

M08000003445

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

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(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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W08-38609

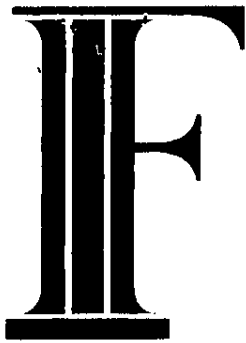


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FILED
08 AUG 27 PM 4:16
SECRETARY OF STATE
TALLAHASSEE FLORIDA

N. ~~08/27/08~~ AUG 27 2008



FORUM
FINANCIAL MANAGEMENT, LLC

Main Office:
1900 S. Highland Ave.
Suite 100
Lombard, IL 60148
Ph: 630.873.8520
Fax: 630.873.8536

St. Charles Office:
1 W. Illinois St.
Suite 130
St. Charles, IL 60174
Ph: 630.443.6786
Fax: 630.443.6787

John J. Adam
EA, CFP®

Marcus K. Heinrich
CFP®

Norbert M. Mindel
JD, CPA, PFS, CFP®

Brian T. Savage
CPA, PFS, CFP®

Joseph A. Spokas
CPA, PFS, CFP®

Mary Pat Wesche
CPA, CFP®

August 1, 2008

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

**Re: Forum Financial Management, LLC
Foreign LLC Registration**

To Whom It May Concern:

Enclosed please find the following documents for Forum Financial Management, LLC:

- Application by Foreign Limited Liability Company for Authorization to Transact Business in Florida
- Certificate of Existence
- Payment

If you have any questions, please Brian T. Savage at 630.873.8515.

Sincerely,

Brian T. Savage

The Intelligent Guide to Your Financial Future

www.forumfinancialmanagement.com

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Forum Financial Management, LLC
(Name of Limited Liability Company)

The enclosed "Application by Foreign Limited Liability Company for Authorization to Transact Business in Florida," Certificate of Existence, and check are submitted to register the above referenced foreign limited liability company to transact business in Florida..

Please return all correspondence concerning this matter to the following:

Brian Savage

(Name of Person)

Forum Financial Management, LLC

(Firm/Company)

1900 S. Highland Ave Suite 100

(Address)

Lombard, IL 60148

(City/State and Zip Code)

For further information concerning this matter, please call:

Brian Savage

(Name of Person)

at (630) 873-8515

(Area Code & Daytime Telephone Number)

MAILING ADDRESS:

Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

STREET ADDRESS:

Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

Enclosed is a check for the following amount:

☐ \$125.00 Filing Fee ☐ \$130.00 Filing Fee & Certificate of Status ☐ \$155.00 Filing Fee & Certified Copy ☒ \$160.00 Filing Fee, Certificate of Status & Certified Copy



FLORIDA DEPARTMENT OF STATE
Division of Corporations

August 18, 2008

BRIAN SAVAGE
1900 S HIGHLAND AVE STE 100
LOMBARD, IL 60148

SUBJECT: FORUM FINANCIAL MANAGEMENT, LLC
Ref. Number: W08000038609

We have received your document for FORUM FINANCIAL MANAGEMENT, LLC and your check(s) totaling \$160.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The document must contain the name, title, and business address of each managing member or manager who will manage the foreign limited liability company in the state of Florida. Please insert "MGRM" in the title portion for each managing member and "MGR" in the title portion for each manager.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6097.

Marsha Thomas
Regulatory Specialist II

Letter Number: 908A00046339

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO
TRANSACTION BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN
LIMITED LIABILITY COMPANY TO TRANSACTION BUSINESS IN THE STATE OF FLORIDA:

1. Forum Financial Management, LLC

(Name of Foreign Limited Liability Company; must include "Limited Liability Company," "L.L.C.," or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida and attach a copy of the written consent of the managers or managing members adopting the alternate name. The alternate name must include "Limited Liability Company," "L.L.C.," "LLC.")

2. Illinois

(Jurisdiction under the law of which foreign limited liability company is organized)

3. 38-3649535

(FEI number, if applicable)

4.

5/9/2002
(Date of Organization)

5.

5/1/2032
(Duration: Year limited liability company will cease to exist or "perpetual")

6.

