

2010 LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M06000006651

FILED
May 27, 2010
Secretary of State

Entity Name: BROKER-DEALER COMPLIANCE ASSOCIATES, L.L.C.

Current Principal Place of Business:

2120 L STREET, SUITE 530
WASHINGTON, DC 20037

New Principal Place of Business:

1900 NW CORPORATE BLVD.
SUITE 200W
BOCA RATON, FL 33431

Current Mailing Address:

2120 L STREET, SUITE 530
WASHINGTON, DC 20037

New Mailing Address:

FEI Number: 20-5747304 **FEI Number Applied For ()** **FEI Number Not Applicable ()** **Certificate of Status Desired ()**
In accordance with s. 607.193(2)(b), F.S., the limited liability company did not receive the prior notice.

Name and Address of Current Registered Agent:

Name and Address of New Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 323012525 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: _____

Electronic Signature of Registered Agent

Date

MANAGING MEMBERS/MANAGERS:

Title: MGRM
Name: SCHWARTZ, BARRY P
Address: 2120 L STREET, SUITE 530
City-St-Zip: WASHINGTON, DC 20037

I hereby certify that the information indicated on this report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE: BARRY SCHWARTZ

MGRM

05/27/2010

Electronic Signature of Signing Managing Member, Manager, or Authorized Representative / Date