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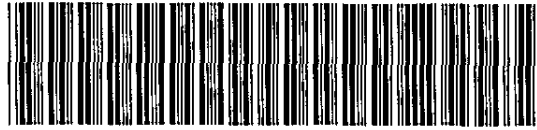
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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

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06 FEB 27 PM 1:08

DATE
OFFICE OF THE
CLERK OF THE
SUPREME COURT
TALLAHASSEE, FLORIDA



CORPORATION SERVICE COMPANY

ACCOUNT NO. : 072100000032

REFERENCE : 871832 6215A

AUTHORIZATION

COST LIMIT : \$ 125.00

ORDER DATE : February 16, 2006

ORDER TIME : 10:33 AM

ORDER NO. : 871832-020

CUSTOMER NO: 6215A

FILED
2006 MAR 7 1 PM 1:08
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

FOREIGN FILINGS

NAME: MOORS & CABOT FINANCIAL
ADVISORS LLC

XXXX QUALIFICATION (TYPE: LL)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

 CERTIFIED COPY
XX PLAIN STAMPED COPY
 CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Doreen Wallace -- EXT# 2928

EXAMINER: _____



FLORIDA DEPARTMENT OF STATE
Division of Corporations

February 27, 2006

DOREEN WALLACE
CSC
TALLAHASSEE, FL

SUBJECT: MOORS & CABOT FINANCIAL ADVISORS LLC
Ref. Number: W06000009574

06 MAR -1 11:12:40
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA
2006 MAR -1 PM 1:08
FILED
SECRETARY OF STATE

We have received your document for MOORS & CABOT FINANCIAL ADVISORS LLC and the authorization to debit your account in the amount of \$125.00. However, the document has not been filed and is being returned for the following:

Please list the PRINCIPAL OFFICE ADDRESS in Item 7.

Please list the names and address of the MANAGERS or MANAGING MEMBERS in Item 9.,

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6914.

Buck Kohr
Document Specialist

Letter Number: 106A00013656

Resubmit

**APPLICATION BY FOREIGN LIMITED LIABILITY COMPANY FOR AUTHORIZATION TO
TRANSACTION BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 608.503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN LIMITED LIABILITY COMPANY TO TRANSACTION BUSINESS IN THE STATE OF FLORIDA:

1. Moors & Cabot Financial Advisors LLC
(Name of Foreign Limited Liability Company)

2. MASS
(Jurisdiction under the law of which foreign limited liability company is organized)

3. 20-0869982
(FEI number, if applicable)

4. 1/23/04
(Date of Organization)

5. Perpetual
(Duration: Year limited liability company will cease to exist or "perpetual")

6. _____
(Date first transacted business in Florida, if prior to registration.)
(See sections 608.501 & 608.502 F.S. to determine penalty liability)

7. 111 Devonshire Street
Boston, MA 02109
(Street Address of Principal Office)

8. If limited liability company is a manager-managed company, check here ☐

9. The name and usual business addresses of the managing members or managers are as follows:

Daniel Joyce - 111 Devonshire Street, Boston, MA 02109

Brian T. Foley - 111 Devonshire Street, Boston, MA 02109

Robert Crowell - 111 Devonshire Street, Boston, MA 02109

L. Midge Manning - 111 Devonshire Street, Boston, MA 02109

10. Attached is an original certificate of existence, no more than 90 days old, duly authenticated by the official having custody of records in the jurisdiction under the law of which it is organized. (A photocopy is not acceptable. If the certificate is in a foreign language, a translation of the certificate under oath of the translator must be submitted.)

11. Nature of business or purposes to be conducted or promoted in Florida: _____

Stock Brokerage

Lillian M Manning
Signature of a member or an authorized representative of a member
(In accordance with section 608.408(3), F.S., the execution of this document constitutes an affirmation under the penalties of perjury that the facts stated herein are true.)

Lillian M Manning
Typed or printed name of signee

FILED
MAR - 1 PM 1:08
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

**CERTIFICATE OF DESIGNATION OF
REGISTERED AGENT/REGISTERED OFFICE**

PURSUANT TO THE PROVISIONS OF SECTION 608.415 or 608.507, FLORIDA STATUTES, THE UNDERSIGNED LIMITED LIABILITY COMPANY SUBMITS THE FOLLOWING STATEMENT TO DESIGNATE A REGISTERED OFFICE AND REGISTERED AGENT IN THE STATE OF FLORIDA.

1. The name of the Limited Liability Company is:

Moors & Cabot Financial Advisors LLC

2. The name and the Florida street address of the registered agent and office are:

Corporation Service Company

(Name)

1201 Hays Street

Florida Street Address (P.O. Box **NOT** ACCEPTABLE)

Tallahassee

FL 32301

City/State/Zip

Having been named as registered agent and to accept service of process for the above stated limited liability company at the place designated in this certificate, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relating to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent as provided for in Chapter 608, Florida Statutes.

Corporation Service Company

By:

AM Shilling
(Signature)

\$ 100.00	Filing Fee for Application
\$ 25.00	Designation of Registered Agent
\$ 30.00	Certified Copy (optional)
\$ 5.00	Certificate of Status (optional)



William Francis Galvin
Secretary of the
Commonwealth

The Commonwealth of Massachusetts

Secretary of the Commonwealth

State House, Boston, Massachusetts 02133

February 17, 2006

TO WHOM IT MAY CONCERN:

I hereby certify that a certificate of organization of a Limited Liability Company was filed in this office by

MOORS & CABOT FINANCIAL ADVISORS, LLC

in accordance with the provisions of Massachusetts General Laws Chapter 156C on January 23, 2004.

I further certify that said Limited Liability Company has filed all annual reports due and paid all fees with respect to such reports; that said Limited Liability Company has not filed a certificate of cancellation or withdrawal; and that, said Limited Liability Company is in good standing with this office.

I also certify that the names of all managers listed in the most recent filing are: NONE

I further certify, the names of all persons authorized to execute documents filed with this office and listed in the most recent filing are: **JACK MULCAHY, ROBERT CROWELL, LILLIAN M. MANNING, DANIEL M. JOYCE, BRIAN T. FOLEY**

The names of all persons authorized to act with respect to real property listed in the most recent filing are: NONE



In testimony of which,

I have hereunto affixed the

Great Seal of the Commonwealth

on the date first above written.

William Francis Galvin

Secretary of the Commonwealth