

2012 LIMITED LIABILITY COMPANY ANNUAL REPORT

DOCUMENT# M05000006385

FILED
Apr 16, 2012
Secretary of State

Entity Name: PERSHING ADVISOR SOLUTIONS LLC

Current Principal Place of Business:

ONE PERSHING PLAZA
14TH FLOOR
JERSEY CITY, NJ 07399

New Principal Place of Business:

ONE PERSHING PLAZA
4TH FLOOR
JERSEY CITY, NJ 07399

Current Mailing Address:

ONE PERSHING PLAZA
14TH FLOOR
JERSEY CITY, NJ 07399

New Mailing Address:

ONE PERSHING PLAZA
4TH FLOOR
JERSEY CITY, NJ 07399

FEI Number: 83-0437353

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 323012525 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: _____

Electronic Signature of Registered Agent

Date

MANAGING MEMBERS/MANAGERS:

Title: MEMB
Name: TIBERGIEN, MARK C
Address: ONE PERSHING PLAZA, 4TH FLOOR
City-St-Zip: JERSEY CITY, NJ 07399

Title: MGRM
Name: NOVAK, KAREN N
Address: ONE PERSHING PLAZA, 4TH FLOOR
City-St-Zip: JERSEY CITY, NJ 07399

Title: MGRM
Name: MULLIGAN, GERARD F
Address: ONE PERSHING PLAZA
City-St-Zip: JERSEY CITY, NJ 07399

Title: MGRM
Name: DARIO, III, JAMES C
Address: ONE PERSHING PLAZA
City-St-Zip: JERSEY CITY, NJ 07399

Title: MEMB
Name: SHEA, BRIAN T
Address: ONE PERSHING PLAZA
City-St-Zip: JERSEY CITY, NJ 07399

Title: MEMB
Name: DECICCO, RONALD
Address: ONE PERSHING PLAZA
City-St-Zip: JERSEY CITY, NJ 07399

I hereby certify that the information indicated on this report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am a managing member or manager of the limited liability company or the receiver or trustee empowered to execute this report as required by Chapter 608, Florida Statutes.

SIGNATURE: KAREN N. NOVAK

MGRM

04/16/2012

Electronic Signature of Signing Managing Member, Manager, or Authorized Representative / Date