

MO90000001343

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

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(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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SECRETARY OF STATE
TALLAHASSEE FLORIDA

MGL Consulting Corporation

9303 New Trails Drive, Suite 400, The Woodlands, Texas 77381 • 281-367-0380 • Fax 281-364-1452 • www.mglconsulting.com

April 6, 2009

Via UPS Express

Registration Section
Division of Corporations
409 E. Gaines St.
Tallahassee, Florida 32399

**RE: Petsky Prunier Securities, LLC.; Request for Certificate of Authority to transact
business in Florida**

Dear Sir/Madam:

With regard to the above captioned matter, we would like to request a Certificate of Authority regarding Petsky Prunier Securities, LLC. Our client has asked that we handle their insurance agency licensing and state registration with the State of Florida. Therefore, on behalf of our client, enclosed please find the following documents:

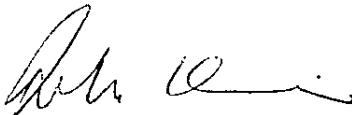
1. One originally executed Florida Certificate of Authority application;
2. One original Certificate of Good Standing from the state of New York;
3. One original articles of organization from the state of New York; and
4. One check in the amount of \$125.00 to cover the fee.

Please return the approved Certificate of Authority in the enclosed UPS envelope to my attention at the following address:

MGL Consulting Corporation
Attention: Insurance Division – Debra Hosein
9303 New Trails Rd., Suite 400
The Woodlands, Texas 77381

Should you have any questions regarding this request or require additional documentation, please call me directly.

Sincerely,



Debra Hosein
Licensing Specialist II

ddh
Enclosures (as stated)

cc: Le Ling Hu – Petsky Prunier Securities, LLC (w/ enclosures) 0426.21

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Petsky Prunier Securities, LLC

(Name of Limited Liability Company)

The enclosed "Application by Foreign Limited Liability Company for Authorization to Transact Business in Florida," Certificate of Existence, and check are submitted to register the above referenced foreign limited liability company to transact business in Florida..

Please return all correspondence concerning this matter to the following:

Debra Hosein

(Name of Person)

MGL Consulting Corporation

(Firm/Company)

9303 New Trails Dr

(Address)

The Woodlands, TX 77381

(City/State and Zip Code)

For further information concerning this matter, please call:

Debra Hosein

(Name of Person)

at (281) 367-0380 ext 169

(Area Code & Daytime Telephone Number)

MAILING ADDRESS:

Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

STREET ADDRESS:

Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

Enclosed is a check for the following amount:

☒ \$125.00 Filing Fee ☐ \$130.00 Filing Fee & Certificate of Status ☐ \$155.00 Filing Fee & Certified Copy ☐ \$160.00 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO
TRANSACT BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACT BUSINESS IN THE STATE OF FLORIDA:

1. Petsky Prunier Securities, LLC
(Name of Foreign Limited Liability Company; must include "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida and attach a copy of the written consent of the managers or managing members adopting the alternate name. The alternate name must include "Limited Liability Company," "L.L.C.," "LLC.")

2. New York 3. 13-4304620
(Jurisdiction under the law of which foreign limited liability company is organized) (FEI number, if applicable)

4. 7/15/2005 5. Perpetual
(Date of Organization) (Duration: Year limited liability company will cease to exist or "perpetual")

6. Upon qualification
(Date first transacted business in Florida, if prior to registration.)
(See sections 608.501 & 608.502 F.S. to determine penalty liability)

7. 40 Wall Street, 10th Floor
New York, NY 10005
(Street Address of Principal Office)

8. If limited liability company is a manager-managed company, check here ☐

9. The name and usual business addresses of the managing members or managers are as follows:

Michael Lawrence Petsky

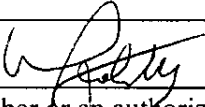
Daniel Eugene LeGay Sr.

John Edward Prunier

10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)

11. Nature of business or purposes to be conducted or promoted in Florida: _____

Private placement securities


Signature of a member or an authorized representative of a member.
(In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true.)

Michael Lawrence Petsky

Typed or printed name of signee

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09 APR -7 AM 8:10
SECRETARY OF STATE
TALLAHASSEE FLORIDA

**CERTIFICATE OF DESIGNATION OF
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES, THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

Petsky Prunier Securities, LLC

If name unavailable, the alternate name to be used in the state of Florida is:

2. The name and the Florida street address of the registered agent and office are:

C T Corporation System

(Name)

1200 South Pine Island Road

Florida Street Address (P.O. Box **NOT** ACCEPTABLE)

Plantation

FL

33324

City/State/Zip

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, Florida Statutes.

C T Corporation System

By:

Jane Zachritz
(Signature)

Jane Zachritz
Assistant Secretary

\$ 100.00	Filing Fee for Application
\$ 25.00	Designation of Registered Agent
\$ 30.00	Certified Copy (optional)
\$ 5.00	Certificate of Status (optional)

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TALLAHASSEE FLORIDA
SECRETARY OF STATE

State of New York
Department of State } ss:

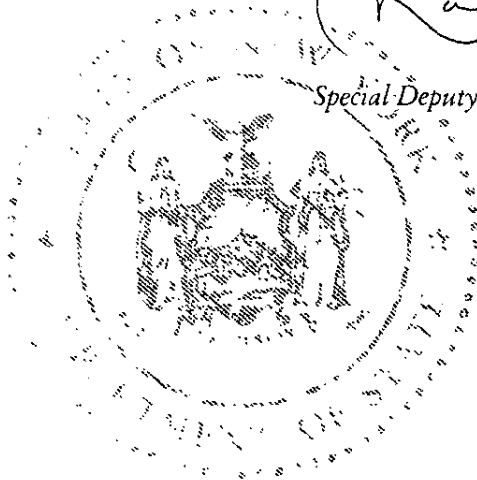
I hereby certify, that PETSKY PRUNIER SECURITIES LLC a NEW YORK Limited Liability Company filed Articles of Organization pursuant to the Limited Liability Company Law on 07/15/2005, and that the Limited Liability Company is existing so far as shown by the records of the Department.

*WITNESS my hand and the official seal
of the Department of State at the City of
Albany, this 26th day of March two
thousand and nine.*



Special Deputy Secretary of State

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STATE DEPT
TALLAHASSEE FLORIDA