

MO9000000430

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

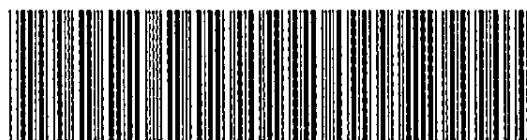
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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FILED
2017 OCT 18 AM 8:27

OCT 20 2017
J. HARRIS

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Cary Street Partners Investment Advisory LLC
Name of Foreign Limited Liability Company

Dear Sir or Madam:

The enclosed application, certificate and fee(s) are submitted for filing.

Please return all correspondence concerning this matter to the following:

Margaret Snead
Name of Person

Cary Street Partners
Firm/Company

1210 E Cary St, Ste 300
Address

Richmond, VA 23219
City/State and Zip Code

msnead@carystreetpartners.com
E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Margaret Snead at (804) 228-4696
Name of Person Area Code & Daytime Telephone Number

STREET/COURIER ADDRESS:

Registration Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, Florida 32301

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, Florida 32314

Enclosed is a check for the following amount:

- ☐ \$25 Filing Fee ☐ \$30 Filing Fee & Certificate of Status ☐ \$55 Filing Fee & Certified Copy ☐ \$60 Filing Fee, Certificate of Status & Certified Copy



FLORIDA DEPARTMENT OF STATE
Division of Corporations

October 10, 2017

MARGARET C SNEAD
CARY STREET PARTNERS
1210 EAST CARY STREET, SUITE 300
RICHMOND, VA 23219

SUBJECT: CARY STREET PARTNERS INVESTMENT ADVISORY LLC
Ref. Number: M09000000430

We have received your document for CARY STREET PARTNERS INVESTMENT ADVISORY LLC and your check(s) totaling \$25.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The form you submitted is for a FLORIDA LLC, but your entity is a FOREIGN LLC. Please complete and return the enclosed blank form(s).

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6051.

Jenna D Harris
Regulatory Specialist II

Letter Number: 317A00020434

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY TO FILE
AMENDMENT TO CERTIFICATE OF AUTHORITY TO TRANSACT
BUSINESS IN FLORIDA**

SECTION I (1-4 must be completed)

1. Name of limited liability Company as it appears on the records of the Florida Department of

State: Cary Street Partners Investment Advisory LLC

Enter new principal office address, if applicable: _____

(Principal office address)

MUST BE A STREET ADDRESS

Enter new mailing address, if applicable: _____

(Mailing address)

MAY BE A POST OFFICE BOX

2. The Florida document number of this limited liability company is: MO9000000430

3. Jurisdiction of its organization: State of VA

4. Date authorized to do business in Florida: 2/2/2009

SECTION II (5-9 complete only the applicable changes)

5. New name of the limited liability company: _____
(must contain "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida and attach a copy of the written consent of the managers or managing members adopting the alternate name. The alternate name must contain "Limited Liability Company," "L.L.C.," or "LLC.")

6. If amending the registered agent and/or registered officer address on our records, enter the name of the new
registered agent and/or the new registered office address here:

Name of New Registered Agent: _____

New Registered Office Address: _____

Enter Florida Street Address

City

Florida

Zip Code

2017 OCT 18 AM 8:29

New Registered Agent's Signature, if changing Registered Agent:

I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 605, F.S. Or, if this document is being filed to merely reflect a change in the registered office address, I hereby confirm that the limited liability company has been notified in writing of this change.

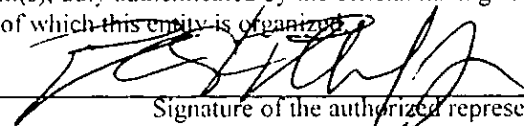
If Changing Registered Agent, Signature of New Registered Agent

7. If the amendment changes the jurisdiction of organization, indicate new jurisdiction:

8. If the amendment changes person, title or capacity in accordance with 605.0902 (1)(e), indicate that change:

| <u>Title/ Capacity</u> | <u>Name</u> | <u>Address</u> | <u>Type of Action</u> |
|------------------------|-----------------------|---------------------|-----------------------------------------|
| Member | Luxon Financial LLC | 1210 E Cary Street | <input checked="" type="checkbox"/> Add |
| | | Richmond, VA 23219 | <input type="checkbox"/> Remove |
| Manager | Anson H Beard | 1210 E Cary Street | <input checked="" type="checkbox"/> Add |
| | | Richmond, VA 23219 | <input type="checkbox"/> Remove |
| Manager | Hsueh-liang Chen | 1210 E Cary Street | <input checked="" type="checkbox"/> Add |
| | | Richmond, VA 23219 | <input type="checkbox"/> Remove |
| Manager | Thomas O Herrick | 1210 E Cary Street | <input checked="" type="checkbox"/> Add |
| | | Richmond, VA 23219 | <input type="checkbox"/> Remove |
| Manager | Thomas H. Tullidge Jr | 1210 E. Cary Street | <input checked="" type="checkbox"/> Add |
| | | Richmond, VA 23219 | <input type="checkbox"/> Remove |

9. Attached is a certificate, if required: no more than 90 days old, evidencing the aforementioned amendment(s), duly authenticated by the official having custody of records in the jurisdiction under the law of which this entity is organized.


Signature of the authorized representative

Thomas H. Tullidge Jr.
Typed or printed name of signee

Filing Fee: \$25.00

2017 OCT 18 PM 6:28