(Date first transacted business in Florida, if prior to registration.)
(See sections 608.501 & 608.502 F.S. to determine penalty liability)

7. 1626 Ringling Blvd. Suite 500

Sarasota, FL 34236

(Street Address of Principal Office)

8. If limited liability company is a manager-managed company, check here ☐**9. The name and usual business addresses of the managing members or managers are as follows:**

Norbert M. Mindel, Manager
1900 S. Highland Ave #100
Lombard, IL 60148

10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)

11. Nature of business or purposes to be conducted or promoted in Florida: Financial Services

Marcus K. Heinrich
Signature of a member or an authorized representative of a member.

(In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true.)

Marcus K. Heinrich

Typed or printed name of signee

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TALLAHASSEE FLORIDA

**CERTIFICATE OF DESIGNATION OF
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES, THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

Forum Financial Management, LLC

If name unavailable, the alternate name to be used in the state of Florida is:

2. The name and the Florida street address of the registered agent and office are:

David H. Rosenberg, Esq

(Name)

1626 Ringling Blvd Suite 100

Florida Street Address (P.O. Box **NOT** ACCEPTABLE)


Sarasota FL 34236

FL

City/State/Zip

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SECRETARY OF STATE
TALLAHASSEE FLORIDA

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, Florida Statutes.

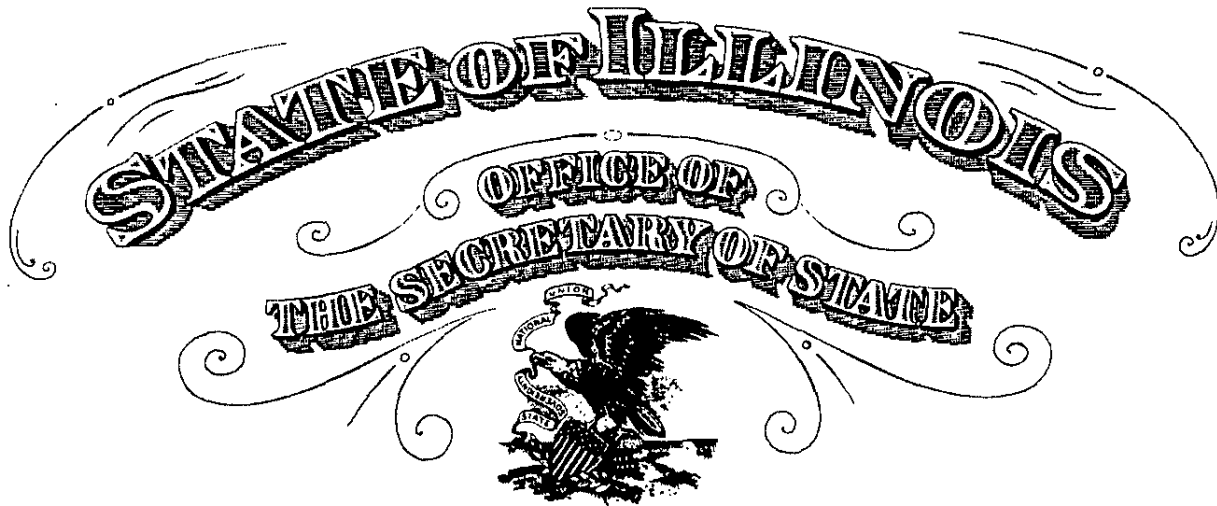


(Signature)

\$ 100.00	Filing Fee for Application
\$ 25.00	Designation of Registered Agent
\$ 30.00	Certified Copy (optional)
\$ 5.00	Certificate of Status (optional)

File Number

0071171-3



To all to whom these Presents Shall Come, Greeting:

I, Jesse White, Secretary of State of the State of Illinois, do hereby certify that

FORUM FINANCIAL MANAGEMENT, L.L.C., HAVING ORGANIZED IN THE STATE OF ILLINOIS ON MAY 09, 2002, APPEARS TO HAVE COMPLIED WITH ALL PROVISIONS OF THE LIMITED LIABILITY COMPANY ACT OF THIS STATE, AND AS OF THIS DATE IS IN GOOD STANDING AS A DOMESTIC LIMITED LIABILITY COMPANY IN THE STATE OF ILLINOIS.



Authentication #: 0821102154

Authenticate at: <http://www.cyberdriveillinois.com>

*In Testimony Whereof, I hereto set
my hand and cause to be affixed the Great Seal of
the State of Illinois, this 29TH
day of JULY A.D. 2008*

Jesse White

SECRETARY OF STATE