

M08000002692

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP ☐ WAIT ☐ MAIL

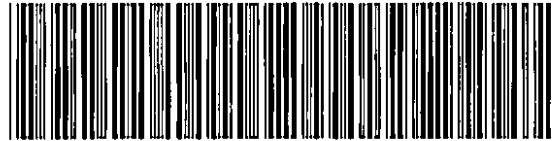
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

Office Use Only



700369573827

RECEIVED

2021 AUG 19 PM 12:19

CLERK OF COURT
TALLAHASSEE, FL

RECEIVED

2021 AUG 20 AM 12:16

CLERK OF COURT
TALLAHASSEE, FL

FILED

2021 AUG 19 AM 9:00

CLERK OF STATE
TALLAHASSEE, FL

AUG 19 2021

Sunshine State Corporate Compliance Company

3458 Lakeshore Drive, Tallahassee, Florida 32312

(850) 656-4724

DATE 08/19/2021

****WALK IN****

ENTITY NAME FRANKLIN DISTRIBUTORS, LLC

DOCUMENT NUMBER _____

****PLEASE FILE THE ATTACHED AND RETURN****

XXXXX

Plain Copy

Certified Copy

Certificate of Status

****PLEASE OBTAIN THE FOLLOWING FOR THE ABOVE ENTITY****

Certified Copy of Arts & Amendments

Certificate of Good Standing

****APOSTILLE / NOTARIAL CERTIFICATION****

COUNTRY OF DESTINATION _____

NUMBER OF CERTIFICATES REQUESTED _____

TOTAL OWED \$25.00

ACCOUNT #: I20160000072

E. R. H.

Please call Tina at the above number for any issues or concerns. Thank you so much!



FLORIDA DEPARTMENT OF STATE
Division of Corporations

August 20, 2021

SUNSHINE STATE

CORRECTED
Please Allow For
Same File Date

SUBJECT: LEGG MASON INVESTOR SERVICES, LLC
Ref. Number: M08000002692

We have received your document for LEGG MASON INVESTOR SERVICES, LLC and the authorization to debit your account in the amount of \$25.00. However, the document has not been filed and is being returned for the following:

A certificate or a document of similar import evidencing the amendment must be submitted with the application. The certificate should be authenticated as of a date not more than 90 days prior to delivery of the application to the Department of State by the Secretary of State or other official having custody of the records in the jurisdiction under the laws of which it is incorporated, formed, or organized. A translation of the certificate, under oath or affirmation of the translator, must be attached to a certificate which is not in English.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6050.

Yasemin Y Sulker
Regulatory Specialist III

Letter Number: 721A00019966

RECEIVED
2021 AUG 23 AM 11:58
TALLAHASSEE, FLORIDA

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY TO FILE
AMENDMENT TO CERTIFICATE OF AUTHORITY TO TRANSACT
BUSINESS IN FLORIDA**

SECTION I (1-4 must be completed)

1. Name of limited liability Company as it appears on the records of the Florida Department of

State: LEGG MASON INVESTOR SERVICES, LLC

Enter new principal office address, if applicable: _____

(Principal office address

MUST BE A STREET ADDRESS)

Enter new mailing address, if applicable: _____

(Mailing address

MAY BE A POST OFFICE BOX)

2. The Florida document number of this limited liability company is: M08000002692

3. Jurisdiction of its organization: DE

4. Date authorized to do business in Florida: 06/06/2008

SECTION II (5-9 complete only the applicable changes)

5. New name of the limited liability company: FRANKLIN DISTRIBUTORS, LLC

(must contain "Limited Liability Company," "L.L.C." or "LLC.")

(If name unavailable, enter alternate name adopted for the purpose of transacting business in Florida and attach a copy of the written consent of the managers or managing members adopting the alternate name. The alternate name must contain "Limited Liability Company," "L.L.C." or "LLC.")

6. If amending the registered agent and/or registered officer address on our records, enter the name of the new registered agent and/or the new registered office address here:

Name of New Registered Agent: _____

New Registered Office Address: _____

Enter Florida Street Address

_____, **Florida**
City

Zip Code

New Registered Agent's Signature, if changing Registered Agent:

I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 605, F.S. Or, if this document is being filed to merely reflect a change in the registered office address, I hereby confirm that the limited liability company has been notified in writing of this change.

