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FLORIDA/FOREIGN LIMITED LIABILITY CO.

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CORETAN OF STATE

LANASSEE, FLORIDA

Sun Capital Securities Management Services III, LLC

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MAY 1 3 2008

**EXAMINER** 

5/12/2008

## APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

	ITH SECTION BUILDS, FLURILA OMPANYTO TRANSACT BUSINES				WARREN A FO	Trin
SUN CAPIT	AL SECURITIES MAN	AGEMEN'	T SERVICES	III, LLC		
-	(Name of Foreign	Limited Liabilit	y Company)		<u> </u>	
DELAWARE		3	26-2583182			
(Jurisdiction under company is organiz	the law of which foreign limited i ad)	ilability	(FEI nu	mber, if applicable,	,	
May 7, 2008		5, PE	RPUTUAL			
(Dat	e of Organization)	( <u>I</u>	Duration: Year limit (ist or "perpetual")	ted liability compan	y will occuse to	IAIG
UPON QUALI	FICATION					NO C
•	(Date first transacted busin (See sections 608.501 & 608	ess in Florida, i .502 F.S. to det	f prior to registration ormine penalty liab	on.) oility)	HAY 12	
5200 TOWN 0	CENTER CIRCLE, SUITE	600			<i>∨</i>	5
BOCA RATON		_			2	
	(Street	Address of Prin	cipal Office)		<del></del>	
. If limited liabili	ty company is a manager-m	anaged comp	any, check here	Ø	20	
The name and u	sual business addresses of t	he managing	members or ma	nagers are as fol	lows:	
SUN CAPIT	AL SECURITIES MA	NAGEME	NT III, LP		<del></del>	
5200 TOWN C	ENTER CIRCLE, SUITE B	00, BOCA R	ATON, FL 3348	16	<u> </u>	
jurisdiction underth	nal certificate of existence, no more c law of which it is organized. (A p rate under oath of the translator mus	shotocopy is not:				c <b>is</b> îr
. Nature of busin	tess or purposes to be condu	icted or prom	oted in Florida:	ANY AND ALL	LAWFUL	
PURPOSES.						
	made in		····			
	Signature of a member of (In accordance with section 608.4 au affirmation under the penaltic	408(3), F.S., the e	xecution of this docu	men! constitutes		
	MARK HAJDUCH, AUT					

Typed or printed name of signee

## CERTIFICATE OF DESIGNATION OF REGISTERED AGENT/REGISTERED OFFICE

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES, THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE STATE OF FLORIDA.

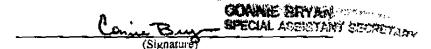
1. The name of the Limited Liability Company is:

SUN CAPITAL	SECURITIES MANAGEMENT	SERVICES III.	LLC
-------------	-----------------------	---------------	-----

2. The name and the Florida street address of the registered agent and office are:

CT CORPORATION S	YSTEM
	(Name)
1200 SOUTH PINE IS	SLAND ROAD
Florida Street Add	dress (P.O. Box <u>NOT</u> ACCEPTABLE)
PLANTATION	_ FL 33324
	City/State/7ip

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of ull statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, Florida Statutes.



\$ 100.00 Filing Fee for Application \$ 25.00 Designation of Registered Agent \$ 30.00 Certified Copy (optional)

\$ 5.00 Certificate of Status (optional)

## Delaware

PAGE 1

## The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "SUN CAPITAL SECURITIES MANAGEMENT SERVICES III, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE EIGHTH DAY OF MAY, A.D. 2008.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE NOT BEEN ASSESSED TO DATE.

4544123 8300

080523310

You may verify this certificate online at cosp.delaware.gov/authver.shtml

Daniel Smile Hindren

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 6579352

DATE: 05-08-08