

M08000001363

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

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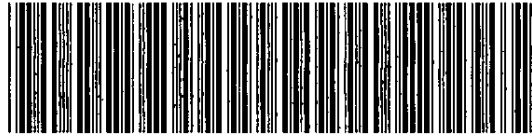
(Business Entity Name)

(Document Number)

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08 JUL -7 PM 1:00
SECRETARY OF STATE
TALLAHASSEE FLORIDA

N. G. JUL - 8 2008

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: DEPFA First Albany Securities LLC
(Name of Foreign Limited Liability Company)

Dear Sir or Madam:

The enclosed Affidavit by Foreign Limited Liability Company to Change Manager(s) or Managing Member(s) and fee(s) are submitted for filing.

Please return all correspondence concerning this matter to the following:

Meg O'Leary
(Name of Person)

c/o Cahill/Wink LLP
(Firm/Company)

60 Railroad Place, Suite 202
(Address)

Saratoga Springs, NY 12866
(City/State and Zip Code)

For further information concerning this matter, please call:

Meg O'Leary at (518) 584-1991
(Name of Person) (Area Code and Daytime Telephone Number)

STREET/COURIER ADDRESS:

Registration Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, Florida 32301

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, Florida 32314

Enclosed is a check for the following amount:

☒ \$25 Filing Fee

☐ \$30 Filing Fee &
Certificate of Status

☐ \$55.00 Filing Fee &
Certified Copy

☐ \$60 Filing Fee,
Certificate of Status &
Certified Copy

CR2E123(8/07)

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AFFIDAVIT BY FOREIGN LIMITED LIABILITY COMPANY
TO CHANGE MANAGER(S) OR MANAGING MEMBER(S)

08 JUL -7 PM 1:00

SECRETARY OF STATE
TALLAHASSEE FLORIDA

1. The name of the limited liability company as it appears on the records of the Florida
Department of State is: DEPFA First Albany Securities LLC

2. This entity was formed under the laws of: New York

3. This entity was authorized to transact business in Florida on March 17, 2008
and its Florida document/registration number is M08000001363

4. The name and address of each manager or managing member is as follows:

Title:

Name and Address:

"MGR" = Manager

"MGRM" = Managing Member

MGR

Kenneth D. Gibbs

623 Fifth Avenue, 22nd Floor, New York, NY 10022

MGR

Dermot Cahillane

623 Fifth Avenue, 22nd Floor, New York, NY 10022

MGR

Kieran Walsh

623 Fifth Avenue, 22nd Floor, New York, NY 10022

MGR

Bo Heide-Ottosen

623 Fifth Avenue, 22nd Floor, New York, NY 10022

MGR


Paul Leatherdale

623 Fifth Avenue, 22nd Floor, New York, NY 10022

MGR

Tom Glynn

623 Fifth Avenue, 22nd Floor, New York, NY 10022

Required Signature: 

(Signature of Manager, Managing Member or Member)

Filing Fee: \$25