sir or Madam:

The enclosed application, certificate and fee(s) are submitted for filing.

Please return all correspondence concerning this matter to the following:

Kasey Erickson

Name of Person

Great-West Financial

Firm/Company

8515 E Orchard Road

Address

Greenwood Village, CO 80111

City/State and Zip Code

kasey.erickson@greatwest.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Kasey Erickson

Name of Person

at (303) 737-2675

Area Code & Daytime Telephone Number

STREET/COURIER ADDRESS:

Registration Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, Florida 32301

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, Florida 32314

Enclosed is a check for the following amount:

☐ \$25 Filing Fee

☒ \$30 Filing Fee &
Certificate of Status

☐ \$55 Filing Fee &
Certified Copy

☐ \$60 Filing Fee,
Certificate of Status &
Certified Copy



FLORIDA DEPARTMENT OF STATE
Division of Corporations

RECEIVED
14 DEC 29 AM 10:00
DIVISION OF CORPORATIONS
BUREAU OF COMMERCIAL
INFORMATION SERVICES

December 16, 2014

KASEY ERICKSON
GREAT-WEST FINANCIAL
8515 E ORHARD ROAD
GREENWOOD VILLAGE, CO 80111

SUBJECT: J.P. MORGAN RETIREMENT PLAN SERVICES LLC
Ref. Number: M09000004863

We have received your document for J.P. MORGAN RETIREMENT PLAN SERVICES LLC and your check(s) totaling \$30.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

A certificate or a document of similar import evidencing the amendment must be submitted with the application. The certificate should be authenticated as of a date not more than 90 days prior to delivery of the application to the Department of State by the Secretary of State or other official having custody of the records in the jurisdiction under the laws of which it is incorporated, formed, or organized. A translation of the certificate, under oath or affirmation of the translator, must be attached to a certificate which is not in English.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Neysa Culligan
Regulatory Specialist II

Letter Number: 114A00026596

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY TO FILE
AMENDMENT TO CERTIFICATE OF AUTHORITY TO TRANSACT
BUSINESS IN FLORIDA**

SECTION I (1-3 must be completed)

1. Name of limited liability Company as it appears on the records of the Florida Department of State: J.P. Morgan Retirement Plan Services LLC
2. Jurisdiction of its organization: Delaware
3. Date authorized to do business in Florida: 12/11/2009

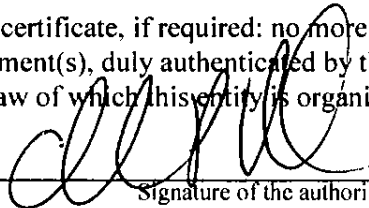
SECTION II (4-7 complete only the applicable changes)

4. New name of the limited liability company: Great-West Financial Retirement Plan Services LLC
(must contain "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida and attach a copy of the written consent of the managers or managing members adopting the alternate name. The alternate name must contain "Limited Liability Company," "L.L.C." or "LLC.")

5. If the amendment changes the jurisdiction of organization, indicate new jurisdiction:
N/A
6. If the amendment changes person, title or capacity in accordance with 605.0902 (1)(e), indicate that change: N/A

7. Attached is an original certificate, if required: no more than 90 days old, evidencing the aforementioned amendment(s), duly authenticated by the official having custody of records in the jurisdiction under the law of which this entity is organized.



Signature of the authorized representative

Charles P Nelson

Typed or printed name of signee

Filing Fee: \$25.00

FILED
2009 DEC 29 PM 12:14
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE SAID "J.P. MORGAN RETIREMENT PLAN SERVICES LLC", FILED A CERTIFICATE OF AMENDMENT, CHANGING ITS NAME TO "GREAT-WEST FINANCIAL RETIREMENT PLAN SERVICES, LLC", THE TWENTY-SECOND DAY OF AUGUST, A.D. 2014, AT 11:36 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF AMENDMENT IS THE SECOND DAY OF SEPTEMBER, A.D. 2014.

3617645 8320

141523913

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 1948339

DATE: 12-11-14

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "GREAT-WEST FINANCIAL RETIREMENT PLAN SERVICES, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-FIFTH DAY OF NOVEMBER, A.D. 2014.

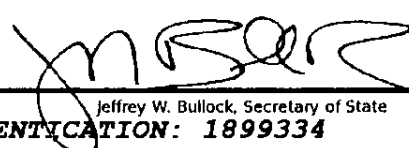
AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN PAID TO DATE.

3617645 8300

141456097

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 1899334

DATE: 11-25-14