

2009 LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M06000006651

FILED
Jul 02, 2009
Secretary of State

Entity Name: BROKER-DEALER COMPLIANCE ASSOCIATES, L.L.C.

Current Principal Place of Business:

2120 L STREET, SUITE 530
WASHINGTON, DC 20037

New Principal Place of Business:

Current Mailing Address:

2120 L STREET, SUITE 530
WASHINGTON, DC 20037

New Mailing Address:

FEI Number: 20-5747304 FEI Number Applied For () FEI Number Not Applicable () Certificate of Status Desired ()
In accordance with s. 607.193(2)(b), F.S., the limited liability company did not receive the prior notice.

Name and Address of Current Registered Agent:

Name and Address of New Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 323012525 US

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

MANAGING MEMBERS/MANAGERS:

Title: MGRM () Delete
Name: SCHWARTZ, BARRY P
Address: 2120 L STREET, SUITE 530
City-St-Zip: WASHINGTON, DC 20037

ADDITIONS/CHANGES:

Title: () Change () Addition
Name:
Address:
City-St-Zip:

I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Chapter 119, Florida Statutes. I further certify that the information indicated on this report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE: BARRY SCHWARTZ

PTNR

07/02/2009

Electronic Signature of Signing Managing Member, Manager, or Authorized Representative / Date