If Changing Registered Agent, Signature of New Registered Agent

7. If the amendment changes the jurisdiction of organization, indicate new jurisdiction:

8. If the amendment changes person, title or capacity in accordance with 605.0902 (1)(c), indicate that change:

| <u>Title/ Capacity</u> | <u>Name</u> | <u>Address</u> | <u>Type of Action</u> |
|------------------------|-------------|----------------|---------------------------------|
| _____ | _____ | _____ | <input type="checkbox"/> Add |
| _____ | _____ | _____ | <input type="checkbox"/> Remove |
| _____ | _____ | _____ | <input type="checkbox"/> Add |
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| _____ | _____ | _____ | <input type="checkbox"/> Add |
| _____ | _____ | _____ | <input type="checkbox"/> Remove |

9. Attached is a certificate, if required; no more than 90 days old, evidencing the aforementioned amendment(s), duly authenticated by the official having custody of records in the jurisdiction under the law of which this entity is organized.

Jenisa Irizarry
Signature of the authorized representative

Jenisa Irizarry

Typed or printed name of signee

Filing Fee: \$25.00

United Agent Group Inc.
801 US Highway 1, North Palm Beach, FL 33408

FILED

2021 JUN 17 AM 9:41
June 17, 2021
SECRETARY OF STATE
TALLAHASSEE, FL

Florida Department of State
Division of Corporations
Clifton Building
2415 N. Monroe Street, Suite 810
TALLAHASSEE, FL 32303

Hi,

This is a name reservation request for Franklin Distributors, LLC in the State of Florida.

I would be grateful if you would respond at your convenience to advice whether the proposed new entity name (Franklin Distributors, LLC) is permissible.

If you have any questions please call me at 561-508-5033.

Thank you!

Sincerely,


Jenisa Irizarry
Compliance Specialist
compliance@unitedagentgroup.com

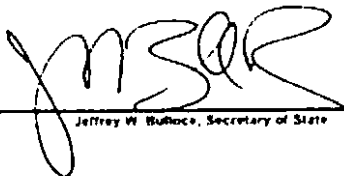
Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE RESTATED CERTIFICATE OF "LEGG MASON INVESTOR SERVICES, LLC", CHANGING ITS NAME FROM "LEGG MASON INVESTOR SERVICES, LLC" TO "FRANKLIN DISTRIBUTORS, LLC", FILED IN THIS OFFICE ON THE SEVENTH DAY OF JULY, A.D. 2021, AT 11:36 O'CLOCK A.M.




Jeffrey W. Bullock, Secretary of State

3323891 8100
SR# 20212704741

Authentication: 203673321
Date: 07-14-21

You may verify this certificate online at corp.delaware.gov/authver.shtml

**STATE OF DELAWARE
AMENDED AND RESTATED CERTIFICATE OF FORMATION
OF
LEGG MASON INVESTOR SERVICES, LLC**

This Amended and Restated Certificate of Formation of Legg Mason Investor Services, LLC, a limited liability company organized and existing under the laws of the State of Delaware, has been executed and filed in accordance with Section 18-208 of the Limited Liability Company Act of the State of Delaware for the purpose of amending and restating the company's original Certificate of Formation, filed on December 1, 2000, under the name "Latinvalley Securities LLC", as amended on January 14, 2004 changing its name to "Unified Distributors LLC", as further amended on September 29, 2005 changing its name to "Legg Mason Investor Services, LLC", to read in its entirety as follows:

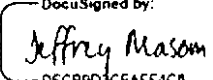
FIRST: The name of the limited liability company is:

Franklin Distributors, LLC

SECOND: The address of its registered office in the State of Delaware is: 3411 Silverside Road Tatnell Building #104, in the City of Wilmington, County of New Castle, State of Delaware 19810. The name of its registered agent at such address upon whom process against this limited liability company may be served is: United Agent Group Inc.

THIRD: This Certificate shall be effective on **July 7, 2021**.

IN WITNESS WHEREOF, the undersigned has executed this Amended and Restated Certificate of Formation as of this 30th day of June, 2021.

By:  DocuSigned by:
Jeffrey Masom
DFC8B03CFAFF4C8 6/30/2021 | 7:06 PM PDT
Name: Jeffrey Masom
Title: Authorized Person